

Continual Learning Visual Prompt Tuning in Null Space for

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Problem definition: The model is trained on learning tasks that come one after another. The data of the learned tasks are no longer visible. In the inference stage, the model can have good discrimination ability for all the data of the learned tasks.

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Class-incremental continual learning: Each task contains several categories that have not been learned before, and the model is trained task by task. In the inference stage, the model has good classification ability for all known category data when the task to which the input image belongs is unknown.

Continual Learning

Continual Learning Based on Visual Prompt Tuning

Encoding task knowledge into a prompt pool, selecting and updating task-relevant prompts within the pool based on the training data.

Training interference: The features of the data from previous tasks change as related prompts are updated, leading to catastrophic forgetting.

Analysis of the ViT Layer Forward Process $f_{\text{ViT}}(X|P)$

Analyzing $f_{\text{ViT}}(X_t|P_t) = f_{\text{ViT}}(X_t|P_{t+1})$

To ensure that the output tokens of \mathbf{x}_t remain consistent between tasks t and $t + 1$, i.e.,

 $f_{\text{ViT}}(\mathbf{X}_t | \mathbf{P}_t) = f_{\text{ViT}}(\mathbf{X}_t | \mathbf{P}_{t+1})$

We define $\mathbf{Z}_t = [\mathbf{X}_t; \mathbf{P}_t], \mathbf{Z}_{t+1} = [\mathbf{X}_t; \mathbf{P}_{t+1}]$, This **requires satisfying the condition:**

 $\mathsf{Simplification\ of\ F}_{\mathrm{Z}_t}=\mathrm{F}_{\mathrm{Z}_{t+1}}\mathsf{:}$

$$
\begin{cases}\n\mathbf{A}_{Z} = f_{aff}(\mathbf{Q}_{X}, \mathbf{K}_{Z}) = \frac{\mathbf{Q}_{X} \mathbf{K}_{Z}^{\top}}{\sqrt{D}} = \frac{\mathbf{Q}_{X} [\mathbf{K}_{X}^{\top} \ \mathbf{K}_{P}^{\top}]}{\sqrt{D}} \\
\mathbf{S}_{Z} = softmax(\mathbf{A}_{Z}) = softmax(\mathbf{A}_{X} \ \mathbf{A}_{P}) = [\mathbf{S}_{X} \ \mathbf{S}_{P}] \\
\mathbf{F}_{Z} = f_{agg}(\mathbf{S}_{Z}, \mathbf{V}_{Z}) = \mathbf{S}_{Z} \mathbf{V}_{Z} = [\mathbf{S}_{X} \ \mathbf{S}_{P}] \begin{bmatrix} \mathbf{V}_{X} \\ \mathbf{V}_{P} \end{bmatrix}\n\end{cases}
$$

