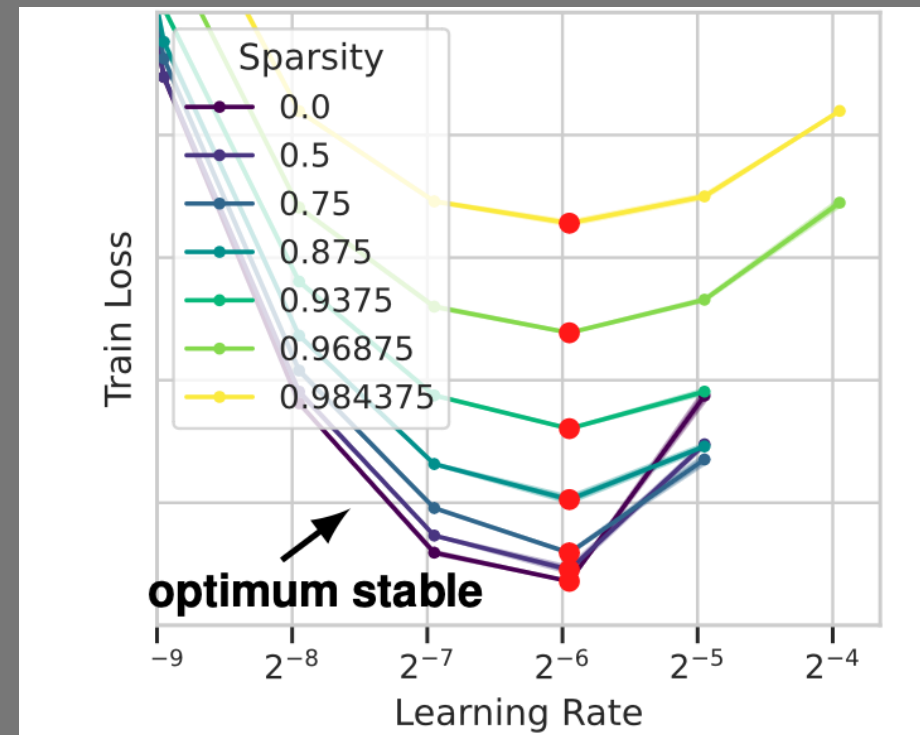
A large, light gray decorative graphic on the left side of the slide, consisting of several concentric, semi-circular arcs of varying thicknesses, resembling a stylized 'C' or