Hi there! Welcome to the talk. I'm presenting "Why are Visually-Grounded Language Models (VLMs) Bad at Image Classification" for NeurIPS 2024.



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# Why are Visually-Grounded Language Models Bad at Image Classification?

can't I tell II if

a cat or a dog?

BASICL



VLMs represent a family of models designed to learn a joint distribution of image and text tokens, typically through an autoregressive approach. They generally include a vision encoder, paired with a language model, and a connecting projector.

# Background

- Language Model  $p(x_t | x_{< t})$
- Visually-Grounded Language Model  $p(x_t | x_{< t}, y)$ , y is input image



VLMs have shown impressive versatility, excelling in tasks like image captioning, visual question answering, visual reasoning, and even more advanced agent-based applications.

### Background

#### Visually-Grounded Language Models (VLM) Enable Many Capabilities



#### **Image Captioning**



#### **Visual Reasoning**



#### **Visual Question Answering**





In this study, we revisit the fundamental task of image classification using VLMs.

# In this study, we revisit image classification using VLMs.

To use a VLM for image classification, we simply ask the model about the content of an image, choose from candidate classes, and check whether its response includes the correct class name.

### How to Use VLM for Classification?

tench

CLIP

A photo of salmon

A photo of catfish

A photo of tench

What is in the image?

Choose one from

salmon, catfish, tench







This image shows a man holding a salmon...





Surprisingly, we found that VLMs like GPT-4V or Gemini perform significantly worse than CLIP on standard benchmarks such as ImageNet and Flowers.

### **VLMs are bad at Classification**



#### **VLMs are much worse than CLIP**



Flowers



This raised a big question: why is this happening? We rigorously investigated this question through a series of hypotheses, each carefully tested.

# Why are VLMs Bad at Classification?



Our first hypothesis focused on prompt variation. We wondered if poor prompt design might be the issue, so we experimented with a variety of prompts, including more advanced chain-of-thought prompts.

### **Hypothesis Space**

1. Prompt Variation



The results showed that prompt variation had very limited impact, indicating that prompts alone weren't the problem.

# 1. Prompt Variation

#### Prompt

**Default Prompt "What type of object is** 

**Alternative Prompt "What is the main ob** this photo?"

+ CoT "Let's think step by st

CLIP

### **Prompt has a limited impact**

	ImageNet	Flowers
s in this photo?"	22.8	5.9
ject depictured in	21.6	6.6
tep"	N/A	18.1
	74.8	76.0





The second hypothesis addressed label space size. We thought perhaps having too many classes in classification benchmarks, like the 1,000 classes in ImageNet, might be a limiting factor. We tested this by reducing the number of classes.

- **Prompt Variation**
- 2. Label Space



When reducing from 100 to just 2 classes, we saw the gap between LLaVA and CLIP narrow slightly, but it still persisted—even in binary classification.

# 2. Label Space



### Gap narrows but always exists (even N=2)



Our third hypothesis examined the inference method. Asking the VLM to generate exact class names might be too challenging, as it might produce synonyms. We adjusted our approach to measure the probability of each class name.

- **Prompt Variation**
- 2. Label Space
- 3. Inference Algorithm





We used the probability inference technique to select the highest probability of all the class names. While this probability-based approach improved accuracy, the gap between LLaVA and CLIP remained.

### **3. Inference Algorithm**

#### Prompt

#### **Direct Generation O(**

(Success: whether label in genera

#### **Probabality Inference C**

(Success: whether p(label | image, promp



### **Probabilistic inference improves but gap persists**

	ImageNet	Flowers
<b>1)</b> Ition)	22.8	5.9
<b>D(N)</b> t) is highest)	35.3	16.5
	74.8	76.0





The fourth hypothesis was about information loss. CLIP's encoder fully encodes information for classification, but it's unclear if information degrades as it propagates through multiple LLM layers.

- **Prompt Variation**
- 2. Label Space
- 3. Inference Algorithm
- 4. Information Lost





We checked this by training a probing network on the final VLM layer to assess information retention. Surprisingly, we found that most information was preserved, but it couldn't be effectively decoded.

### 4. Information Lost



### Information is mostly preserved but cannot be decoded







The fifth hypothesis was on the training objective. Traditional classification uses cross-entropy loss, while VLMs use a text generation objective, which might be suboptimal for classification tasks.

- **Prompt Variation**
- 2. Label Space
- 3. Inference Algorithm
- 4. Information Lost
- 5. Training Objective







To test this, we converted classification datasets to an instructional format and fine-tuned the VLM using a text generation objective. Surprisingly, fine-tuning closed the gap, boosting LLaVA's accuracy on ImageNet to 86%.

