## Understanding Knowledge Storage and Transfer in Multimodal Language Models

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#### About Me

- Final year CS PhD student at University of Maryland with Dr. Soheil Feizi
- Current Research Interests: Multimodal /Vision/Language Models with an emphasis on controlling them via Interpretability
  - DiffQuickFix, LocoEdit: Light-weight Model Editing for Text-to-Image Models
  - CompAlign Interpreting arbitrary ViT components (e.g., attention heads) with text
    - Zero-shot segmentation
    - Spurious Correlation Mitigation

#### Motivation

#### Multimodal LLMs are Widely Used in VQA

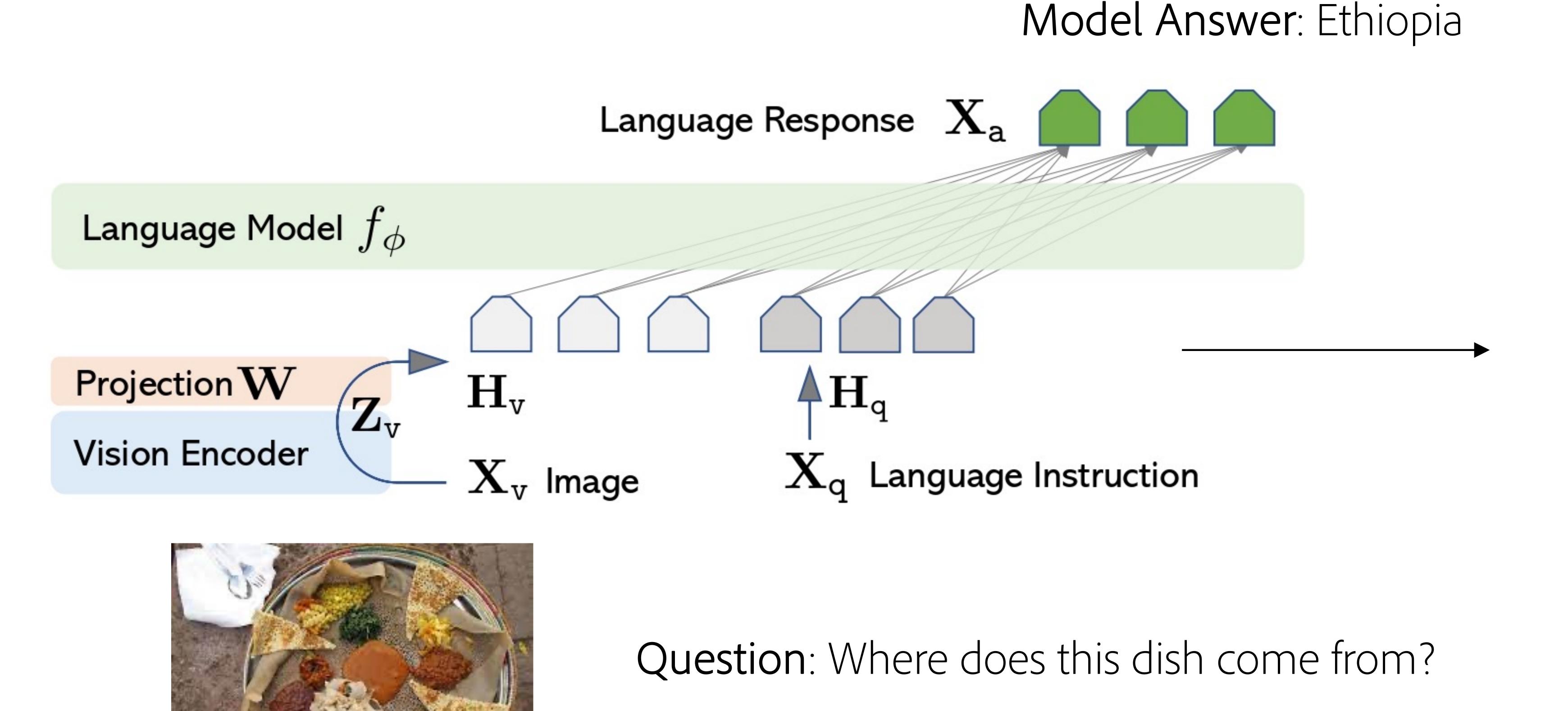


Fig 1: Representative VQA task

Input Image

But we *lack scientific* understanding on:

- (i) How they internally process information
- (ii) How can we control them for tasks like model editing to fix failure modes / introduce rare concepts

We study how
MLLMs process and
transfer information
in a factual VQA task
using a constraintbased formulation

(i) Which layers 'causally' (ii) How does information write information into from visual tokens reach residual stream? the 'causal' layers? Language Response Language Model  $f_{\phi}$ Projection W Vision Encoder X<sub>q</sub> Language Instruction  $X_v$  Image Projection Family Visual Prompt Language Prompt Models Causal Layer: A layer or a small set of layers which

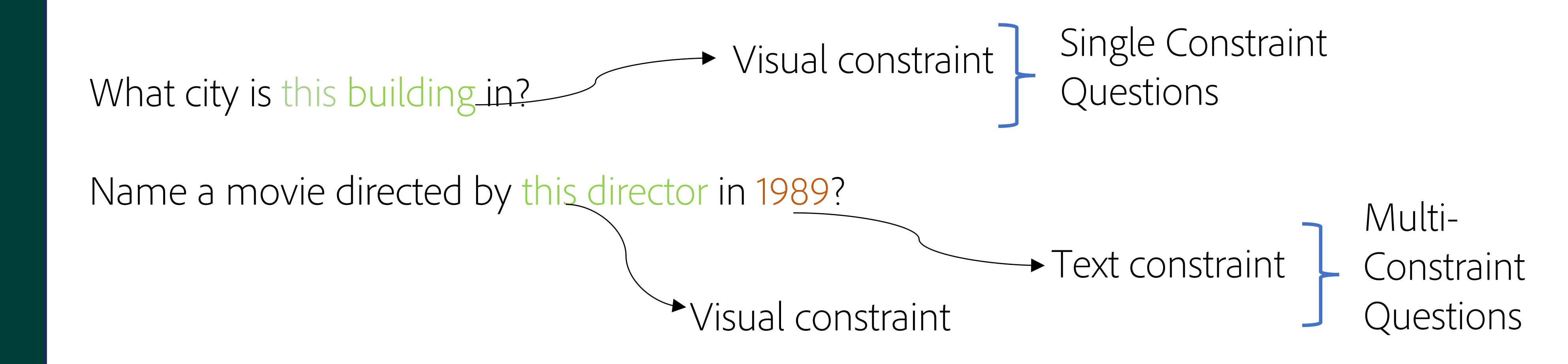
control the output of the model conditioned on an input