 $\mathbf{F}_{\mathbf{Z}_t} = \mathbf{F}_{\mathbf{Z}_{t+1}}$

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Two Sufficient Conditions

Simplification of the LayerNorm Term

 $\mathbf{Q}_{\mathbf{X}_t} \mathbf{W}_k^{\mathsf{T}} \mathbf{L} \mathbf{N}(\mathbf{P}_t)^\mathsf{T} = \mathbf{Q}_{\mathbf{X}_t} \mathbf{W}_k^\mathsf{T} \mathbf{L} \mathbf{N}(\mathbf{P}_t + \Delta \mathbf{P})^\mathsf{T}$ 3 $\mathbf{S}_{\mathbf{P}_t}LN(\mathbf{P}_t)\mathbf{W}_v = \mathbf{S}_{\mathbf{P}_t}LN(\mathbf{P}_t + \Delta P)\mathbf{W}_v$ (4) $LN(P) =$ $P-\mu_P$ $\sigma_{\rm P}$ \bigcirc $\alpha + \beta$ $LN(P) =$ For the term $LN(P_t + \Delta P)$, it cannot be expressed directly in terms of $LN(P_t)$ and ΔP . **Assumption of Distribution Invariance:** $\mu_{\text{P}_t+\Delta \text{P}} = \mu_{\text{P}_t}$ $\sigma_{\mathbf{P}_t + \Delta \mathbf{P}} = \sigma_{\mathbf{P}_t}$ **Assuming the distribution of prompts remains unchanged during training: Relationship between** $LN(P_t + \Delta P)$ and $LN(P_t)$ using ΔP : $LN(P_t + \Delta P) =$ $P_t + \Delta P - \mu_{P_t + \Delta P}$ $\sigma_{P_t+\Delta P}$ \bigcirc $\alpha + \beta =$ $P_t + \Delta P - \mu_{P_t}$ σ_{P_t} \bigcirc $\alpha + \beta =$ $\mathbf{P}_t-\boldsymbol{\mu}_{\mathbf{P}_t}$ σ_{P_t} \bigcirc $\alpha + \beta$ | + ΔP σ_{P_t} \odot α = LN(P_t) + ∆ σ_{P_t} \odot a **From** $LN(P_t + \Delta P) = LN(P_t) + \frac{\Delta P}{\Delta P}$ σ_{P_t} ⊙ **, simplify**③④: $\mathbf{Q}_{\mathbf{X}_t} \mathbf{W}_k^{\top} \text{LN}(\mathbf{P}_t)^{\top} = \mathbf{Q}_{\mathbf{X}_t} \mathbf{W}_k^{\top} \text{LN}(\mathbf{P}_t)^{\top} + \frac{\mathbf{Q}_{\mathbf{X}_t} \mathbf{W}_k^{\top} \Delta \mathbf{P}^{\top}}{\sigma_{\mathbf{P}_t}}$ σ_{P_t} \odot α^{\top} \odot $\mathbf{S}_{\mathbf{P}_t}$ LN $(\mathbf{P}_t)\mathbf{W}_v = \mathbf{S}_{\mathbf{P}_t}$ LN $(\mathbf{P}_t)\mathbf{W}_v +$ $\mathbf{S}_{\mathbf{P}_t} \Delta \mathbf{P} \mathbf{W}_\mathcal{V}$ σ_{P_t} \odot α \odot $\mathsf{From} \circledS \circledS: \quad \Big\{ (Q_{X_t} W_k^\top) \Delta P^\top = 0 \Big\}$ $S_{P_t} \Delta P W_v = 0$ $\mathbf{Q}_{\mathbf{X}_t} \mathbf{W}_k^{\top} \big) \Delta \mathbf{P}^{\top} = \mathbf{0} \oslash$ $\mathbf{S}_{\mathbf{P}_t} \Delta \mathbf{P} = \mathbf{0}$ (8) **It is sufficient to satisfy conditions** ⑦⑧**:** $\mathbf{P}_t + \Delta \mathbf{P} - \boldsymbol{\mu}_{\mathbf{P}_t + \Delta \mathbf{P}}$ $\sigma_{P_t+\Delta P}$ \bigcirc $\alpha + \beta$

Conclusion

To achieve the following objective:

 $f_{\text{ViT}}(\mathbf{X}_t | \mathbf{P}_t) = f_{\text{ViT}}(\mathbf{X}_t | \mathbf{P}_{t+1})$ **Specifically, this requires**:

$$
\mathbf{F}_{\mathbf{Z}_t} = \mathbf{F}_{\mathbf{Z}_{t+1}}
$$

Converting into two sufficient conditions:

 $f_{\text{aff}}(\mathbf{Q}_{\mathbf{X}_t}, \mathbf{K}_{\mathbf{Z}_t}) = f_{\text{aff}}(\mathbf{Q}_{\mathbf{X}_t}, \mathbf{K}_{\mathbf{Z}_{t+1}})$ $f_{\text{agg}}(\mathbf{S}_{\mathbf{Z}_t}, \mathbf{V}_{\mathbf{Z}_t}) = f_{\text{agg}}(\mathbf{S}_{\mathbf{Z}_{t+1}}, \mathbf{V}_{\mathbf{Z}_{t+1}})$ **Introducing a constraint on the prompt distribution's variation**

> $\mu_{\text{P}_t+\Delta \text{P}} = \mu_{\text{P}_t}$ $\pmb{\sigma}_{{\bf P}_t+\Delta{\bf P}}=\pmb{\sigma}_{{\bf P}_t}$

This ultimately leads to the following two conditions called consistency conditions.