a series of overlapping waveforms.

# Sparse maximal update parameterization: A holistic approach to sparse training dynamics

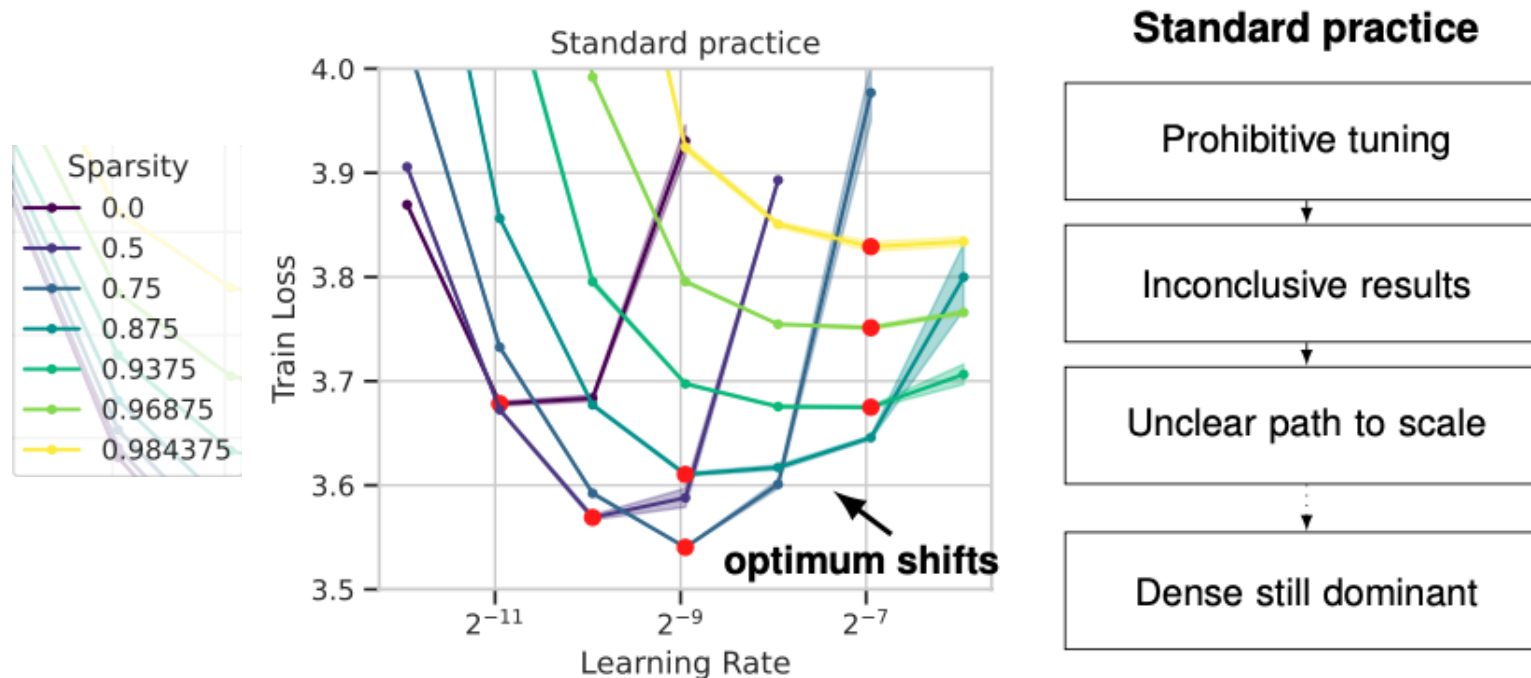
**Nolan Dey, Shane Bergsma, Joel Hestness**

