## A Probability-Based Contrastive Learning Framework for 3D Molecular Representation Learning

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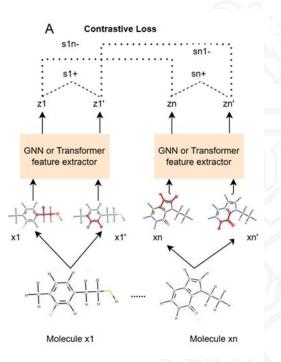


## Abstract

- The role of contrastive learning (CL) in molecular representation learning
  - Contrastive Learning (CL) enables unsupervised learning from large-scale, unlabeled molecular datasets.
- The problem of false positive and false negative pairs in molecular datasets
  - Existing methods often introduce false positive and false negative pairs due to conventional augmentations, limiting their effectiveness.
- Our proposed framework and its achievements
  - We propose a probability-based contrastive learning framework, optimized through a stochastic expectation-maximization process, achieving state-of-the-art results in multiple benchmarks.

# Contrastive molecular learning

- Molecular contrastive learning Molecules are represented as 2D or 3D molecule graphs.
- Two stochastic augmentation strategies are applied to each graph, resulting in two aug mentations.
- A feature extractor is used to extract features and contrastive loss is used to maximize the similarity of positive pairs and minimize the similarity of negative pairs



## Motivation

- Contrastive Learning is essential for unsupervised learning from large-scale unlabeled molecular datasets.
- Existing methods often generate false positive and false negative pairs due to conventional graph augmentations, such as node masking and subgraph removal. These issues can reduce the effectiveness of CL on molecular datasets.
- Our approach introduces a probability-based method that assigns dynamic weights to pairs to reduce this issue.

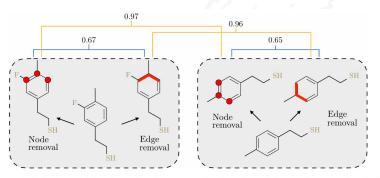


Figure 1: **Existing problem in molecular contrastive learning.** Adopt node removal and edge removal for molecular contrastive learning can lead to false positive and false negative problems. Blue lines indicate positive pairs and yellowing lines indicate negative pairs. The numbers on each line indicate the chemical similarity between the augmented pair of molecules. In this case, positive pairs indeed have lower similarity than negative pairs.

# Probability contrastive framework

- Our framework uses a Bayesian inference model to dynamically adjust weights for molecular pairs.
  - $\begin{array}{ll} \text{ Original contrastive loss} \\ \text{ Ours weighted loss} \end{array} \\ \mathcal{L} = \frac{1}{N} \sum_{k=1}^{N} [\ell(2k-1,2k) + \ell(2k,2k-1)], \text{ with } \ell(i,j) = -\log \frac{s_{i+}}{s_{i+} + \sum_{k=1}^{2N} \mathbb{I}_{[k \neq i,j]} s_{i,k-}} \\ \mathcal{L}_w = \frac{1}{N} \sum_{k=1}^{N} [\bar{\ell}(2k-1,2k) + \bar{\ell}(2k,2k-1)], \ \bar{\ell}(i,j) = -\log \frac{w_i^+ s_{i+}}{w_i^+ s_{i+} + \sum_{k=1}^{2N} \mathbb{I}_{[k \neq i,j]} w_{i,k}^- s_{i,k-}} \\ \end{array}$
- We incorporate Gamma and Bernoulli distributions to represent pair weights, reducing mislabeling
  Option 1 Gamma priors for continuous weighting:

$$w_i^+ \sim \text{Gamma}(a_+, b_+), w_{ik}^- \sim \text{Gamma}(a_-, b_-)$$
  
Option 2 - Bernoulli priors for selective weighting:

 $w_i^+ \sim \text{Gamma}(a_+, b_+), \quad w_{ik}^- \sim \text{Bernoulli}(\bar{a}_-).$ 

• With this formulation, we can define the following distribution:

$$p\left(\left\{w_{i}^{+}\right\},\left\{w_{ik}^{-}\right\},\boldsymbol{\theta};\mathcal{D}\right) \propto \prod_{\mathbf{x}_{i}\in\mathcal{D}} \frac{w_{i}^{+}s_{i}}{w_{i}^{+}s_{ij^{+}} + \sum_{k=1}^{K} w_{ik}^{-}s_{ik^{-}}} p(\left\{w_{i}^{+}\right\}) p(\left\{w_{ik}^{-}\right\}) p(\boldsymbol{\theta}).$$

