



# HEPrune: Fast Private Training of Deep Neural Networks with Encrypted Data Pruning

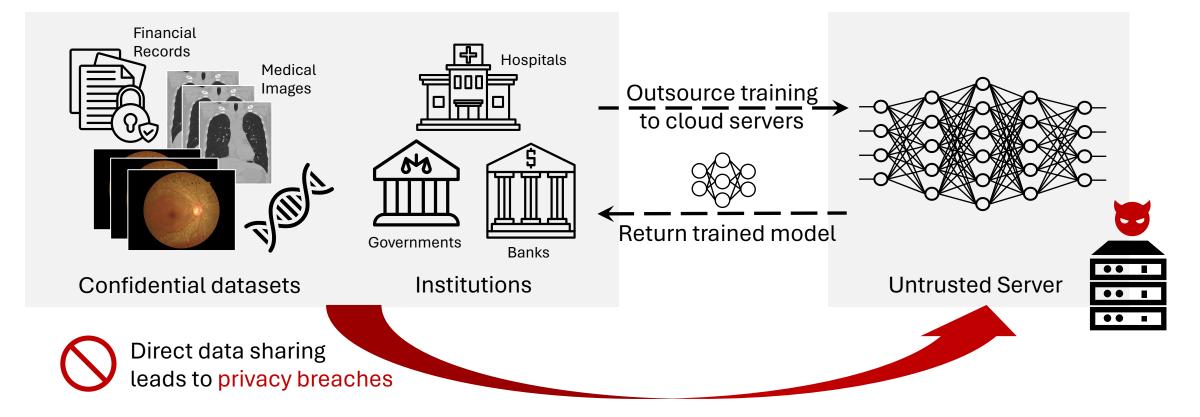
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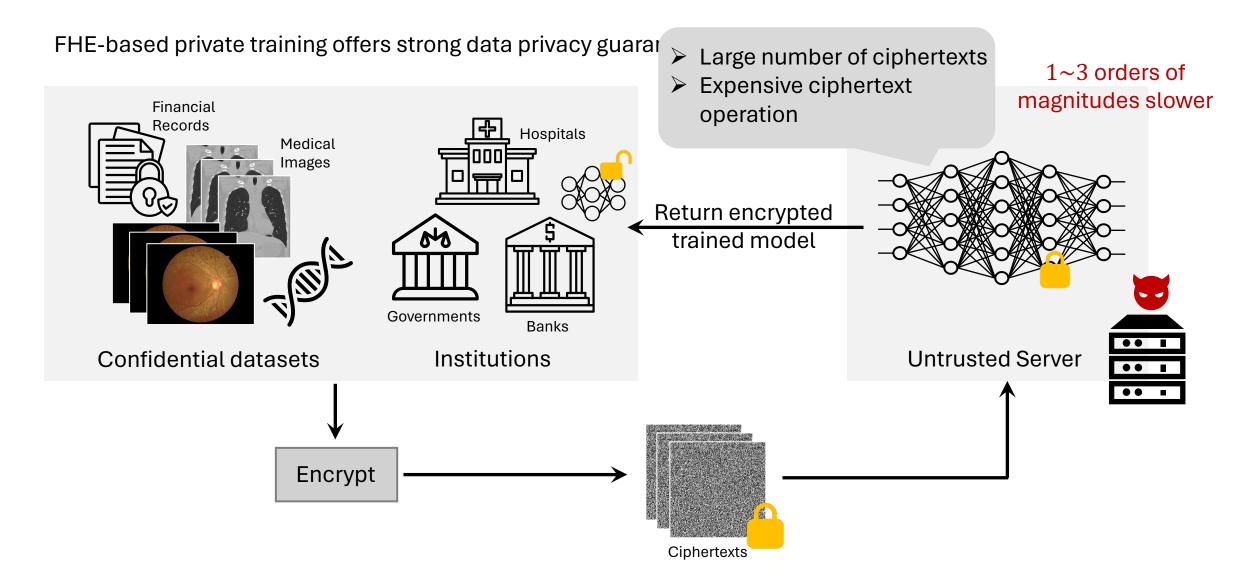
> NeurIPS'24 12<sup>th</sup> Nov. 2024

## Data Privacy is Important in Neural Network Training

Deep neural networks are widely applied across domains such as healthcare, finance, and law enforcement.



## Private Training is Secure but Slow



#### **Our Motivation**

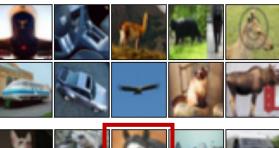
Can we reduce the number of ciphertexts, i.e., encrypted data samples, during private training without compromising accuracy?





Less informative samples

Redundant
Easy to learn
...