We study LLaVA and multi-modal Phi-2

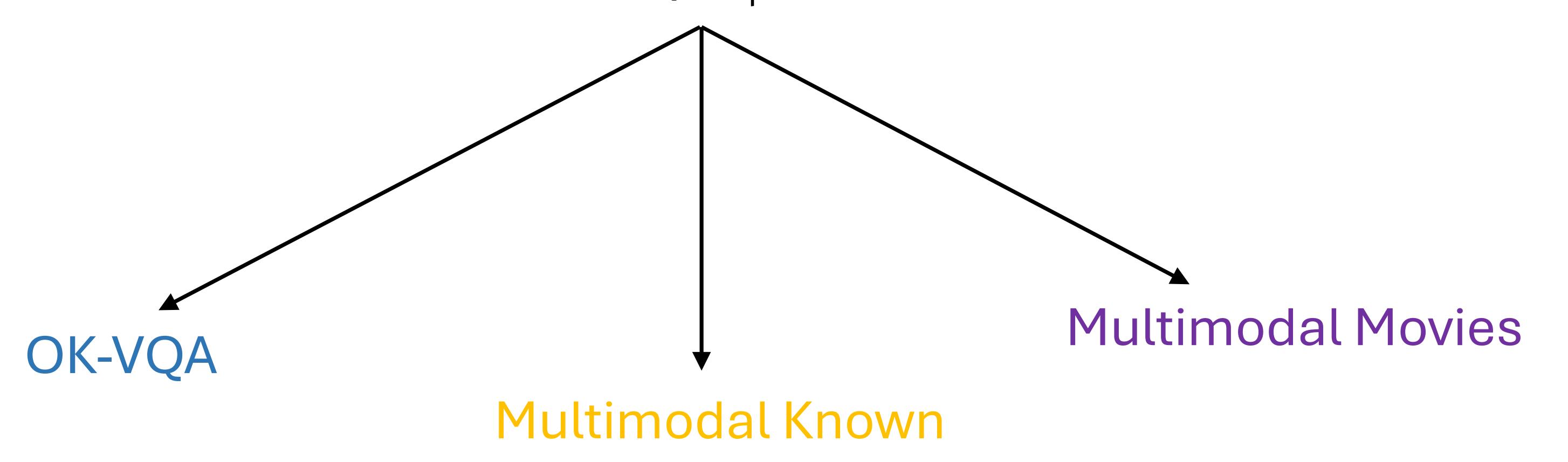
### Designing a Probe Dataset

# Introducing Constraint Based Formulation for Interpreting MLLMs

#### Constraint based VQA Questions

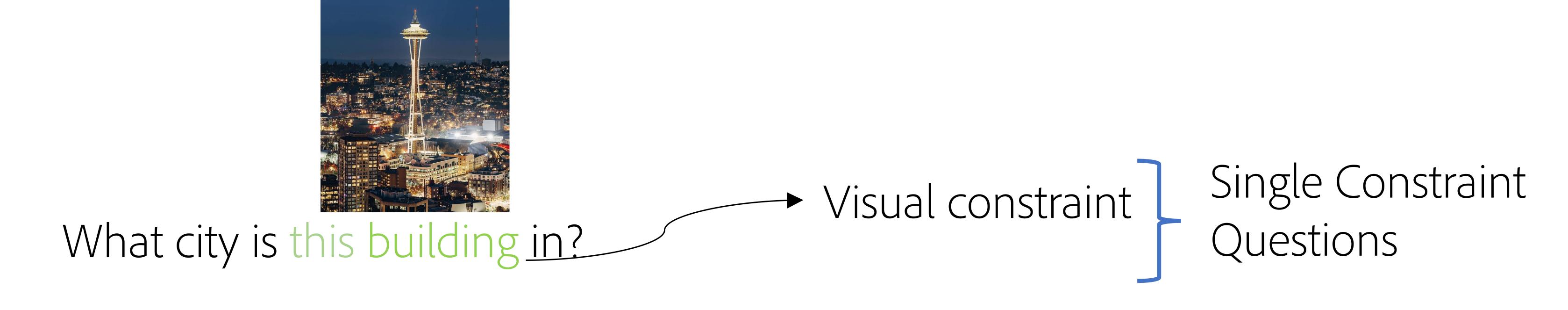


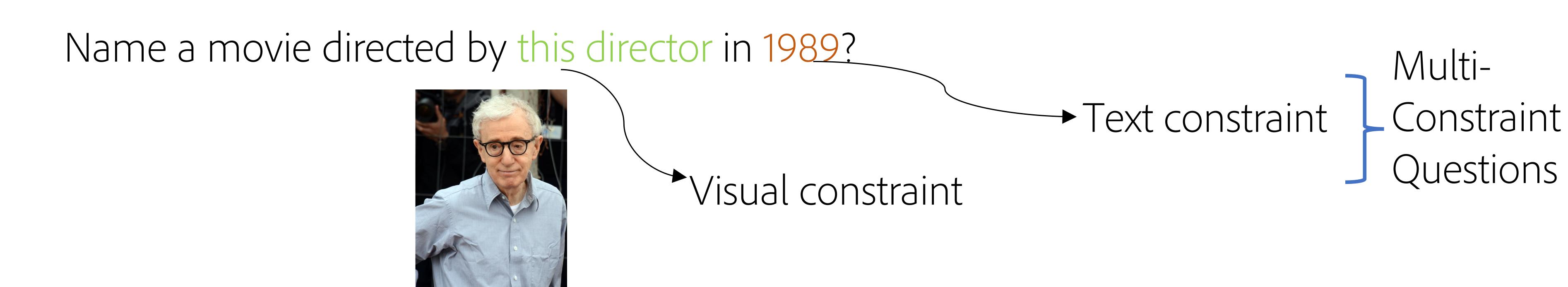
VQA-Constraints Dataset: 9.7k VQA questions annotated with constraints



# Introducing Constraint Based Formulation for Interpreting MLLMs

#### Constraint based VQA Questions



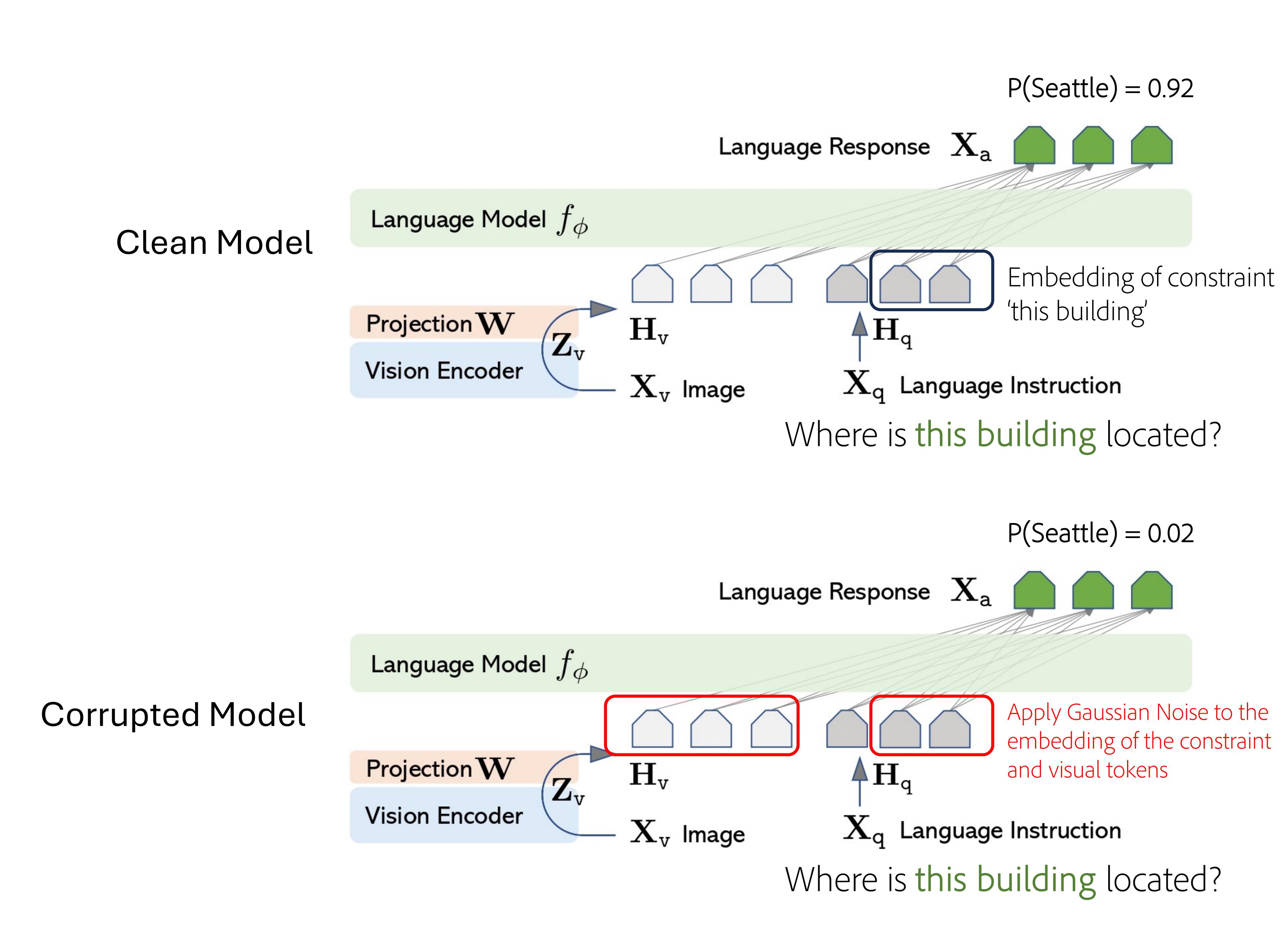


Visual Constraint: A set of tokens in the question which relates to a visual entity in an image (e.g., this building -> Space Needle in Image)