**TL;DR:** We introduce the sparse maximal update parameterization (S $\mu$ Par) which ensures stable optimal HPs for any width or sparsity level. This dramatically reduces sparse HP tuning costs, allowing S $\mu$ Par to achieve superior losses.



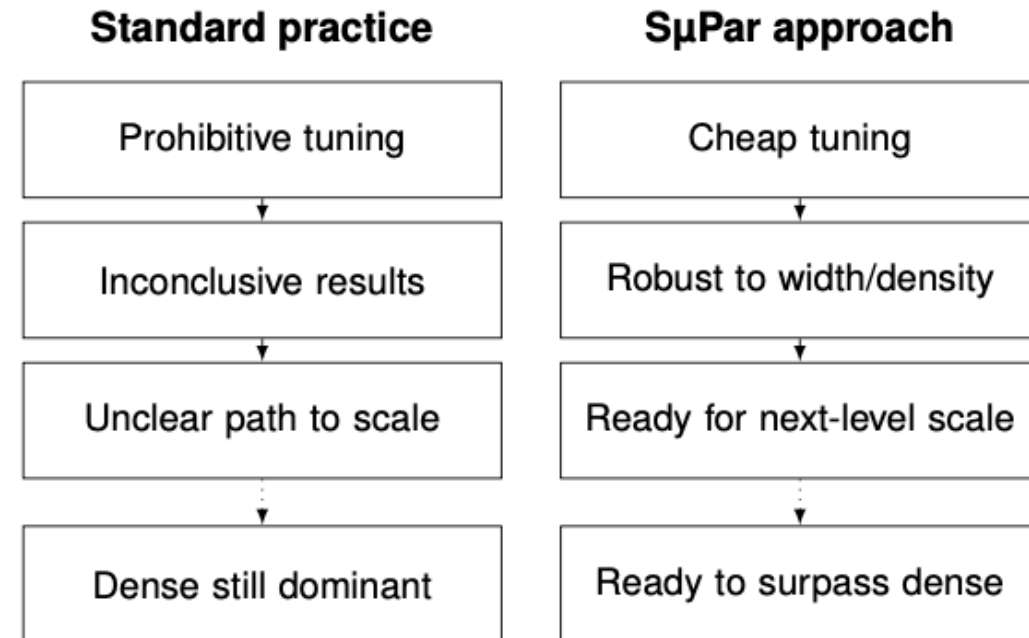
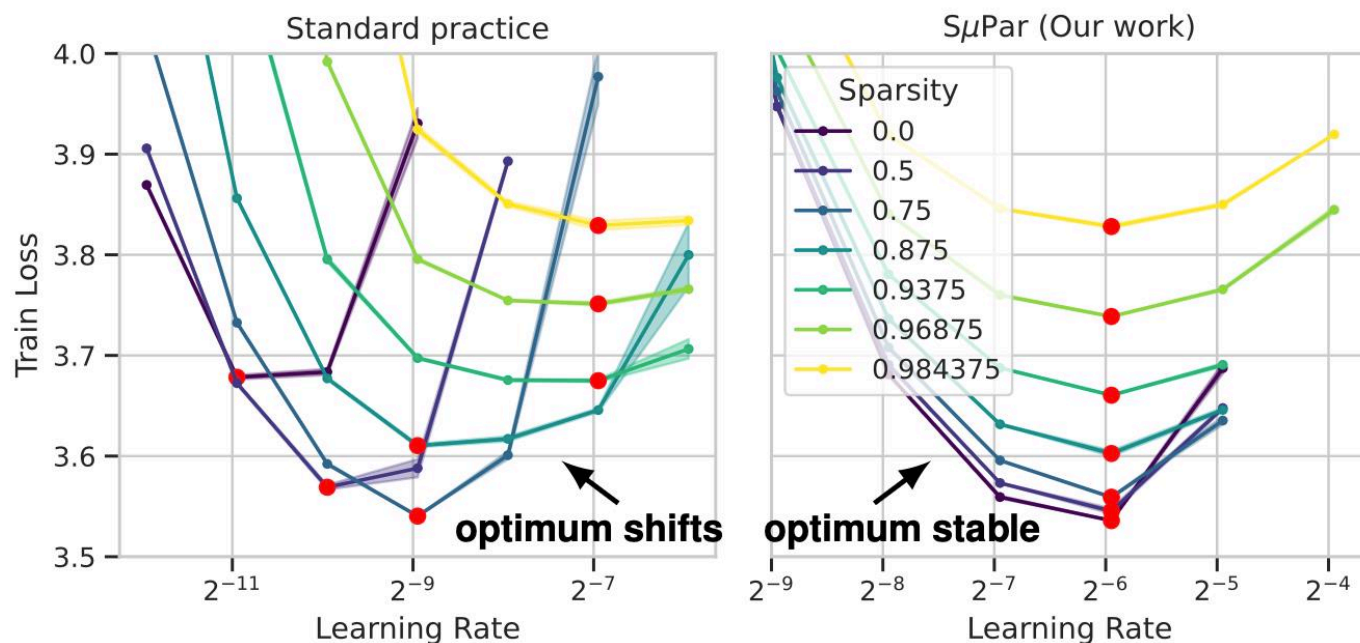
# Motivation

- When training sparse models, it is standard practice to **re-use the dense hyperparameters (HPs)**
- **Left:** Optimal HPs **systematically vary with sparsity level**
- Conducting a robust sparsity study would require retuning HPs for each sparsity level
- **Right:** Without stable optimal HPs across sparsity levels, it is **prohibitive** to robustly study large-scale sparse training



