# Enhancing Graph Transformers with Hierarchical Distance Structural Encoding

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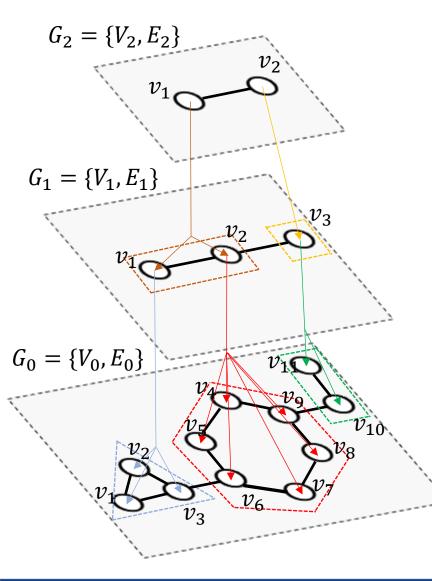




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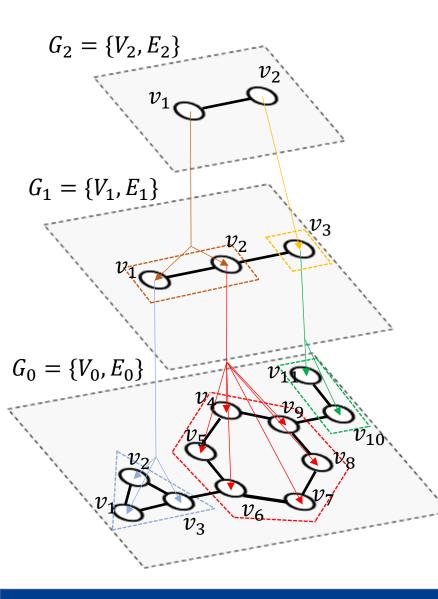
#### **Graph Hierarchies**



• Given an input graph G, a graph hierarchy of G consists of a sequence of graphs  $(G_k, \varphi_k)_{\{k \ge 0\}}$ , where:

- $G_0$  denotes G.
- $\varphi_k: V_k \to V_{k+1}$  are surjective node mapping functions.
- Each node  $v_{k+1,j} \in V_{k+1}$ represents a cluster of a subset of nodes  $\{v_{k,i}\} \in V_k$ .

# Graph Hierarchies

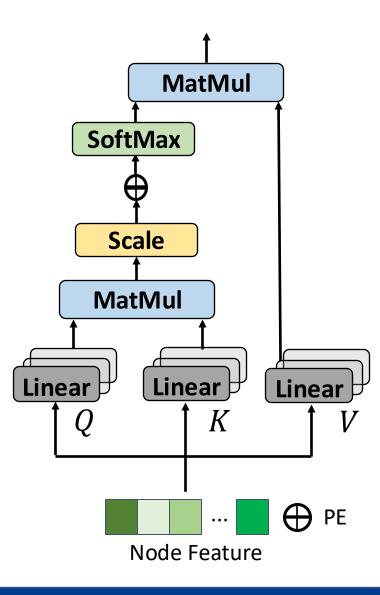


- Graph hierarchies can be constructed by repeatedly applying graph coarsening algorithms:
- METIS, Spectral clustering, Loukas methods, Newman methods, Louvain methods
- These algorithms take a graph,  $G_k$ , and generate a mapping function  $\varphi_k: V_k \rightarrow V_{k+1}$ , which maps the nodes in  $G_k$  to the nodes in the coarser graph  $G_{k+1}$ .

# Transformers on Graphs

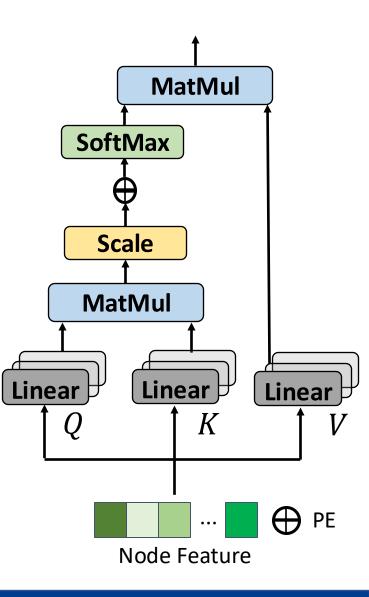
• Transformers have revolutionized deep learning, in particular sequence learning, and yield promising performance over graphs.