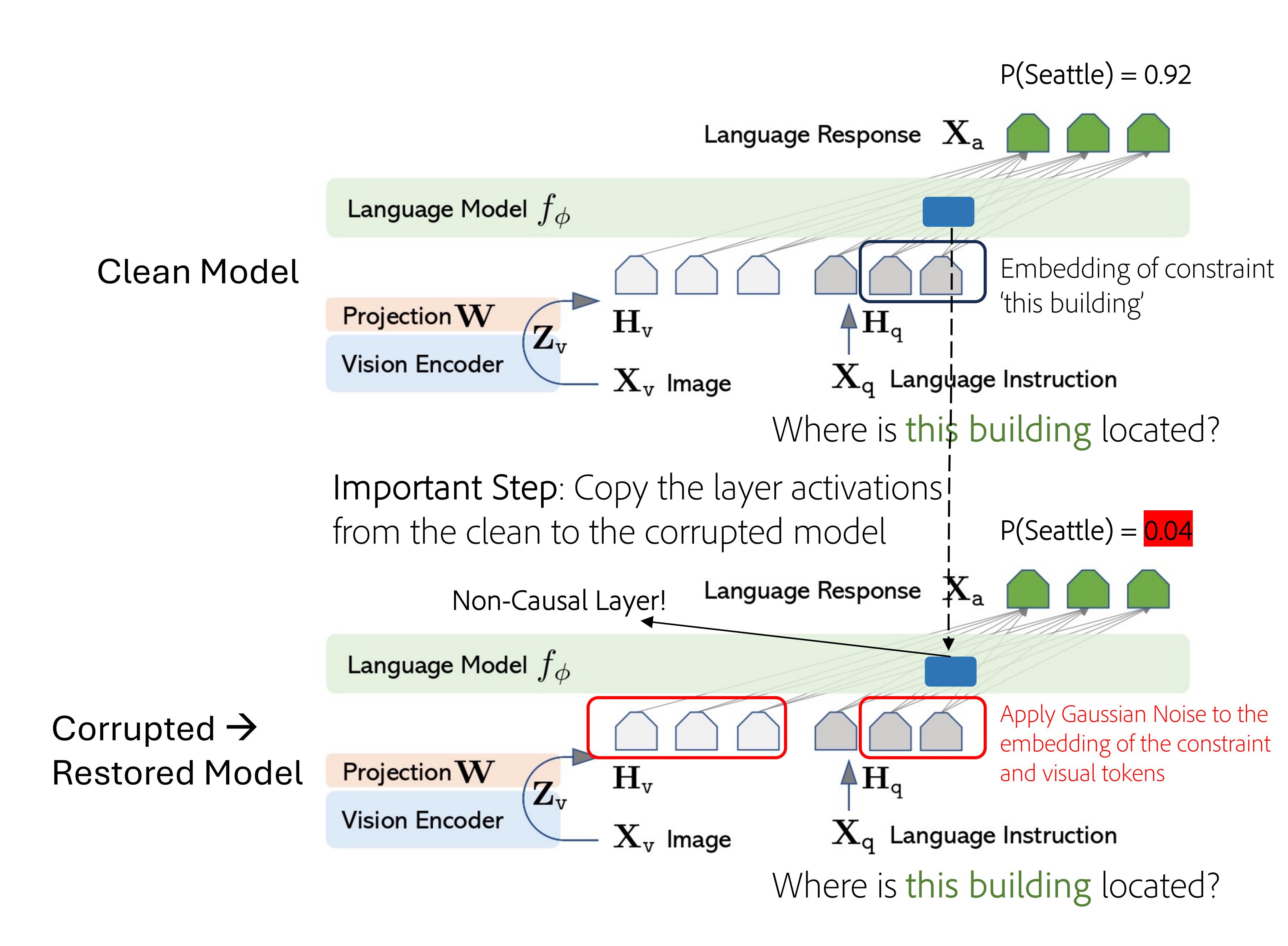
Text Constraint: A set of tokens in the question which reduces the space of the possible answers. Often used in conjunction with visual constraints.

### Tracing Method for Knowledge Storage

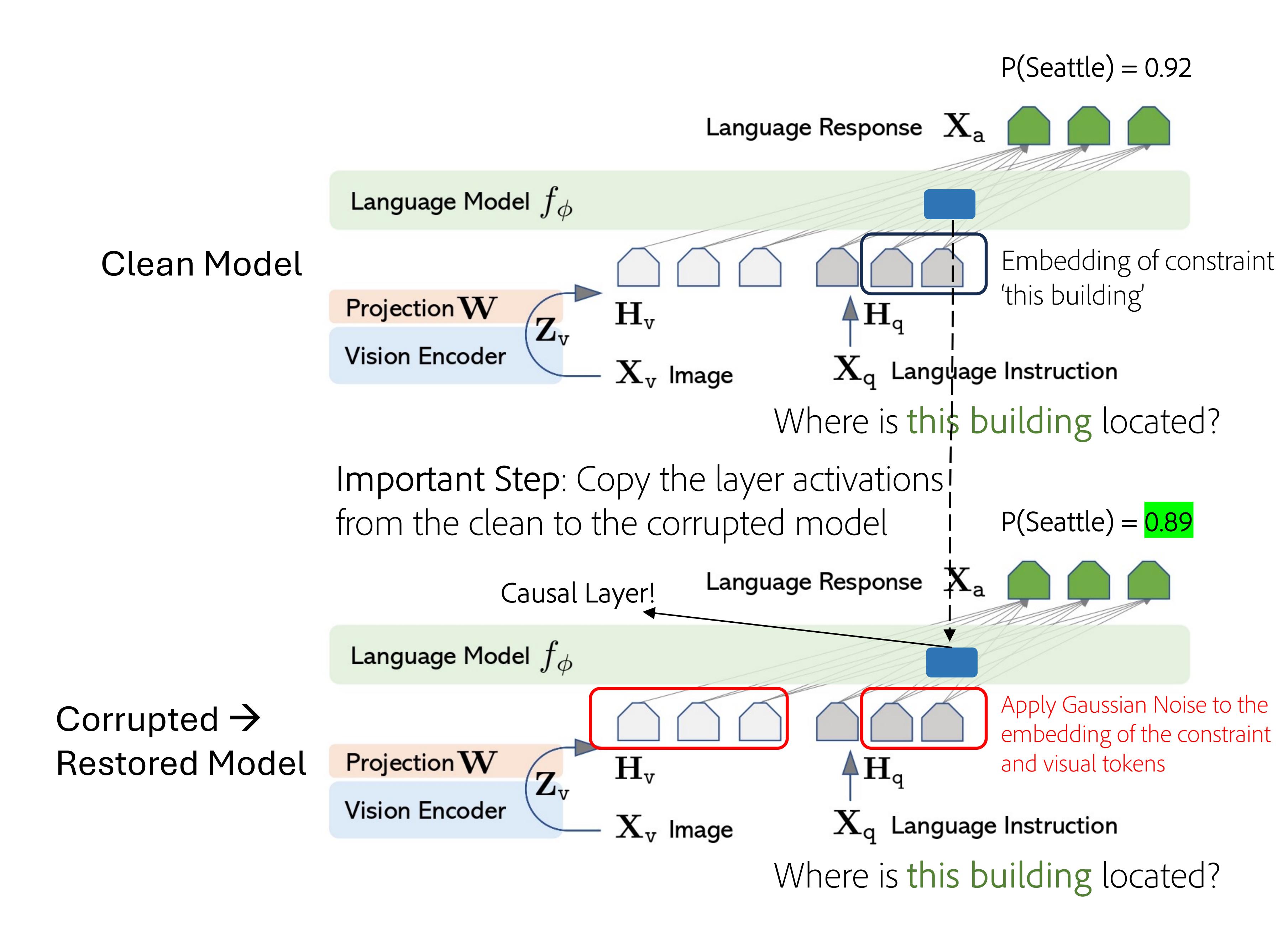
#### Using Causal Trace Designed for Language Models