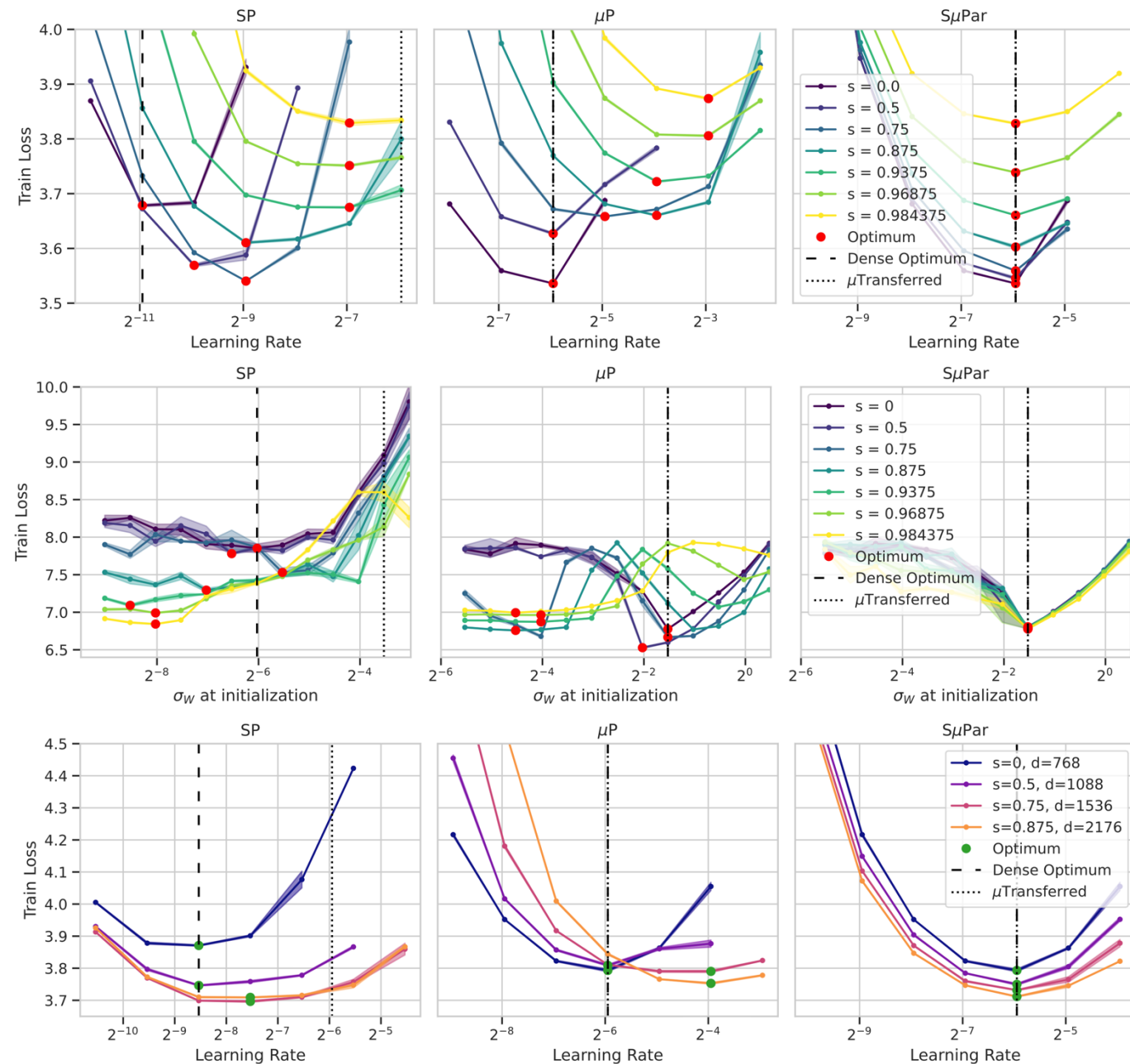
# SuPar enables more robust sparse research

- **Left:** We propose Sparse Maximal Update Parameterization (**SuPar**), which enables the same HP values to be optimal as we vary **both** sparsity level and model width
- **Right:** SuPar enables more robust sparsity research
- In prior research that re-used dense HPs, sparse models are unfairly disadvantaged and these studies **merit re-examination**



