

# Certified Adversarial Robustness via Randomized Alpha-Smoothing for Regression Models

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# Motivation

- Randomized Smoothing (RS) has shown promising results in the certification of predictions in Large-scale classification models.
- Only bounded output regression models have been certified so far using RS with restrictive constraints.
- For the first time, a universal certification approach is developed for a broad class of regression models (bounded/unbounded outputs) and the certification is valid for both small and large sample regimes.

### **Overall Structure**





#### Main Results

**Theorem 3.** (Certification of  $\mathbf{g}_{\alpha}(\mathbf{x})$  against  $\ell_p$  Attack). Let  $\mathbf{f}_{\theta}(\mathbf{x}) : \mathbb{R}^d \to \mathbb{R}^t$  be a deterministic or random base regressor and let  $n \ge 1$ ,  $0 \le \alpha < 1/2$ ,  $\mathbf{e} \sim \mathcal{N}(\mathbf{0}, \sigma^2 \mathbf{I})$  and suppose the  $\alpha$ -trimming function  $\mathbf{g}_{\alpha}(\mathbf{x})$  defined in (8) is used for smoothing. Then given

$$\mathbb{P}\{diss_{y}(f_{\theta}(\boldsymbol{x}+\boldsymbol{e})_{i},\boldsymbol{y}_{i}) \leq \epsilon_{y_{i}}\} \geq \underline{p}_{A_{i}}, \forall i \in [t]]$$

$$(13)$$

where  $\underline{p}_{A_i}$  is the lower bound on the probability of accepting prediction in the  $i^{th}$  output variable, then  $\mathbf{g}_{\alpha}(\mathbf{x} + \boldsymbol{\delta})$ ,  $\forall \|\boldsymbol{\delta}\|_p \leq \epsilon_x$   $(p \geq 2)$  is within accepted region, i.e.,  $\mathbf{N}_{\mathbf{y}}(\mathbf{y}, \epsilon_y) = \prod_{i=1}^t \mathbf{N}_y(\mathbf{y}_i, \epsilon_{y_i})$ , with the user-defined probability P, s.t.  $I_{n,\alpha}^{-1}(P) \leq \underline{p}_{A_i}, \forall i \in [t]$ , where

$$\epsilon_x = \min_{i \in [t]} \frac{\sigma}{d^{\frac{1}{2} - \frac{1}{p}}} \left( \Phi^{-1}(\underline{p}_{A_i}) - \Phi^{-1}(I_{n,\alpha}^{-1}(P)) \right), \tag{14}$$

and where  $I_{n,\alpha}^{-1}(x)$  is the inverse of the regularized beta function w.r.t Bernoulli success rate parameter.

### **Experimental Results**



Figure 3: Adopted regression function (a) with the estimated certified radii (against  $\ell_2$  and  $\ell_{\infty}$  attacks) for evaluated points in the center for both base and smoothed outputs (b & c).

#### Thank You!