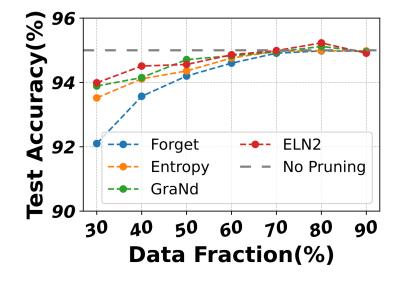




More informative samples

- Diverged
- > Challenging

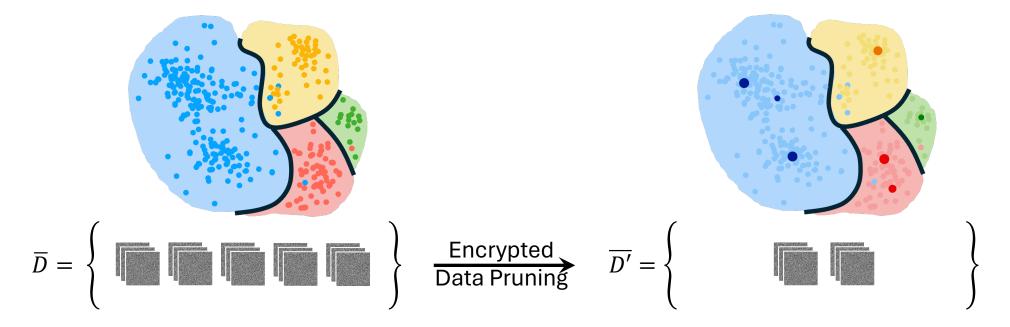
▶ ...



Training on a subset of samples barely compromise the accuracy in the plaintext

#### **Problem Statement**

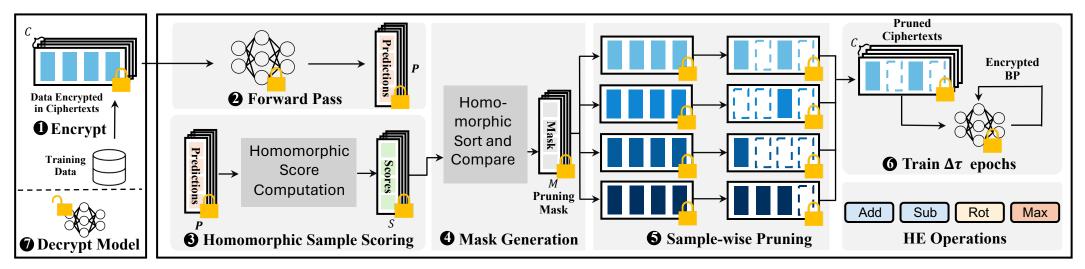
The server choose the most salient subset of samples  $\overline{D'}$  from the encrypted dataset  $\overline{D}$ .



- Security. The server should not learn the training data or model weights during pruning.
- > Accuracy. The chosen subset should have a close accuracy compared to full dataset.
- > Efficiency. Encrypted data pruning should speedup private training.

## Naïve Encrypted Data Pruning

Directly applying data pruning methods in the plaintext to private training is impractical.



Complex non-linear score

- Complex non-linear functions are needed, e.g., in EL2N.  $\mathbb{E}_{w_t} \| p(x; w_t) - y \|_2$
- A single homomorphic square root function can take up to 2 minutes.

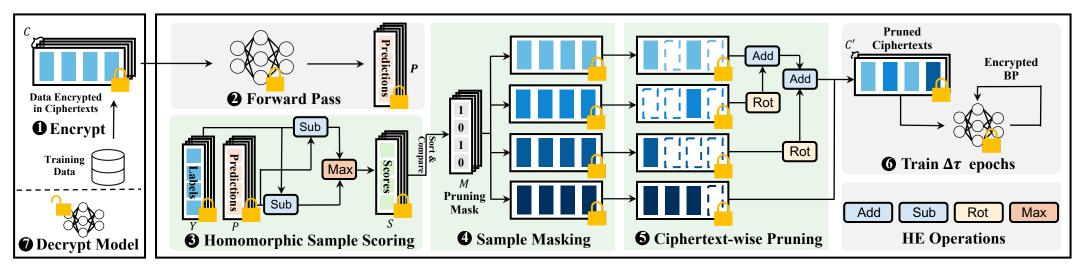
Massive homomorphic sorting

 O(N<sup>2</sup>) homomorphic comparisons are needed to sort the score and generate the pruning mask. Sample-wise pruning

The number of ciphertexts cannot be effectively reduced.

#### HEPrune Framework

HEPrune enables encrypted data pruning with HE-friendly score, client-aided masking and ciphertext-wise pruning.



Complex non-linear score

Complex non-linear functions are needed.