• Graph Transformers usually apply the regular attention quadratic attention across all graph nodes and encode the graph connectivity using specific positional encodings (PEs).



#### Transformers on Graphs

However, Graph Transformers struggle with learning hierarchical structures, limiting their performance, for example, on complex molecular graphs like polymers and proteins.

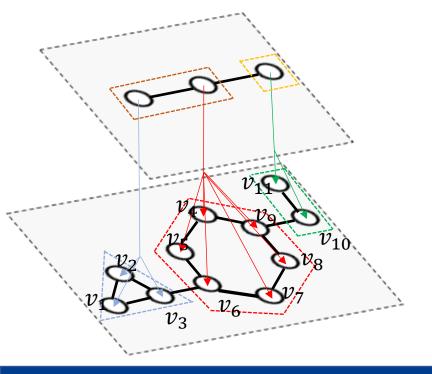


# Graph Hierarchy Distance

• We introduce a novel distance called graph hierarchy distance (GHD):

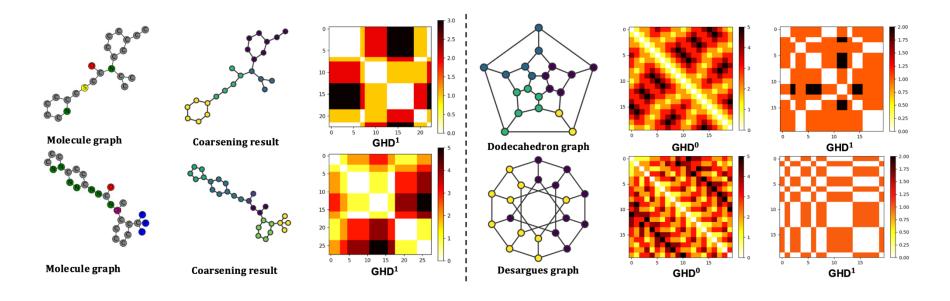
$$GHD^{0}(u, v) = SPD(u, v),$$
  

$$GHD^{k}(u, v) = SPD(\phi_{k-1}...\phi_{0}(u), \phi_{k-1}...\phi_{0}(v))$$



 It can be observed that GHD<sup>^</sup>O (v1, v11) = 7, whereas GHD<sup>^</sup>I (v1, v11) = 2

# Graph Hierarchy Distance



- GHD can capture chemical motifs such as CF3 and aromatic rings on molecule graphs.
- GHD can distinguish the Dodecahedron and Desargues graphs. The Dodecahedral graph has GHD^1 of length 2 (indicated by the dark color), while the Desargues graph doesn't.

## Hierarchical Distance Structural Encoding

• Based on GHD, we propose hierarchical distance structural encoding (HDSE):

$$\mathbf{D}_{i,j} = \left[\mathbf{GHD}^{0}, \mathbf{GHD}^{1}, ..., \mathbf{GHD}^{K}\right]_{i,j} \in \mathbb{R}^{K+1}$$

where K controls the maximum level of hierarchy.

• [Expressiveness of HDSE]:

GD-WL with HDSE is strictly more expressive than GD-WL with the shortest path distance SPD.

#### Integrating HDSE in Graph Transformers

• We integrate HDSE into the attention mechanism of each graph transformer layer to bias each node update:

$$\begin{split} \mathbf{H}_{i,j} &= \mathrm{MLP}\left(\left[\mathbf{e}_{\mathrm{clip}_{i,j}^{0}}^{0}, \cdots, \mathbf{e}_{\mathrm{clip}_{i,j}^{K}}^{K}\right]\right) \in \mathbb{R},\\ \mathrm{clip}_{i,j}^{k} &= \min\left(L, \mathrm{GHD}_{i,j}^{k}\right), 0 \leq k \leq K,\\ \mathrm{Attention}\left(\mathbf{X}\right) &= \mathrm{softmax}\left(\mathbf{A} + \mathbf{H}\right)\mathbf{V}, \mathbf{A} = \frac{\mathbf{QK}^{\top}}{\sqrt{d'}} \end{split}$$

This module is backbone-agnostic and can be seamlessly integrated into the self-attention mechanism of existing graph transformer architectures.