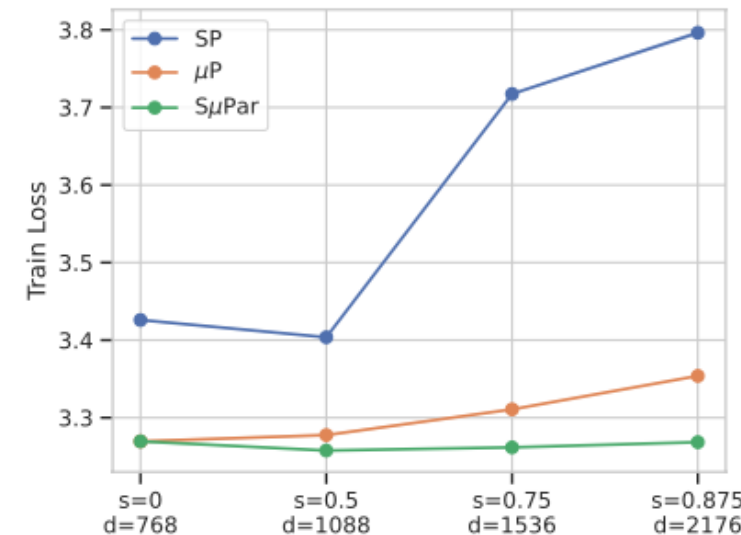
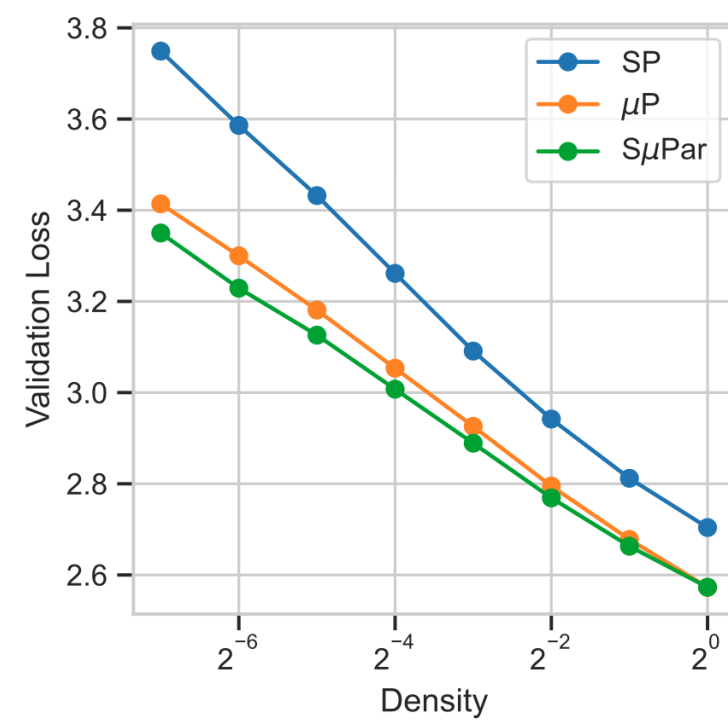
# Static Sparsity Hyperparameter Transfer

- Unlike SP and  $\mu P$  [1],  $S\mu Par$  enables **optimal HP transfer for any width or sparsity**
  - **Top:**  $S\mu Par$  enables stable  $\eta^*$  for any sparsity.
  - **Middle:**  $S\mu Par$  enables stable  $\sigma_W^*$  for any sparsity.
  - **Bottom:**  $S\mu Par$  enables stable  $\eta^*$  for any width and sparsity.
- Our **dense-tuned HPs perfectly transfer** to  $S\mu Par$  models (“ $\mu Transferred$ ” vertical line)



# Sparse LLM Pretraining

- Large networks trained with S $\mu$ Par improve over SP and  $\mu$ P due to improved tuning
- **Top:** Apply static sparsity to 610M parameter LLM trained on 12.2B tokens. S $\mu$ Par models improve over SP and  $\mu$ P due to improved tuning
- **Bottom:** Iso-Parameter wide-sparse scale 111M parameter LLM trained on 1B tokens. SuPar enables wide-sparse models to match dense loss at high sparsity levels, unlike SP and muP