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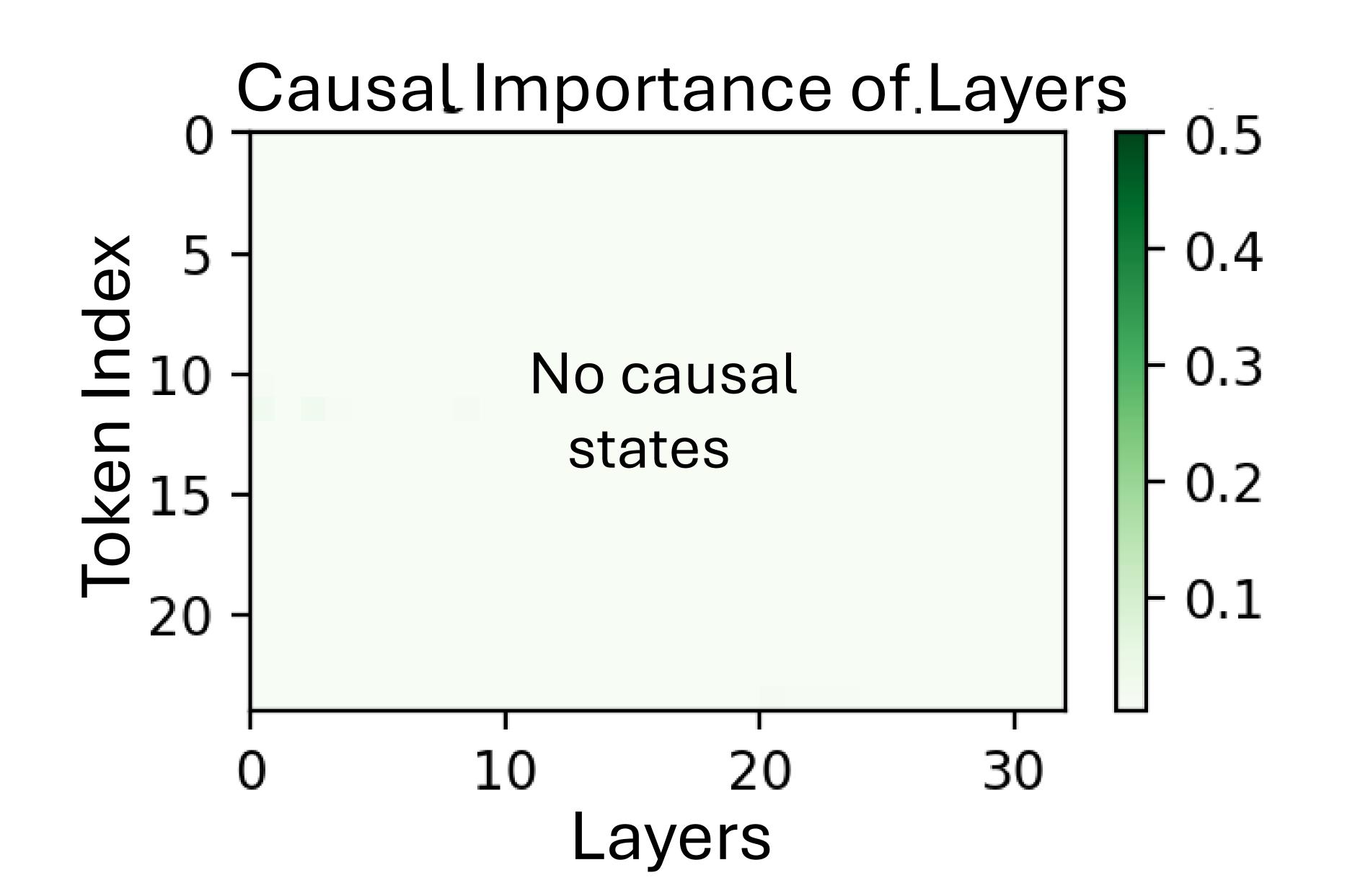
#### Using Causal Trace Designed for Language Models