# Integrating HDSE in Graph Transformers

• [Expressiveness of Graph Transformers with HDSE]: There exists a graph transformer using HDSE (with fixed parameters), denoted as M, such that M is more expressive than graph transformers with the same architecture using SPD or using no relative positional encoding, regardless of their parameters.

> It demonstrate the superior expressiveness of HDSE over SPD or no RPE in graph transformers.

# Integrating HDSE in Graph Transformers

• [Generalization of Graph Transformers with HDSE]: For a semi-supervised binary node classification problem, suppose the label of each node  $i \in V$  is determined by node features in the "hierarchical core neighborhood"  $S_i = \{j : D = D^*\}$  for a certain  $D^*$ , where D is HDSE. Then, a properly initialized one-layer graph transformer equipped with HDSE can learn such graphs with a desired generalization error, while using SPD or using no relative positional encoding cannot guarantee satisfactory generalization.

It indicates that learning with HDSE can capture the labeling function characterized by the hierarchical core neighborhood, which is more general and comprehensive than the core neighborhood for SPD or no RPE.

# Evaluation

Table 2: Test performance in five benchmarks from [20]. The results are presented as the mean  $\pm$  standard deviation from 5 runs using different random seeds. Baseline results were obtained from their respective original papers. \* indicates a statistically significant difference against the baseline w/o HDSE from the one-tailed t-test. Highlighted are the top **first**, second and **third** results.

Model	ZINC MAE↓	MNIST Accuracy ↑	CIFAR10 Accuracy ↑	PATTERN Accuracy ↑	CLUSTER Accuracy ↑
GCN GIN	$0.367 \pm 0.011$ $0.526 \pm 0.051$	$90.705 \pm 0.218$ $96.485 \pm 0.252$	$55.710 \pm 0.381$ $55.255 \pm 1.527$	$71.892 \pm 0.334$ $85.387 \pm 0.136$	$68.498 \pm 0.976$ $64.716 \pm 1.553$
GatedGCN PNA CIN	$0.282 \pm 0.015$ $0.188 \pm 0.004$ $0.079 \pm 0.006$	$97.340 \pm 0.143$ $97.940 \pm 0.120$	$67.312 \pm 0.311$ $70.350 \pm 0.630$	85.568 ± 0.088 _ _	73.840 ± 0.326 
GIN-AK+	$0.080 \pm 0.001$	_	$72.190 \pm 0.130$	$86.850 \pm 0.057$	_
SGFormer	$0.306 \pm 0.023$	_	_	$85.287 \pm 0.097$	$69.972 \pm 0.634$
SAN Graphormer-GD Specformer	$0.139 \pm 0.006$ $0.081 \pm 0.009$ $0.066 \pm 0.003$	-	-	86.581 ± 0.037 -	76.691 ± 0.650 -
EGT Graph ViT/MLP-Mixer	$0.108 \pm 0.009$ $0.073 \pm 0.001$	$98.173 \pm 0.087$ $97.422 \pm 0.110$	$68.702 \pm 0.409$ $73.961 \pm 0.330$	86.821 ± 0.020 _	79.232 ± 0.348
Exphormer	-	98.550 ± 0.039	74.696 ± 0.125	86.742 ± 0.015	78.071 ± 0.037
GT GT + HDSE	$0.226 \pm 0.014$ $0.159 \pm 0.006^{*}$	$90.831 \pm 0.161$ $94.394 \pm 0.177^*$	$59.753 \pm 0.293 \\ 64.651 \pm 0.591^*$	$84.808 \pm 0.068$ $86.713 \pm 0.049^*$	$73.169 \pm 0.622 74.223 \pm 0.573^*$
SAT SAT + HDSE	$0.094 \pm 0.008$ $0.084 \pm 0.003^{*}$			86.848 ± 0.037 86.933 ± 0.039*	$77.856 \pm 0.104$ $78.513 \pm 0.097^*$
GraphGPS GraphGPS + HDSE	0.070 ± 0.004 0.062 ± 0.003*	98.051 ± 0.126 98.367 ± 0.106*	72.298 ± 0.356 76.180 ± 0.277*	86.685 ± 0.059 86.737 ± 0.055	$78.016 \pm 0.180$ $78.498 \pm 0.121^*$
GRIT GRIT + HDSE	$\begin{array}{c} 0.059 \pm 0.002 \\ 0.059 \pm 0.004 \end{array}$	98.108 ± 0.111 98.424 ± 0.124*	$\frac{76.468 \pm 0.881}{76.473 \pm 0.429}$	87.196 ± 0.076 87.281 ± 0.064	80.026 ± 0.277 79.965 ± 0.203

# Evaluation

Table 3: Test performance on two peptide datasets from Long-Range Graph Benchmarks (LRGB) [23].