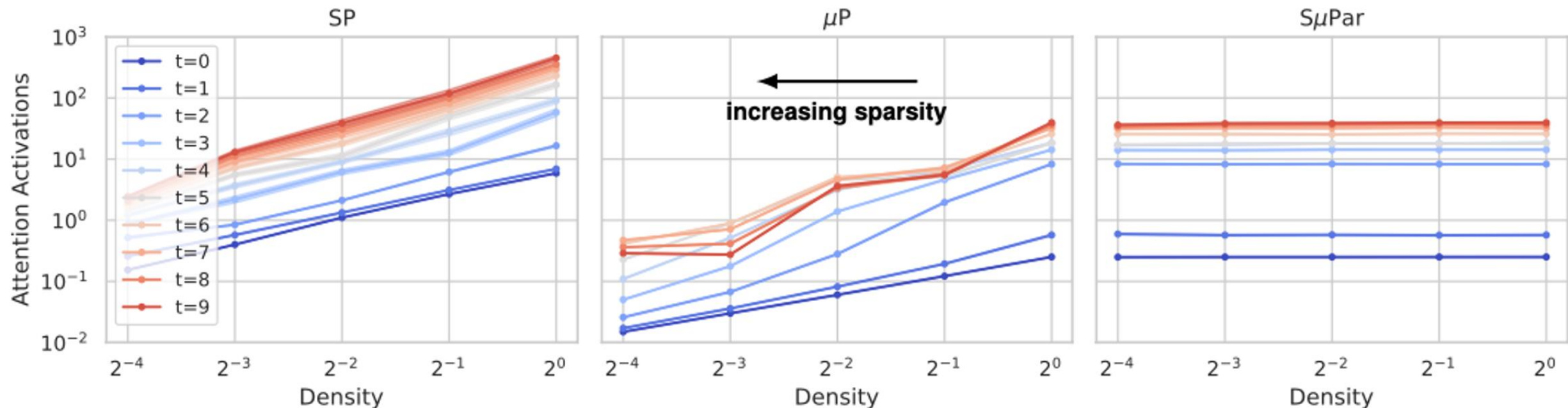


# How SuPar works



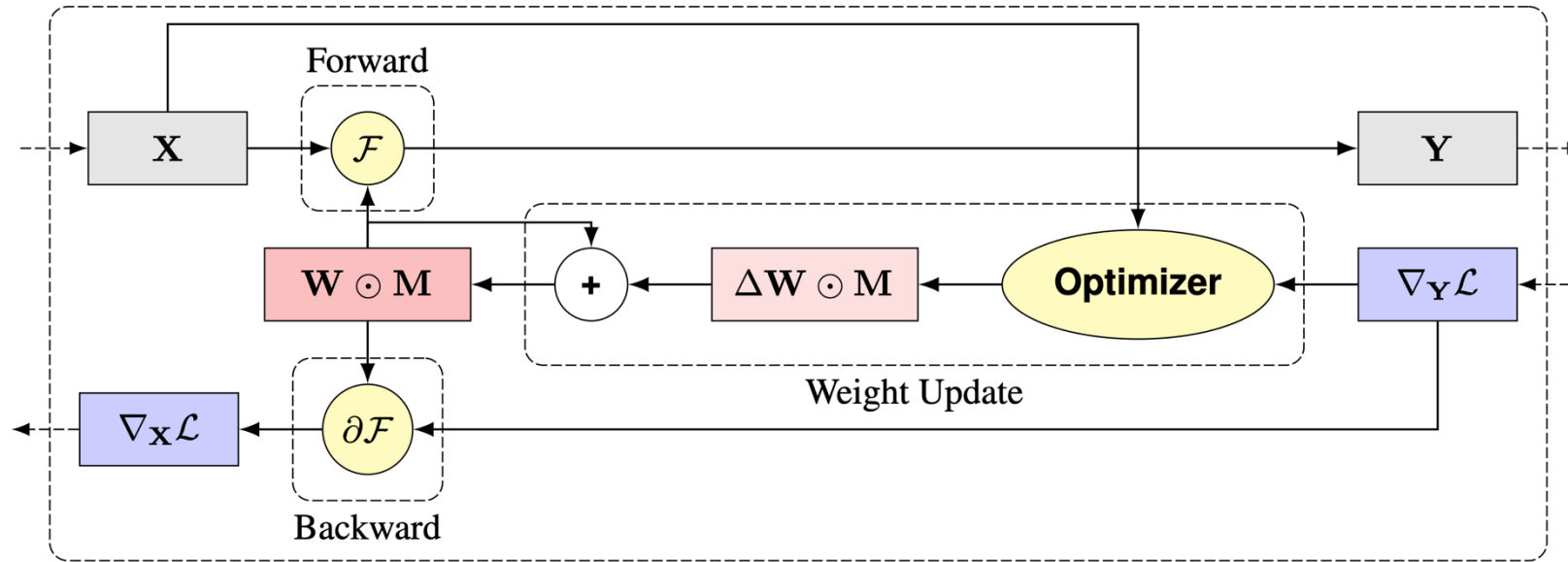
# S $\mu$ Par stabilizes training dynamics

- **Setup:** For several sparsity levels, train a model for 10 steps and record activation L1 norm
  - All the points at each density value comprise a single training run
  - Each line has points from multiple models
- **Left & Middle:** For both SP and  $\mu$ P, sparsity causes vanishing activations and gradients
- **Right:** For SuPar, sparsity has little effect on activation scales and there is no vanishing.