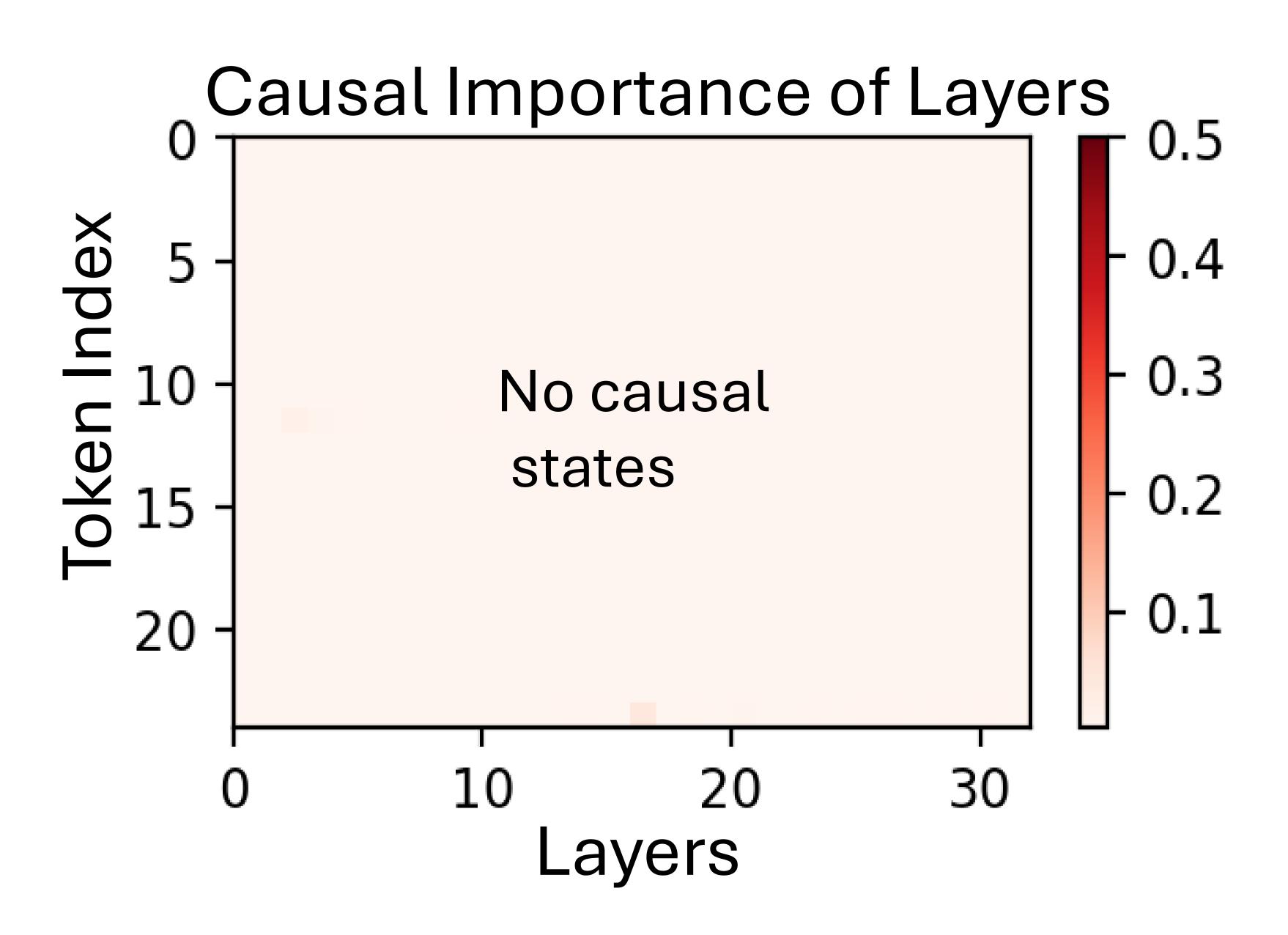


#### Using Causal Trace Designed for Language Models - Results

#### No Relevant Causal Layers!

MultimodalCausalTrace: Identifying Causal Layers in MLLMs

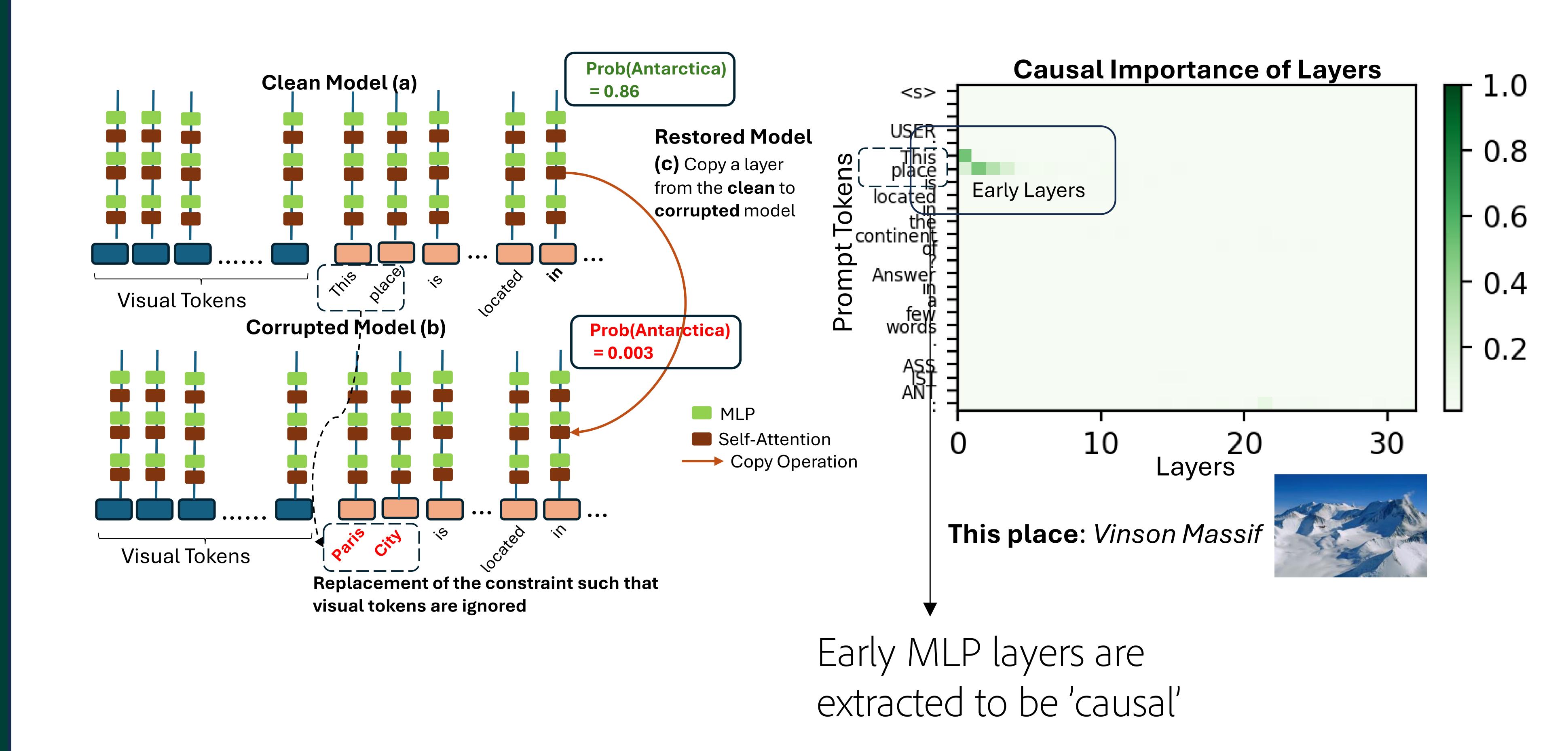




Potential Reason: Corrupted Model is very noisy as noise is applied to a large set of tokens (e.g., 576 + number of constraint tokens), whereas in a language model noise is only applied to a small set of tokens (e.g., 2-4)

#### Our Method

#### Adapting Causal Trace Designed for Language Models

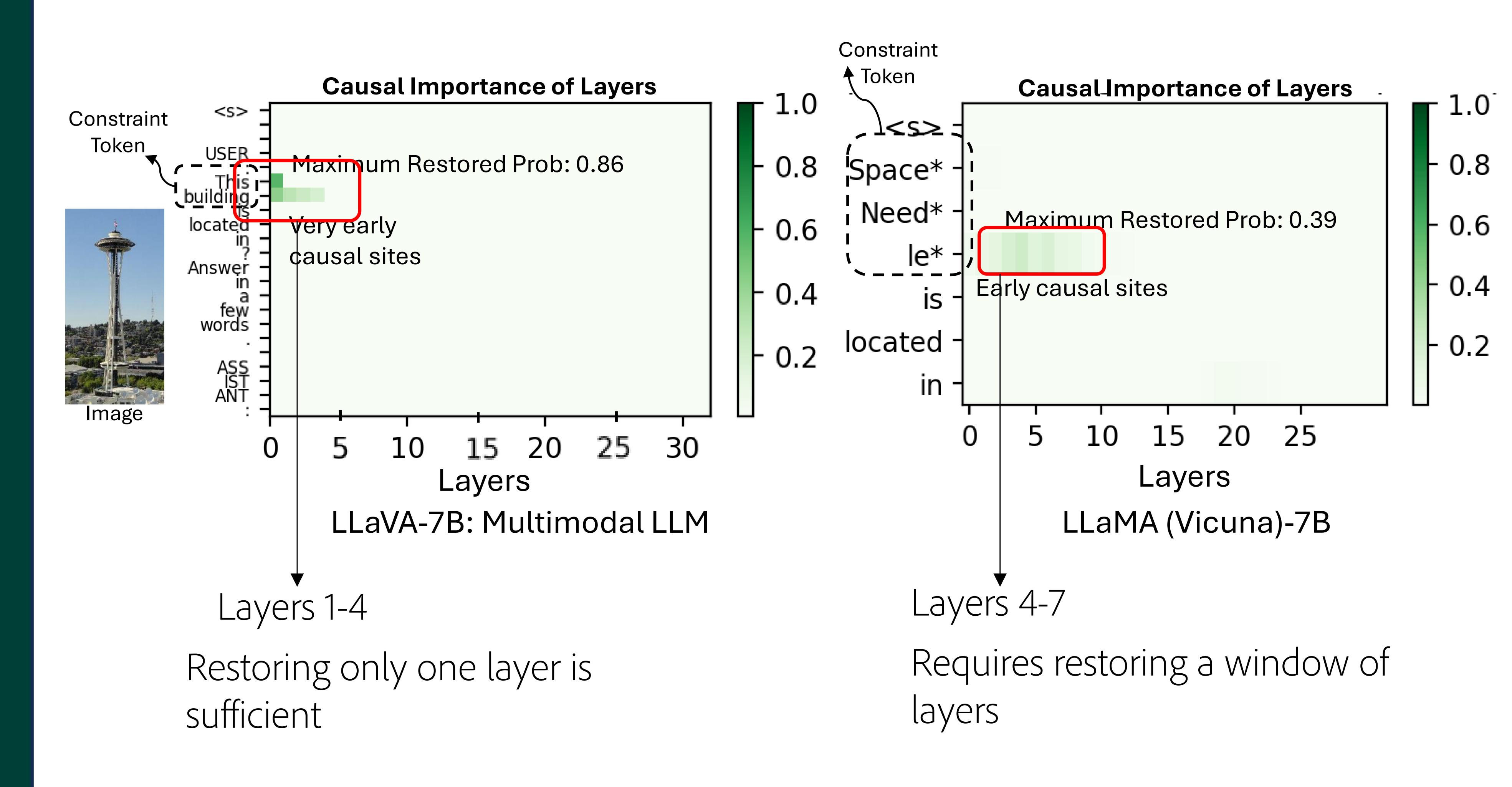


Core Idea: Replace the constraint tokens with a set of tokens such that the visual tokens are ignored while answering the question