# 5. Training Objective

#### Model

#### **Fine-tuned LLaVA-7B**

#### **Fine-tuned CLIP**

### Fine-tuning eliminates the gap. Text generation objective is as effective as cross-entropy.









Our final hypothesis focused on data. We theorized that VLMs hadn't seen enough classification-specific data or classes during training. We analyzed the distribution of training data and its influence on performance.

- **Prompt Variation**
- 2. Label Space
- 3. Inference Algorithm
- 4. Information Lost
- 5. Training Objective
- Data 6.









We discovered a strong linear correlation between the frequency of a class in VLM training data and the VLM's accuracy on that class! Combined with our fine-tuning results, we concluded that data matters significantly.





### **Strong correlation between class frequency vs performance**

Class Frequency



Through these hypotheses, we highlight a data-centric view of VLM training, showing that adding multimodal data is essential for aligning VLM performance and that performance increases linearly with added data.

- **Prompt Variation**
- 2. Label Space
- 3. Inference Algorithm
- 4. Information Lost
- 5. Training Objective







Finally, why use VLMs for classification when CLIP already performs well? We believe that classification forms the foundation for more complex capabilities.

# Why Using VLM for Classification?



For instance, identifying whether a mushroom is poisonous requires first identifying its species—a task that VLMs, like Gemini, currently struggle with.

### **Classification is Foundation**

editor's note: that is not a yummy button mushroom









Therefore, improving classification capabilities in VLMs is essential, as it forms a solid foundation for more advanced functions, such as knowledge utilization and reasoning.

### **Classification is Foundation**

editor's note: that is not a yummy button mushroom



### Classification

#### Foundation

### Advanced Capabilities



### ImageWikiQA



WikipediA

Q Search Wikipedia

Create account Log in •••

Search

	Tench			文 <sub>人</sub> 73 lang	juages N	/
Contents hide	Article Talk	Read	Edit	View history	Tools 丶	/
(Тор)	From Wikipedia, the free encyclopedia					
Taxonomy	For other uses, see Tench (disambiguation).					
Ecology	This article is about the fish sometimes used as a substitute for carp in recipes. F	−or the f	ireshwa	ater fish used fc	or treating	
Morphology	skin diseases, see Doctor fish.					
Golden tench	The tench or doctor fish (Tinca tinca) is a fresh- and brackish-water fish of the			Tench		
Economic significance	order Cypriniformes found throughout Eurasia from Western Europe including the			Telicii		
Angling	Lake Baikal. <sup>[4]</sup> It normally inhabits slow-moving freshwater habitats, particularly					
References	lakes and lowland rivers. <sup>[5][6]</sup>		201			
				A series		

Taxonomy [edit]

The tench was formerly classified in the subfamily Leuciscinae with other Eurasiar minnows, but more recent phylogenetic studies have supported it belonging to its own family **Tincidae**.<sup>[7][8]</sup>



Ecology [edit]

To further test this, we created ImageWikiQA. Using Wikipedia pages for ImageNet classes, we generated multiple-choice questions with GPT, masking class names and replacing them with ImageNet images.



Question: Which type of waters does this object primarily inhabit?



- A. Fast-flowing streams
- B. Slow-moving freshwater habitats with muddy substrate 🗸
- C. Open ocean waters
- D. Clear waters with stony substrate

Reference: It normally inhabits slowmoving freshwater habitats, particularly lakes and lowland rivers.



To answer these questions, models first need to classify the ImageNet object correctly before leveraging knowledge to answer. Current VLMs struggle with classification, leading to poor performance on this benchmark.

# ImageWikiQA Results

#### Prompt

- GPT4 w/ GT Class
  - GeminiPro
    - Claude3
      - GPT4
  - LLaVA-7B

	ImageWikiQA
sname	100.0
	49.1
	54.3
	61.2
	38.0



However, when we fine-tuned LLaVA on the ImageNet classification dataset, we saw substantial improvements in classification ability, which also enhanced its general capabilities, improving ImageWikiQA performance by 11.8%.

# ImageWikiQA Results

#### Prompt

- **GPT4 w/ GT Class** 
  - GeminiPro
    - Claude3
      - **GPT4**
  - LLaVA-7B

#### LLaVA-7B Fine-tuned on Image

### enhanced classification -> enhanced general capabilities

	ImageWikiQA
sname	100.0
	49.1
	54.3
	61.2
	38.0
eNet Classification	49.8







VISION-LANGUAG

incrediibly VLM visual problems!

### Critical information for image classification is encoded in the VLM's latent space but cannot be decoded.

Classifier:

#### Here are our key takeaways. Thank you for watching, and please check out our paper for more details!

can't I tell II if

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### With enough training data, VLMs match CLIP in classification.

**Enhanced classification performance transfers to general** capabilities.