# Training step



If we apply sparsity to a linear layer (i.e.,  $\mathcal{F}$  is a fully-connected layer), our aim is to control:

1. **Forward pass:**  $Y = \mathcal{F}(X, W \odot M) = X(W \odot M)$ .
2. **Backward pass:**  $\nabla_X \mathcal{L} = (\nabla_Y \mathcal{L}) \cdot (W \odot M)^\top$ .
3. **Effect of weight update  $\Delta W$  on  $Y$ :**  $\Delta Y = X(\Delta W \odot M)$ <sup>1</sup>.

# Sparse Maximal Update Parameterization (S $\mu$ Par)

**Feature Learning Desiderata (FLD):** For layer  $l$  and token  $i$ , we desire that  $\|\mathbf{Y}_i^l\|_2 = \Theta(\sqrt{d_{\text{out}}})$ ,  $\|\nabla_{\mathbf{X}} \mathcal{L}_i^l\|_2 = \Theta(\sqrt{d_{\text{in}}})$ ,  $\|\Delta \mathbf{Y}_i^l\|_2 = \Theta(\sqrt{d_{\text{out}}})$ ,  $\forall i, \forall l$ .

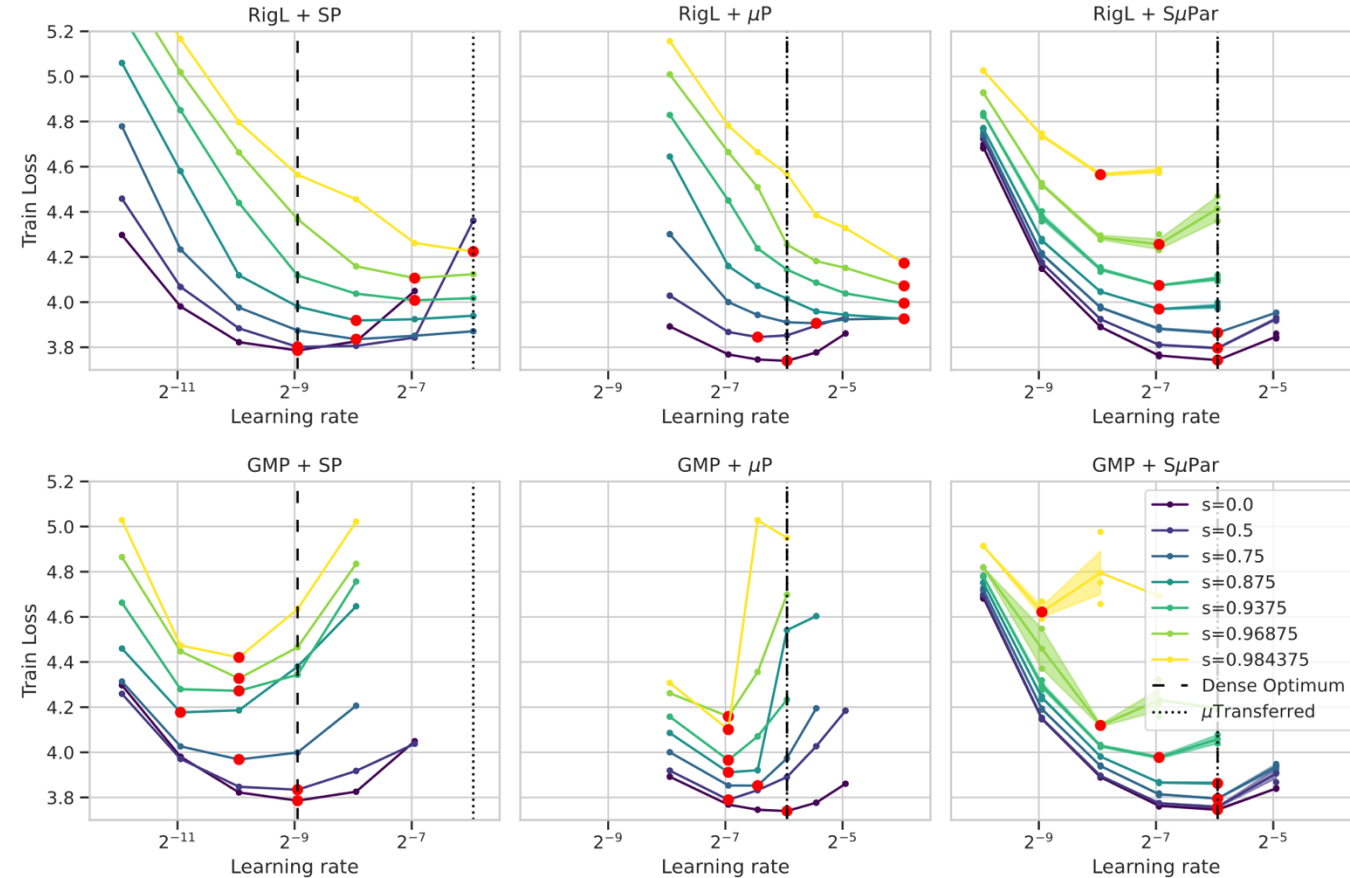
- S $\mu$ Par ensures the typical element size of  $Y, \nabla_X L, \Delta Y$  is  $\Theta(1)$  with respect to change in width  $m_d$  and change in density  $m_\rho$ , satisfying the FLD
- S $\mu$ Par extends  $\mu$ P [1] for sparsity by applying corrections to hidden LR and initialization variances.
- Code: <https://github.com/EleutherAI/nanoGPT-mup/tree/supar>