### Tracing Results

#### Single Constraint Questions

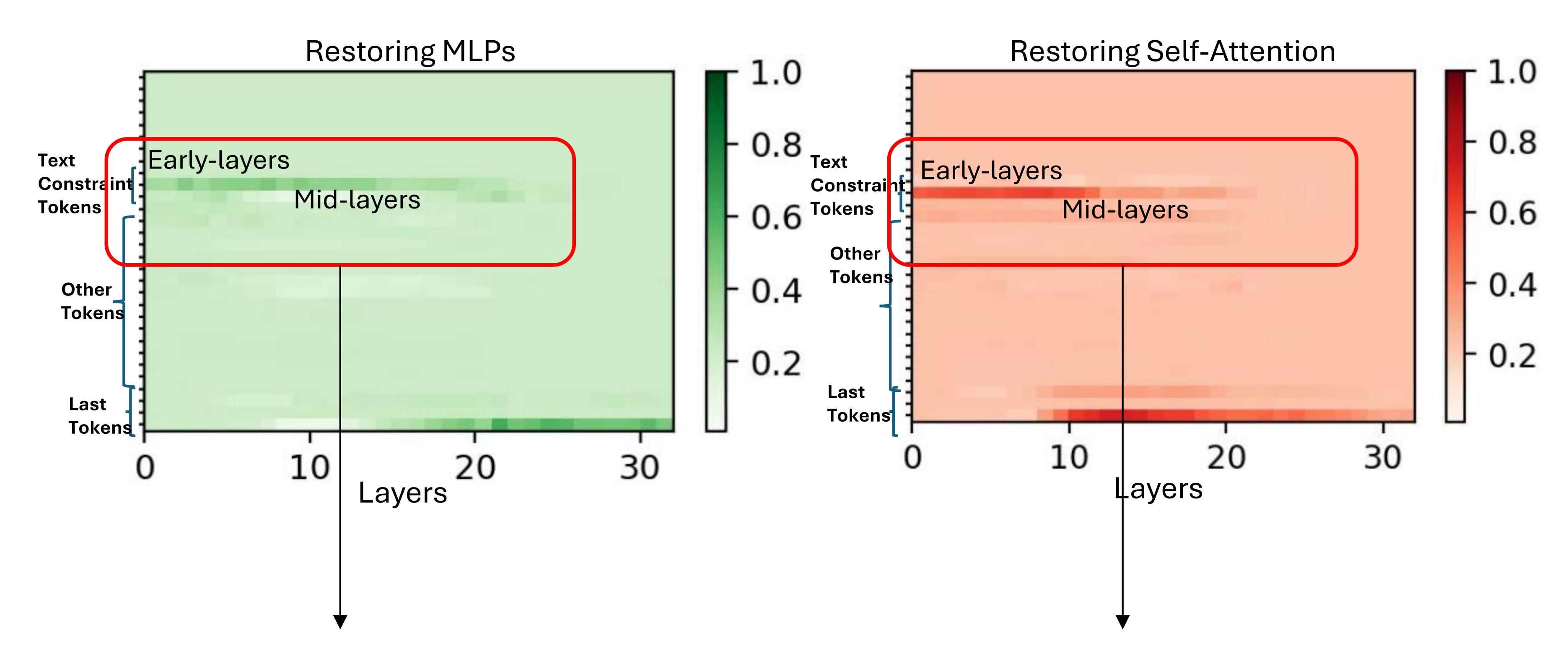
How does information retrieval from internal layers in MLLMs differ from language models?



**Takeaway**: MLLMs (under the presence of a visual prompt) retrieve information differently than LLMs – although the same language backbone is used

#### Multi-Constraint Questions

Information Processing for Text-Constraint in Multi-Constraint Questions



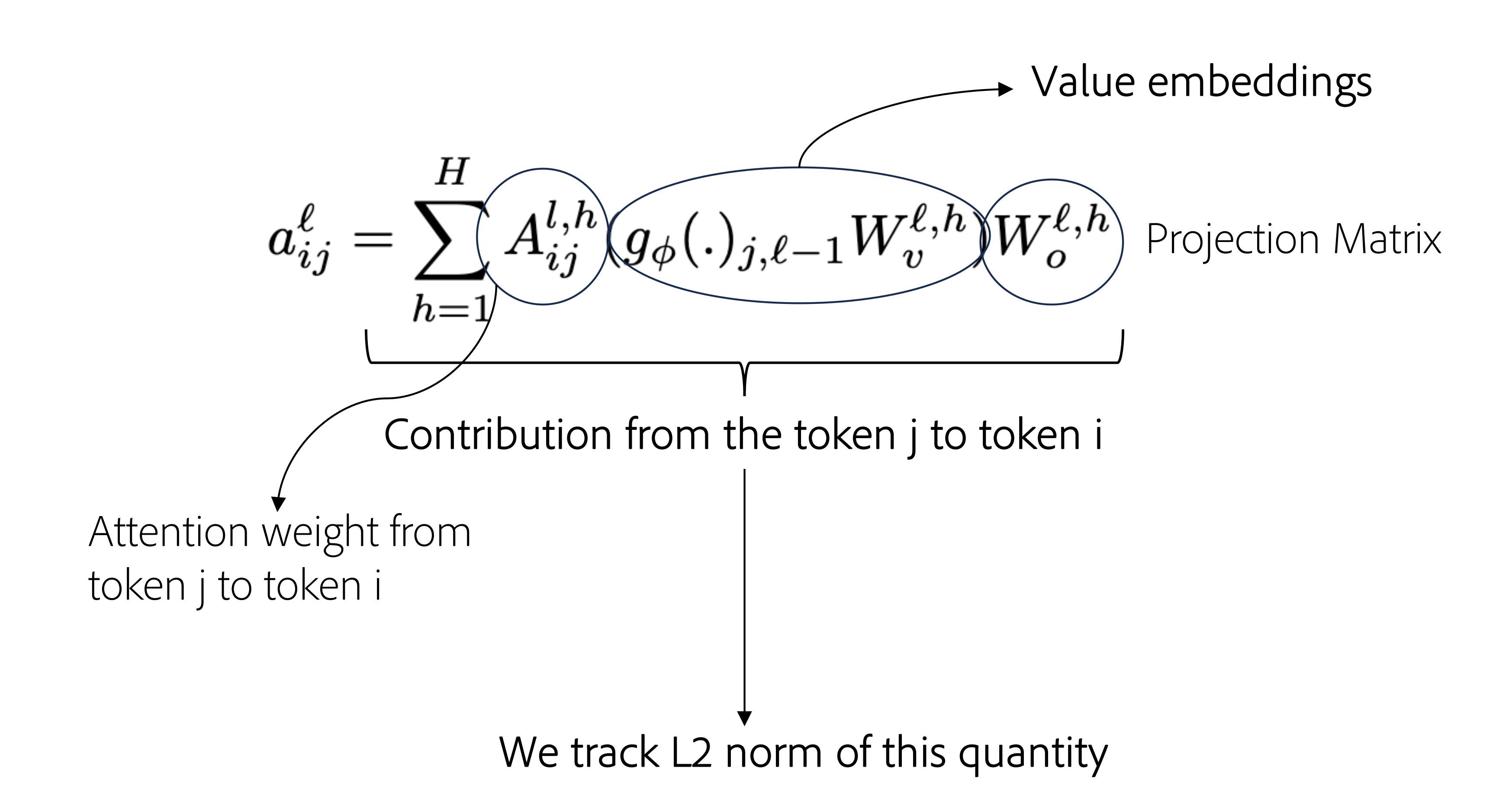
Text-constraint in multi-constraint questions require information to be retrieved from early + mid layers with a large window size

**Takeaway**: Multi-constraint VQA questions require more parametric memory to answer a question

Tracking Attention Contributions from Visual Tokens to Constraints

Information
Transfer in MLLMs

Visual Tokens to Constraint

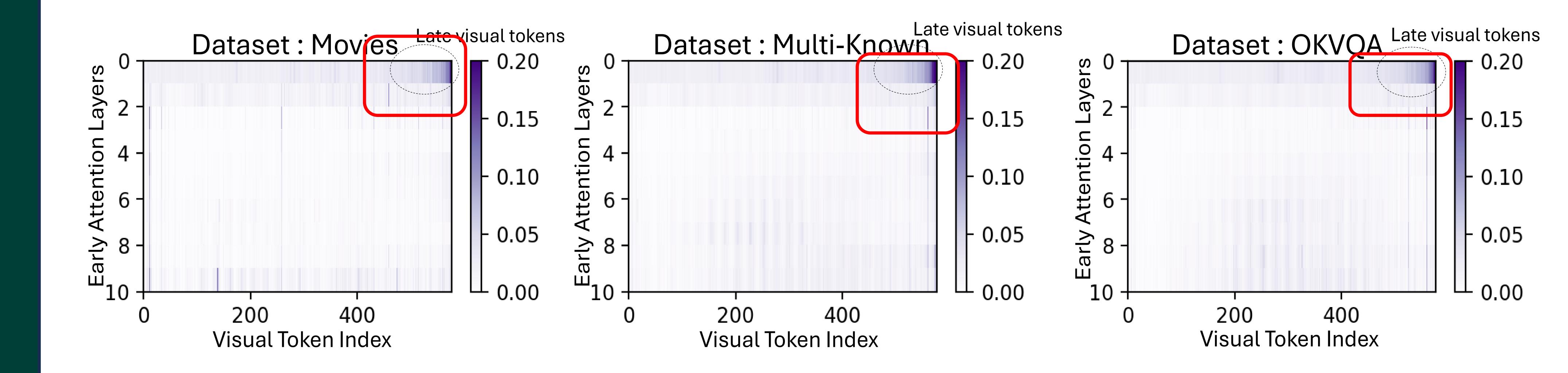


Attention contributions is a general property of transformers and have been previously used in Yuksekgonul et al.(2023)

# Insights from Information Transfer in MLLMs

### Visual Tokens to Constraint

We compute the **attention contributions** from the visual tokens to the constraint token



**Takeaway**: Only a subset of visual tokens (after the projection layer) transfer information to the constraint token position

Most of the visual information gets accumulated in the late visual tokens by the projection layer Can we use the interpretability insights towards a tangible application?

