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Meta





Iterative preference optimization on general instruction following tasks:

- DPO (Rafailov et al., 2023)  $\rightarrow$  Iterative DPO (Xu et al., 2023)
- Self-rewarding LM (Yuan et al., 2023)
- SPIN (Chen et al., 2024)

Training methods on reasoning:

- STaR (Zelikman et al., 2022)
- ReST<sup>EM</sup> (Singh et al., 2024)
- V-STaR (Hosseini et al., 2024)

We develop an approach to apply iterative preference optimization to reasoning tasks.



Next iteration model

Start with base model & fixed training set with labels



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• Generate multiple CoTs+answers per train example with current model



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Start with base model & fixed training set with labels

- Generate multiple CoTs+answers per train example with current model
- Build preference pairs based on answer correct vs. not
- Train w/ DPO + NLL term for correct CoTs+answers

Repeat steps with new model

## DPO + NLL



Next iteration model

$$\mathcal{L}_{\text{DPO}}(c_i^w, y_i^w, c_i^l, y_i^l | x_i)$$
  
=  $-\log \sigma \left( \beta \log \frac{M_{\theta}(c_i^w, y_i^w | x_i)}{M_t(c_i^w, y_i^w | x_i)} - \beta \log \frac{M_{\theta}(c_i^l, y_i^l | x_i)}{M_t(c_i^l, y_i^l | x_i)} \right)$ 

## $\mathsf{DPO} + \mathsf{NLL}$



$$\mathcal{L}_{\text{DPO+NLL}} = \mathcal{L}_{\text{DPO}}(c_i^w, y_i^w, c_i^l, y_i^l | x_i) + \alpha \mathcal{L}_{\text{NLL}}(c_i^w, y_i^w | x_i)$$
$$= -\log \sigma \left(\beta \log \frac{M_{\theta}(c_i^w, y_i^w | x_i)}{M_t(c_i^w, y_i^w | x_i)} - \beta \log \frac{M_{\theta}(c_i^l, y_i^l | x_i)}{M_t(c_i^l, y_i^l | x_i)}\right) - \alpha \frac{\log M_{\theta}(c_i^w, y_i^w | x_i)}{|c_i^w| + |y_i^w|}$$

#### GSM8K

#### **Iterative RPO**

| Zero-shot CoT                                   | 55.6 | Iteration 1                  | 73.1 |
|---|------|------------------------------|------|
| + majority vote (32 samples)                    | 70.7 | Iteration 2                  | 78.0 |
| SFT on gold CoT                                 | 63.5 | Iteration 3                  | 81.1 |
| SFT on generated chosen CoTs (STaR 1 iteration) | 65.2 | Iteration 4                  | 81.6 |
| DPO init from llama                             | 61.8 | + majority vote (32 samples) | 88.7 |
| DPO init from SFT model trained on chosen CoTs  | 60.3 |                              |      |

Init from Llama-2-70b-chat

#### GSM8K

#### **Iterative RPO**

| Zero-shot CoT   | 55.6 |
|---|------|
| + majority vote (32 samples)                            | 70.7 |
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| DPO init from llama                                     | 61.8 |
| DPO init from SFT model trained on chosen CoTs          | 60.3 |
| SFT on generated chosen CoTs, but on twice as much data | 66.9 |
| Iterative RPO (Iteration 1) but on twice as much data   | 74.8 |

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|------------------------------|------|
| Iteration 2                  | 78.0 |
| Iteration 3                  | 81.1 |
| Iteration 4                  | 81.6 |
| + majority vote (32 samples) | 88.7 |

### **ARC-Challenge and MATH**

| Model  | ARC-Challenge<br>(0-shot)<br>Test acc % | MATH<br>(4-shot)<br>Test acc % |
|--|---|--------------------------------|
| Iterative RPO                                  |   |                                |
| Iteration 1                                    | 84.8                                    | 17.7                           |
| Iteration 2                                    | 86.2                                    | 19.9                           |
| Iteration 3                                    | 86.7                                    | 20.8                           |
| + majority vote (32 samples)                   | 87.9                                    | 29.1                           |
| Other Llama-2-70b-chat-initialized methods     |   |                                |
| СоТ  | 77.8                                    | 12.5                           |
| SFT on chosen sequences                        | 79.8                                    | 16.8                           |
| DPO init from Llama-2-70b-chat                 | 82.8                                    | 12.4                           |
| DPO init from SFT model trained on chosen seqs | 83.5                                    | 10.5                           |

## DPO+NLL



(a) Initialized from Llama

(b) Initialized from SFT trained on chosen seqs

We find the NLL term to be crucial, e.g., GSM8k results 73.1% vs. 61.8%

- Obs 1: margin increasing
- Obs 2: without NLL, both chosen and rejected log probs decrease

## DPO+NLL



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Q: What scenario (e.g., sampling approach, task) is naïve DPO harmful on?

## Why does SFT not work too well?



(a) SFT trained on chosen seqs; init from Llama

We find that negative examples are crucial.

• When doing SFT on good sequences, the rejected seqs' probs also go up a lot!

### Extension: unsupervised version of IRPO



- What if we generate prompts & don't know the reference answer?
- Look at consistency we trust a majority vote answer more if it has a higher proportion of votes
- Self-Consistency Preference Optimization (ScPO)

#### Next steps

- Self-consistency preference optimization (Prasad et al., 2024)
- Figure out when and why naïve DPO does not work
  - Unintentional unalignment (Razin et al., 2024): intuitively, "when y<sup>+</sup> was No and y<sup>-</sup> was Never, the probability of Yes would sharply increase
- More iterations for IRPO  $\rightarrow$  on-policy DPO