Table 1: Summary of SP,  $\mu$ P, and S $\mu$ Par

Parameterization	SP	$\mu$ P	S $\mu$ Par
Embedding Var.	$\sigma_{\text{base}}^2$	$\sigma_{\text{base}}^2$	$\sigma_{\text{base}}^2$
Embedding LR	$\eta_{\text{base}}$	$\eta_{\text{base}}$	$\eta_{\text{base}}$
Embedding Fwd.	$\mathbf{X}^0 \mathbf{W}_{\text{emb}}$	$\alpha_{\text{input}} \cdot \mathbf{X}^0 \mathbf{W}_{\text{emb}}$	$\alpha_{\text{input}} \cdot \mathbf{X}^0 \mathbf{W}_{\text{emb}}$
Hidden Var.	$\sigma_{\text{base}}^2$	$\sigma_{\text{base}}^2 / m_d$	$\sigma_{\text{base}}^2 / (m_d m_\rho)$
Hidden LR (Adam)	$\eta_{\text{base}}$	$\eta_{\text{base}} / m_d$	$\eta_{\text{base}} / (m_d m_\rho)$
Unembedding Fwd.	$\mathbf{X}^L \mathbf{W}_{\text{emb}}^\top$	$\alpha_{\text{output}} \mathbf{X}^L \mathbf{W}_{\text{emb}}^\top / m_d$	$\alpha_{\text{output}} \mathbf{X}^L \mathbf{W}_{\text{emb}}^\top / m_d$
Attention logits	$\mathbf{Q}^\top \mathbf{K} / \sqrt{d_{\text{head}}}$	$\mathbf{Q}^\top \mathbf{K} / d_{\text{head}}$	$\mathbf{Q}^\top \mathbf{K} / d_{\text{head}}$

# Dynamic sparsity hyperparameter transfer

- None of SP,  $\mu$ P, or  $S\mu$ Par achieve stable  $\eta^*$  across sparsity levels for RigL [2] (**Top**) or GMP [3] (**Bottom**)
- For  $S\mu$ Par, higher sparsity means lower  $\eta^*$  because  $S\mu$ Par is “overcorrecting”.
- **Problem:** Dynamic sparse mask updates shift distribution of unmasked/non-zero weights to be non-Gaussian
- **Future work:** Generalize  $S\mu$ Par for dynamic sparsity



# References

- [1] Greg Yang, Edward Hu, Igor Babuschkin, Szymon Sidor, Xiaodong Liu, David Farhi, Nick Ryder, Jakub Pachocki, Weizhu Chen, and Jianfeng Gao. (2021). **“Tuning Large Neural Networks via Zero-Shot Hyperparameter Transfer.”** In Advances in Neural Information Processing Systems.
- [2] Utku Evci, Trevor Gale, Jacob Menick, Pablo Samuel Castro, and Erich Elsen. (2020). **“Rigging the lottery: Making all tickets winners.”** In International conference on machine learning. PMLR, 2943–2952.
- [3] Michael Zhu and Suyog Gupta. (2017). **“To prune, or not to prune: exploring the efficacy of pruning for model compression.”** arXiv preprint arXiv:1710.01878.