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## Method continued

• 
$$p\left(\left\{w_{i}^{+}\right\}, \left\{w_{ik}^{-}\right\}, \boldsymbol{\theta}; \mathcal{D}\right) \propto \prod_{\mathbf{x}_{i} \in \mathcal{D}} \frac{w_{i}^{+}s_{i^{+}}}{w_{i}^{+}s_{ij^{+}} + \sum_{k=1}^{K} w_{ik}^{-}s_{ik^{-}}} p(\left\{w_{i}^{+}\right\}) p(\left\{w_{ik}^{-}\right\}) p(\boldsymbol{\theta}).$$

- With this distribution, posterior inference of the weights is challenging, due to the lack of convenience posterior distributions
- We can introduce an augmented variable u to associate with data points x, then we can define an augmented distribution:
  - $p(\boldsymbol{\theta}, \mathbf{u}, \mathbf{w} \mid \mathcal{D}) \propto \prod_{i:\mathbf{x}_i \in \mathcal{D}} w_i^+ s_i + e^{-\mathbf{u}_i w_i^+ s_i^+} \prod_k e^{-u_i w_{ik}^- s_{ik}^-} p\left(\left\{w_i^+\right\}\right) p\left(\left\{w_{ik}^-\right\}\right) p(\boldsymbol{\theta}),$
- Then we can do inference based on this distribution

# Efficient Inference and Learning with Stocastic EM

We alternatively infer the local random variables w and optimize the global model parameter  $\theta$ 

The basic idea is to alternatively

1) optimizing model parameter  $\boldsymbol{\theta}$  with fixed (u,w) and

2) sampling (u,w) with f ixed  $\theta$ .

We follow standard procedures in stochastic EM to divide the learning into three steps: Simulation, Stochastic Expectation, and Maximization.

Simulation: based the posterior distribution and the current batch of data, we infer the u and w:

$$\begin{aligned} u_i \mid \left\{ w_i^+, w_{ik}^-, \boldsymbol{\theta} \right\} &\sim \operatorname{Gamma}\left(a_u, b_u + w_i^+ s_{i^+} + \sum w_{ik}^- s_{ik^-}\right), \forall i, \text{ and} \\ w_i^+ \mid \left\{ \mathbf{u}, \boldsymbol{\theta} \right\} &\sim \operatorname{Gamma}\left(1 + a_+, u_i s_{i^+} + b_+\right), \text{and} \\ \operatorname{Option} 1: w_{ik}^- \mid \left\{ \mathbf{u}, \boldsymbol{\theta} \right\} &\sim \operatorname{Gamma}\left(a_-, u_i s_{ik^-} + b_-\right), \forall i, k \\ \operatorname{Option} 2: w_{ik}^- \mid \left\{ \mathbf{u}, \boldsymbol{\theta} \right\} &\sim \operatorname{Bernoulli}\left(\frac{a_- e^{-u_i s_{ik^-}}}{1 - a_- + a_- e^{-u_i s_{ik^-}}}\right) \end{aligned}$$

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### Stochastic Expectation and Maximization

We use the sampled auxiliary random variables to update the model parameter  $\boldsymbol{\theta}$  by

maximizing a stochastic objective  $Q(\theta)$ , defined as:

$$Q_{t+1}(\check{\boldsymbol{\theta}}) = Q_t(\boldsymbol{\theta}) + \lambda_t \left(\log p(\boldsymbol{\theta}, \mathbf{u}, \mathbf{w} \mid \mathcal{D}) - Q_t(\boldsymbol{\theta})\right)$$

Here, t is iteration step, and  $\{\lambda_t\}$  is a sequence of decreasing weights

by decomposing the recursion, we have:

$$Q_{t+1}(\boldsymbol{\theta}) = \sum_{\tau=0}^{t} \tilde{\lambda}_{\tau} \log p\left(\boldsymbol{\theta}, \mathbf{u}_{\tau}, \mathbf{w}_{\tau} \mid \mathcal{D}_{\tau}\right), \text{ where } \tilde{\lambda}_{\tau} \triangleq \lambda_{\tau} \prod_{t'=\tau+1}^{t} \left(1 - \lambda_{t'}\right)$$

At each time t, we can initialize the parameter  $\theta$  from the last step, and update it by stochastic gradient ascent on the log-likelihood, log p( $\theta$ ,uT,WT | DT) calculated from the current batch of data.

To reduce variance, we propose to optimize a marginal version by integrating out ut from  $p(\theta, ut, wt | Dt)$ , which essentially reduces to our original weighted contrastive loss.