## Can we use the interpretability insights towards a tangible application?

- Introducing Rare Concepts
- Fixing Failure Modes

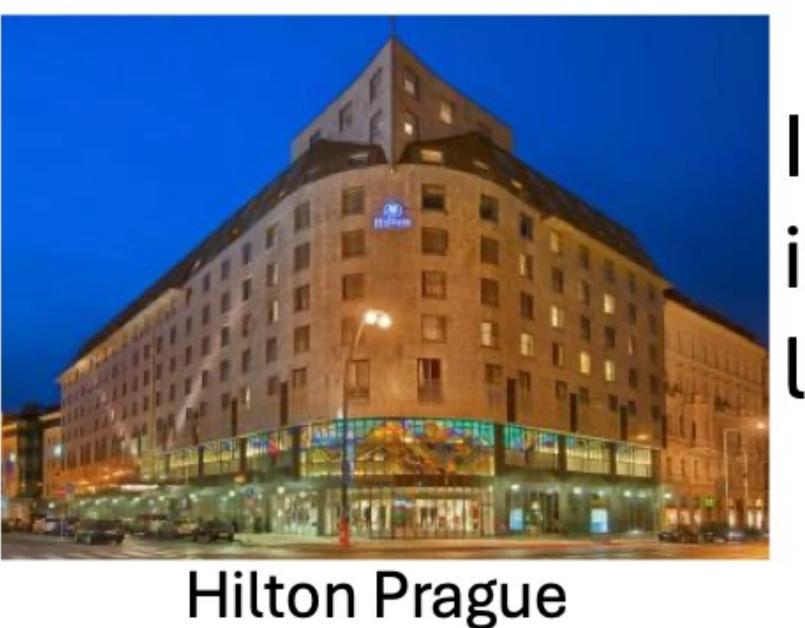
#### Qualitative Examples of Rare VQA Questions



In which country is this island located?



In which country is *this house* located?



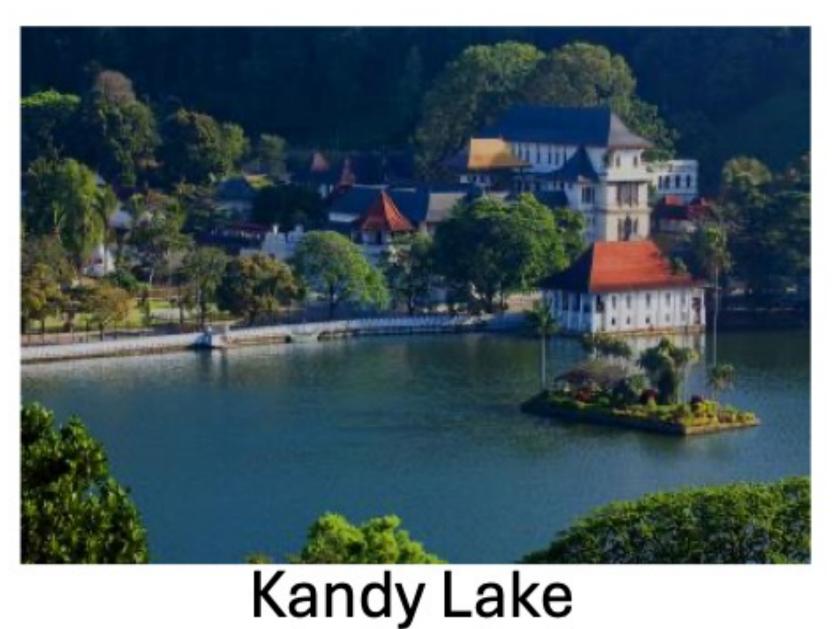
In which country is *this hotel* located?



In which country is *this house* located?



In which country is *this island* located?

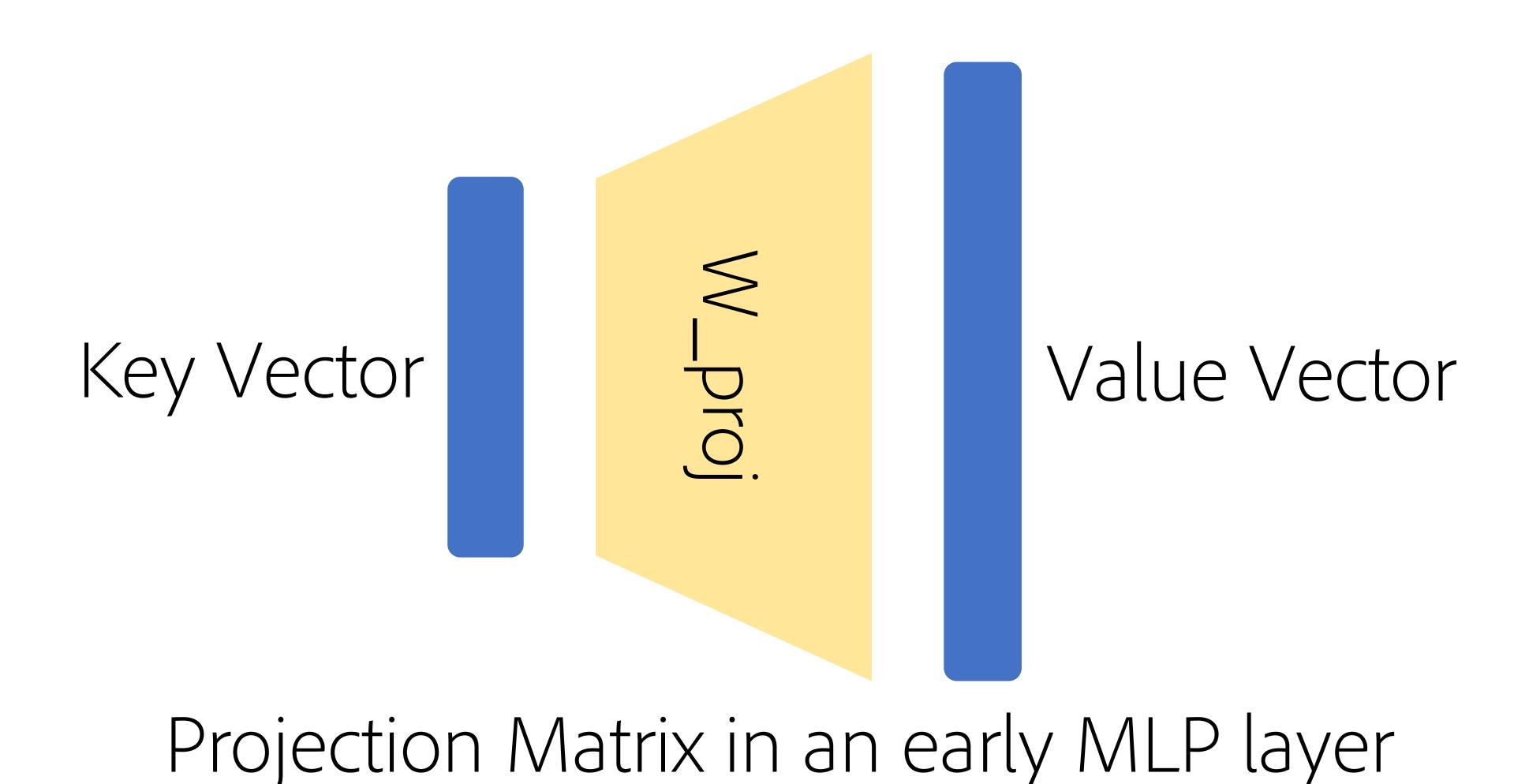


In which country is this lake located?