Model	Peptides-func AP↑	Peptides-struct MAE $\downarrow$
GCN	$0.5930 \pm 0.0023$	$0.3496 \pm 0.0013$
GINE	$0.5498 \pm 0.0079$	$0.3547 \pm 0.0045$
GatedGCN	$0.5864 \pm 0.0035$	$0.3420 \pm 0.0013$
GatedGCN+RWSE	$0.6069 \pm 0.0035$	$0.3357 \pm 0.0006$
GT	$0.6326 \pm 0.0126$	$0.2529 \pm 0.0016$
SAN+RWSE	$0.6439 \pm 0.0075$	$0.2545 \pm 0.0012$
MGT+WavePE	$0.6817 \pm 0.0064$	$0.2453 \pm 0.0025$
GRIT	$0.6988 \pm 0.0082$	$0.2460 \pm 0.0012$
Exphormer	$0.6527 \pm 0.0043$	$0.2481 \pm 0.0007$
Graph ViT/MLP-Mixer	$0.6970 \pm 0.0080$	$0.2475 \pm 0.0015$
DRew	$0.7150 \pm 0.0044$	$0.2536 \pm 0.0015$
GraphGPS	$0.6535 \pm 0.0041$	$0.2500 \pm 0.0012$
GraphGPS + HDSE	$0.7156 \pm 0.0058^*$	$0.2457 \pm 0.0013^*$

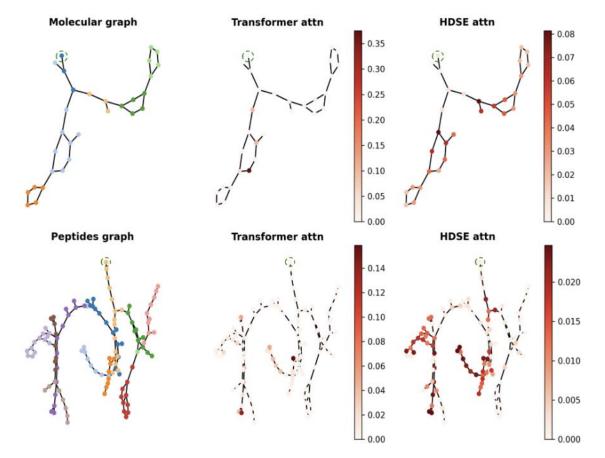
Table 4: Ablation experiments of coarsening algorithms on ZINC.

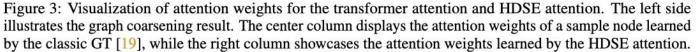
Model	Coarsening algorithm	ZINC MAE↓	
SAT	w/o	$0.094 \pm 0.008$	
	METIS	$0.089 \pm 0.005$	
	Spectral	$0.088 \pm 0.004$	
	Loukas	$0.084 \pm 0.003$	
	Newman	$0.087 \pm 0.002$	
	Louvain	$0.088 \pm 0.003$	
GraphGPS	w/o	$0.070 \pm 0.004$	
	METIS	$0.069 \pm 0.002$	
	Spectral	$0.063 \pm 0.003$	
	Loukas	$0.067 \pm 0.002$	
	Newman	$0.062 \pm 0.003$	
	Louvain	$0.064 \pm 0.002$	

• Over all datasets, our HDSE makes the transformers outperform the original transformers.

• Different graph coarsening algorithms result in distinct multi-level graph structures. The Newman algorithm exhibits optimal performance on small molecular graphs.

# Evaluation





#### HDSE successfully leverages hierarchical structure.

- Our HDSE improves SOTA graph transformer performance on graphs which exhibit community structures.
- We theoretically prove the superiority of HDSE in terms of expressivity and generalization



https://github.com/LUOyk1999/HDSE

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#### Thanks for listening!



https://github.com/LUOyk1999/HDSE