> ${\bf Q}_{{\bf X}_t} {\bf W}_k^\top) \Delta {\bf P}^\top = {\bf 0} \oslash$ $S_{P_t} \Delta P = 0$ (8)

Optimization of Consistency Conditions

Two-step optimization scheme:

1) Use the projection matrix B_1 (∆P[⊤] = $B_1P_G^\top$) to make ∆P[⊤] orthogonal to the subspace spanned by $Q_{X_t}W_k^\top$; 2) Use the projection matrix \mathbf{B}_2 (∆P $=$ $\mathbf{B}_2\bm{\mathit{P}}_\mathcal{G}$) to make ∆P orthogonal to the subspace spanned by $\mathbf{S}_{\mathbf{P}_t}.$

Computing B₁ and B₂ via null space:

 $\mathsf{For} \ \mathbf{B}_1 \text{: } \mathrm{SVD}\Big(\big(\mathbf{Q}_{\textbf{X}_t} \mathbf{W}_k^\top \big)^\top$ $\mathbf{Q}_{\textbf{X}_t} \mathbf{W}_k^\top$), let the matrix of right singular vectors corresponding to the singular values that are (or close to) zero be $\mathbf{U}_{1,0}$, then $\mathbf{B}_1 = \mathbf{U}_{1,0}\mathbf{U}_{1,0}^\top$ For B₂: SVD(SpF_tS_{Pt}), let the matrix of right singular vectors corresponding to the singular values that are (or close to) zero be $U_{2,0}$, then $B_2 = U_{2,0} U_{2,0}^\top$ Finally, the update rule from gradient P_G to update ΔP is given by:

 $\Delta P = \mathbf{B}_2 \mathbf{P}_g \mathbf{B}_1 = (\mathbf{U}_{2,0} \mathbf{U}_{2,0}^\top) \mathbf{P}_g (\mathbf{U}_{1,0} \mathbf{U}_{1,0}^\top) \circledS$

 $\mu_{\text{P}_t+\Delta \text{P}} = \mu_{\text{P}_t}$ $\sigma_{\text{P}_t+\Delta \text{P}} = \sigma_{\text{P}_t}$ **The constraint on the distributional variation of the prompt is achieved by introducing a loss function** L_{LN} : $\mathcal{L}_{\text{LN}} = \left\| \mu_{\text{P}_{t+1}} - \mu_{\text{P}_{t}} \right\|_{1} + \left\| \sigma_{\text{P}_{t+1}} - \sigma_{\text{P}_{t}} \right\|_{1} \text{ @ }$ distribution of the prompt

1) ⑨**: Applying null space projection to the gradient 2)** ⑩**: Constraining the**

Extension to Multi-Head Attention

The Transformer architecture generally employs multi-head attention, so the derived consistency conditions ⑦⑧ **need to be extended to multi-head attention.**

 ${\bf Q}_{{\bf X}_t.h} {\bf W}_{k.h}^\top \big){\Delta \bf P}^\top = {\bf 0}$ $\mathbf{S}_{\mathbf{P}_t.h}\Delta \mathbf{P}=\mathbf{0}$ $\forall h \in [1, 2, \cdots, H],$ **Assuming there are** *H* **attention heads, let the** *h***-th attention head for** $h \in$ $[1, 2, \cdots, H]$ have its respective components $Q_{X_t.h}$, $W_{k.h}$, $S_{P_t.h}$. To satisfy the **consistency conditions across all attention heads, we define:**

 $\boldsymbol{\Omega}_{1,t} = \left[\mathbf{Q}_{\text{X}_t.1} \mathbf{W}_{k.1}^\top; \cdots; \mathbf{Q}_{\text{X}_t.H} \mathbf{W}_{k.H}^\top \right]$, representing the concatenated matrices $\mathbf{Q}_{\mathbf{X}_t,h} \mathbf{W}_{k.h}^\top$ from each attention head.