Practical Application
Leveraging
Interpretability
Insights:

Model Editing to Incorporate Rare Knowledge into MLLMs - Method

We edit the early MLP layers for the best editing performance



Step 1: Obtain key embeddings via forward pass of the visual prompt + question

Step 2: Obtain value embeddings

Value embeddings 
$$z_{c,\ell}^* = rg \min_{z_{c,\ell}} \mathcal{L}(z_{c,\ell})$$
 Language Modeling AR Loss

Step 3: Edit Optimization Key embeddings

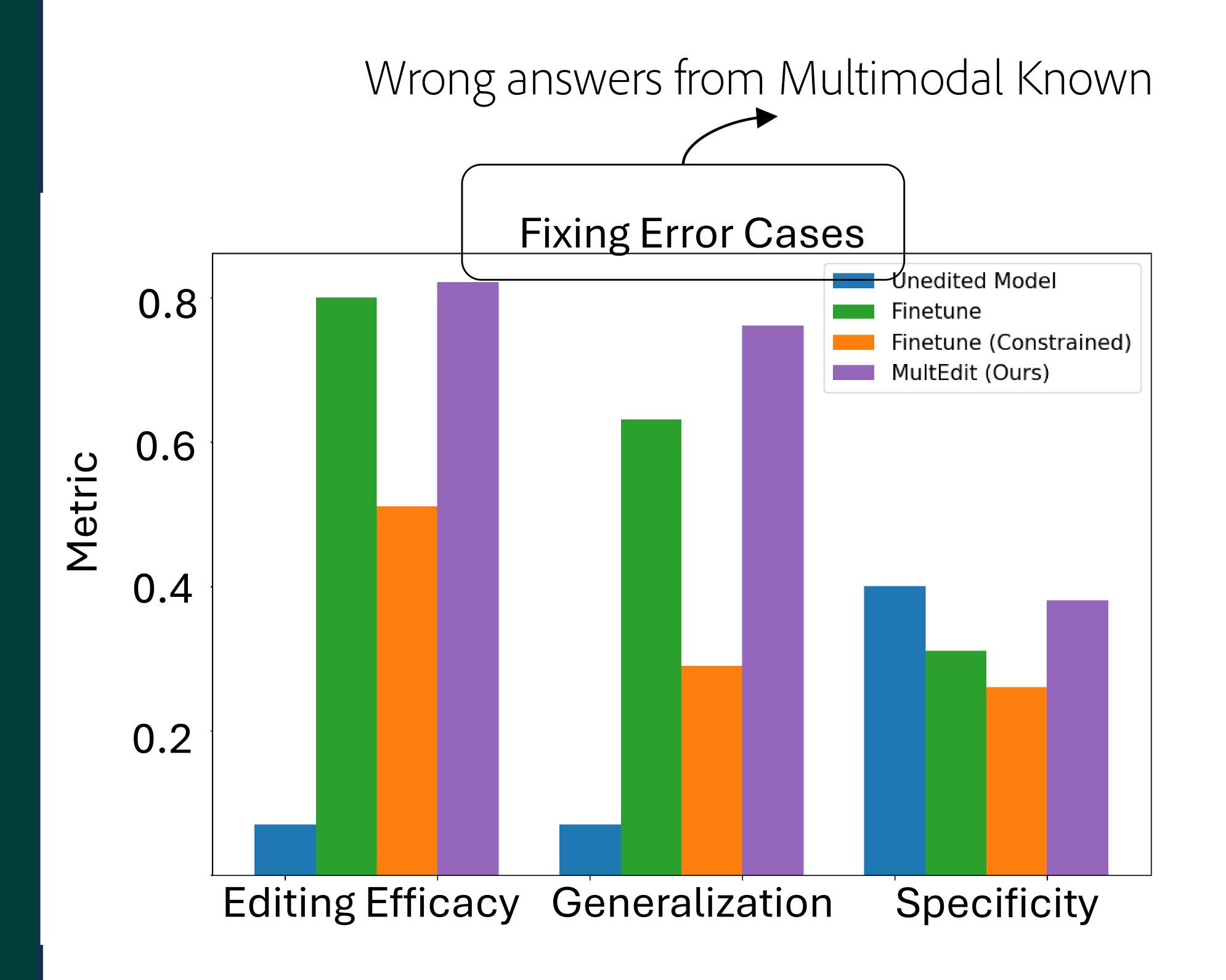
$$W_{proj}^{\ell*} = rg\min_{W_{proj}^{\ell}} \|W_{proj}^{\ell}(k_{c,\ell}) - z_{c,\ell}^{*}\|_{2}^{2} + \lambda \|W_{proj}^{\ell} - W_{proj}^{\ell'}\|_{2}^{2}$$

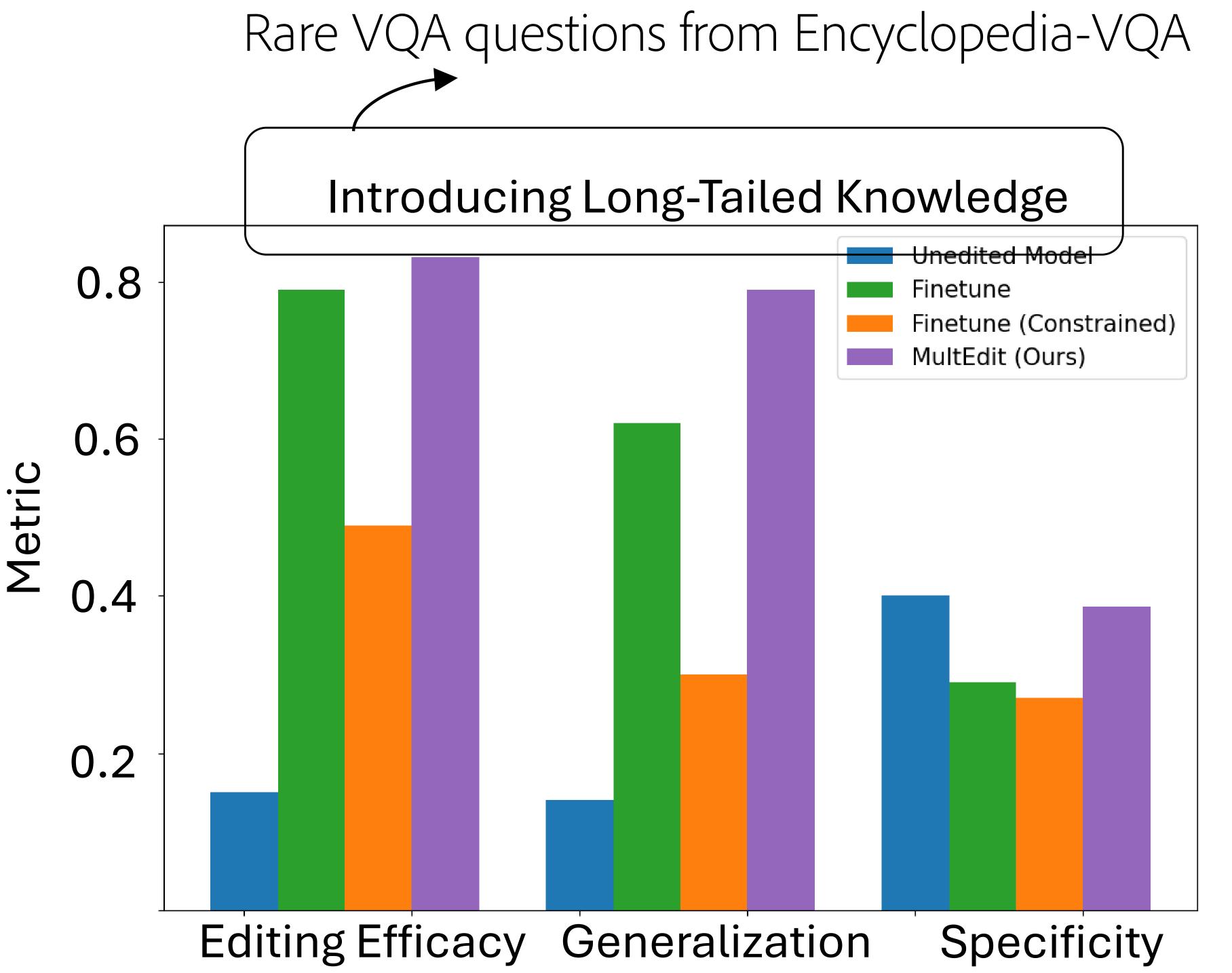
Does not require caching a Multimodal Wikipedia matrix  $\rightarrow$  Relatively data free

Practical Application
Leveraging
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Model Editing to Incorporate Rare Knowledge into MLLMs - Results

Editing the early layers with our objective leads to incorporation of rare knowledge into the model and is better (+faster) than fine-tuning the language model





#### Metrics:

- (i) Editing Efficacy: Effectiveness of the edit (Measured by the probability of the correct answer)
- (ii) Generalization Efficacy: Effectiveness of the edit under paraphrased questions (Measured by the probability of correct answer)
- (iii) Specificity: Editing effect on unrelated VQA questions (measured by VQA-accuracy on OK-VQA and eval on MMMU)

Checkout out other interpretability works at: <a href="https://samyadeepbasu.github.io/">https://samyadeepbasu.github.io/</a>

Conclusion