## Experimant results

#### Table 1: ROC\_AUC on molecular property prediction classification tasks (Higher is better)

Datasets	BBBP	BACE	ClinTox	Tox21	ToxCast	SIDER	HIV	PCBA	MUV
# Molecules	2039	1513	1478	7831	8575	1427	41127	437929	93078
# Tasks	1	1	2	12	617	27	1	128	17
D-MPNN [37]	71.0	80.9	90.6	75.9	65.5	57.0	77.1	86.2	78.6
Attentive FP [36]	64.3	78.4	84.7	76.1	63.7	60.6	75.7	80.1	76.6
N-Gram <sub>RF</sub> 19	69.7	77.9	77.5	74.3		66.8	77.2	-	76.9
N-Gram <sub>XGB</sub> 19	69.1	79.1	87.5	75.8	-	65.5	78.7	-	74.8
PretrainGNN [10]	68.7	84.5	72.6	78.1	65.7	62.7	79.9	86.0	81.3
GraphMVP [20]	72.4	81.2	79.1	75.9	63.1	63.9	77.0	-	77.7
GEM [5]	72.4	85.6	90.1	78.1	69.2	67.2	80.6	86.6	81.7
MolCLR 33	72.2	82.4	91.2	75.0	-	58.9	78.1	-	79.6
Uni-Mol[42]	72.9	85.7	91.9	79.6	69.6	65.9	80.8	88.5	82.1
Ours (Gamma)	76.7	88.2	89.4	80.1	69.9	63.6	83.0	89.6	79.0
Ours (Bernoulli)	73.7	84.3	85.3	79.8	68.8	64.9	80.8	89.3	82.9

#### Table 2: Performance on molecular property prediction regression tasks (Lower is better)

Datasets	ESOL	FreeSolv	Lipo	QM7	QM8	QM9	MEAN (RMSE)	MEAN (MAE)
# Molecules	1128	642	4200	6830	21786	133885	54 53	
# Metric		<b>RMSE</b> ↓			MAE↓			
D-MPNN 37	1.050	2.082	0.683	103.5	0.0190	0.00814	1.272	34.509
GROVERlarge [29]	0.895	2.272	0.823	92.0	0.0224	0.00986	1.33	30.67
MolCLR 33	1.271	2.594	0.691	66.8	0.0178	-	1.519	-
GraphMVP 20	1.029	-	0.681	-	-	2	-	-
GEM [5]	0.798	1.877	0.660	58.9	0.0171	0.00746	1.112	19.642
Uni-Mol 42	0.788	1.480	0.603	41.8	0.0156	0.00467	0.957	13.940
Ours (Gamma)	0.775	1.420	0.590	38.5	0.0142	0.00395	0.928	12.839
Ours (Bernoulli)	0.664	1.358	0.626	55.6	0.0154	0.0056	0.883	18.541

#### Table 3: Comparison against i-MolCLR on non-chirality MoleculeNet dataset

Without Chirality	BBBP	BACE	ClinTox	Tox21	SIDER	HIV	MUV	MEAN
I-MOLCLR 32	76.4	88.5	95.4	79.9	69.9	80.8	90.8	83.1
Our Method	78.3	94.8	91.4	84.9	72.7	85.5	88.0	85.1

#### Table 4: Experiment results on QM9 dataset

Methods	α	$\Delta E$	E_homo	E_lumo	μ	Cv	G	Н	$R^2$	μ	$\mu 0$	ZPVE
GraphCL 39	0.066	45.5	26.8	22.9	0.027	0.028	10.2	9.6	0.095	9.7	9.6	1.42
JOAOv2 38	0.066	45.0	27.8	22.2	0.027	0.028	9.9	9.2	0.087	9.8	9.5	1.43
3D-MGP [12]	0.057	37.1	21.3	18.2	0.020	0.026	9.3	8.7	0.092	8.6	8.6	1.38
Transformer-M 21	0.041	27.4	17.5	16.2	0.037	0.022	9.63	9.39	0.075	9.41	9.37	1.18
Equiformer [17]	0.046	30	15	14	0.011	0.023	7.63	6.63	0.251	6.74	6.59	1.26
Ours	0.037	24.2	21.1	13.7	0.022	0.022	6.2	6.31	0.082	7.22	9.40	1.09

#### Table 5: Ablation Study on MoleculeNet Classification Datasets

	BBBP	BACE	ClinTox	Tox21	ToxCast	SIDER	HIV	PCBA	MUV	MEAN
Standard CL	69.3	81.5	84.1	75.5	63.4	58.9	78.3	84.1	72.5	75.2
CL + 3D Loss	75.1	86.8	87.9	78.9	68.5	62.8	81.8	88.0	77.1	78.1
CL + Probabilistic Framework	74.1	86.3	88.2	79.5	68.2	63.1	82.5	88.4	77.1	78.6
CL + Both	76.7	88.2	89.4	80.1	69.9	63.6	83.0	89.6	79.0	80.1

### Table 6: Abalation studies on hyperparameters for MoleculeNet classification tasks

$a_+$	1	5	10	5	5	5	5
$a_{-}$	1	1	1	1	1	5	10
b+	1	1	1	5	10	5	5
$b_{-}$	1	1	1	1	1	5	10
Avg. ROC-AUC (%)	78.8	80.4	79.6	79.3	80.0	79.4	79.3