 $\boldsymbol{\Omega}_{2,t} = \left[\textbf{S}_{\textbf{P}_t.1}; \cdots; \textbf{S}_{\textbf{P}_t.H}\right]$ representing the concatenated $\textbf{S}_{\textbf{P}_t.h}$ matrices **from each attention head.**

Using block matrix operations, the consistency conditions for all attention heads can be expressed as follows:

$$
\left\{\begin{aligned}&\boldsymbol{\Omega}_{1,t}\Delta\mathbf{P}^\top=\mathbf{0}\\&\boldsymbol{\Omega}_{2,t}\Delta\mathbf{P}=\mathbf{0}\end{aligned}\right.
$$

 ${\bf Q}_{{\bf X}_t} {\bf W}_k^\top) \Delta {\bf P}^\top = {\bf 0} \oslash$ $S_{P_t} \Delta P = 0$ (8) **The prompt distribution constraint loss function** $\mathcal{L}_{LN} =$ $\mu_{\text{P}_{t+1}} - \mu_{\text{P}_t} \big\|_1 + \|\sigma_{\text{P}_{t+1}} \sigma_{{\rm P}_{t}}\big\|_{1}$ remains **independent of the number of attention heads, so no further extension is required.**

Experiments

Key Settings

Model used:

a) ViT-Base16 model pre-trained on ImageNet-21K, with prompts of length 4 inserted into all ViT layers (referred to as VPT);

b) CLIP model, with prompts added to the image encoder, while the text encoder is frozen.

Evaluation benchmarks:

Four class-incremental test benchmarks, including 10-task CIFAR-100, 20-task CIFAR-100, 10-task ImageNet-R, and 10-task DomainNet-200.

Evaluation metrics:

Accuracy (Acc., the higher, the better) and Forgetting (the lower, the better), with the average results of three runs reported. Accuracy is the primary focus metric.

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Comparing with Baseline

Our method consistently outperforms the baseline, with its advantages becoming more pronounced over time.

Experiments

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Comparing with Existing Methods

Our method Achieves state-of-the-art performance on all evaluation benchmarks.

Ablation Study

The first consistency condition, the orthogonal subspace projection matrix **B₁**, has the most significant impact on performance. However, B_2 and \mathcal{L}_{LN} are also indispensable. The highest **accuracy and lowest forgetting rate are achieved only when all three components are used together.**

Analysis of Reducing Training Interventions

As the number of learning tasks increases, if the issue of training interventions becomes more severe, the model's loss on the old task data will be higher. Conversely, if training interventions can be minimized or eliminated, the model's loss on the old task data will not increase.

The proposed method maintains almost no change in the loss on old task data, demonstrating its ability to eliminate training interventions, thereby preventing forgetting.

Stability-Plasticity Trade-off

The stability-plasticity trade-off in the proposed method is represented by $\Delta \mathbf{P} = [\eta_2 \mathbf{B}_2 + (1-\eta_1)\mathbf{B}_1]$ (η_2) I]P $_G$ [η_1 B $_1$ + $(1-\eta_1)$ I], where the orthogonal subspace projection matrices B $_1$, B $_2$ are weighted η_1 and fused with the identity matrix I . The parameters $\eta_1, \eta_2 \in [0, 1]$ control the weights, allowing **for the adjustment of the trade-off between plasticity and stability.**

When the weights η_1 , η_2 are set to the same value, denoted as $\bar{\eta}$, the changes in accuracy and forgetting rate **as** ҧ**decreases from 1.0 to 0.8 are shown in the following figure.**

 (a) 10-split CIFAR-100 (b) 20-split CIFAR-100 (c) 10-split ImageNet-R (d) 10-split DomainNet **Accuracy is influenced by both stability and plasticity, and the best performance is achieved when a good balance between the two is attained. An increase in plasticity means a weakened ability to retain knowledge from old tasks, which results in a gradual increase in the forgetting rate.**

Thank You for Watching!

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