 $\mathbb{E}_{w_t} \| p(x; w_t) - y \|_2$ 

- A single homomorphic square root function can take up to 2 minutes.
- → HE friendly score

Massive homomorphic sorting
 ▷ O(N<sup>2</sup>) homomorphic
 comparisons are needed to
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Sample-wise pruning

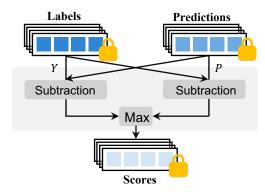
The number of ciphertexts cannot be effectively reduced.

#### $\rightarrow$ Client-aided masking

#### $\rightarrow$ Ciphertext-wise pruning

### **HE-friendly Importance Score**

The HE-friendly importance score (HEFS) is easy to compute in the encrypted state. Computing HEFS for one ciphertext takes less than 2 seconds.

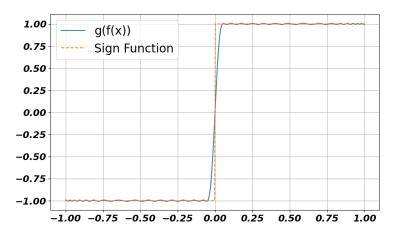


 $score = \text{HE.Max}((Y \boxminus P), (P \boxminus Y))$  $= (Y \boxminus P)\text{HE.Sign}(Y \boxminus P)$  $\text{HE.Max}(u, v) = \frac{(u+v)+(u-v)\text{HE.Sign}(u-v)}{2}$ 

 $\mathsf{HE.Sign}(x) = g(f(x))$ 

Streamlined circuit

Lightweight computation

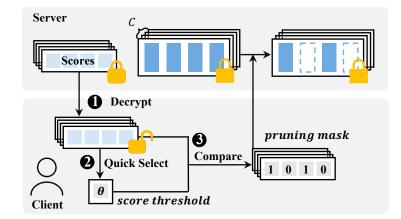


#### Low approximation error

 $f(x) = 8.83133072x - 46.45750399x^3 + 83.02822347x^5 - 44.99284778x^7$  $g(x) = 3.94881885x - 12.91030110x^3 + 28.08653622x^5 - 35.59691490x^7 + 26.51593709x^9 - 11.41848894x^{11} + 2.62558444x^{13} - 0.24917230x^{15}$ 

#### **Client-aided Masking**

Client-aided masking avoids expensive homomorphic sorting without leaking data privacy.



$N = 2^{16}$	L = 0	L = 1	L=2	L=3	L = 4	L = 5
Ciphertext Size(MB)	1.01	2.03	3.02	4	5.02	6

Size of a CKKS c	iphertext at different level L
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Security.

The training data and model weights remain encrypted. The privacy of data and model is protected.

Efficiency.

Runtime

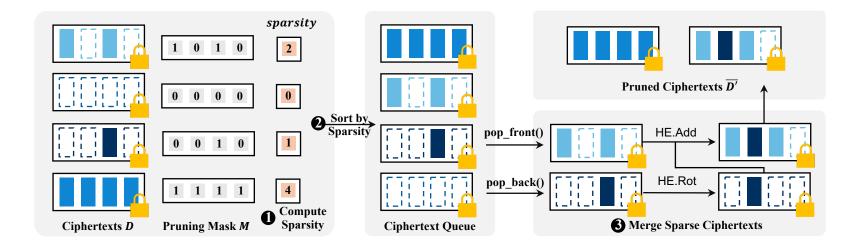
Generating the pruning mask needs only O(N) time on the client side (15 ms for the CIFAR-10 dataset).

#### Communication

Before sending the scores, the server can set the score to a low multiplicative level to improve communication.

#### **Ciphertext-wise Pruning**

Ciphertext-wise pruning (CWP) effectively removes the sparse ciphertexts and reduces the number of ciphertexts in private training.



### **Encrypted Data Pruning on Different Datasets**

We set the pruning ratio as p = 0.9 (only 10% of the dataset is kept) on different datasets. Encrypted data pruning speedup the training time by around 6.6 times.

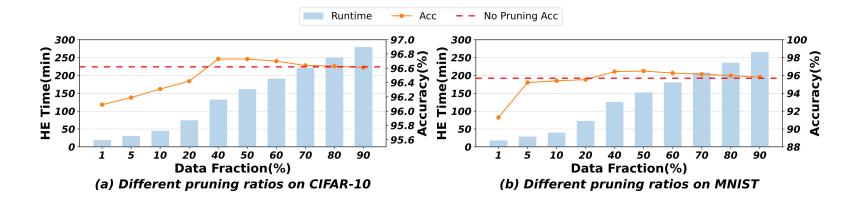
Method		MNIST	CIFAR-10	Face Mask Detection	DermaMNIST	SNIPS
Unencrypted	Acc(%)	$95.69_{\pm 0.02}$	$96.62_{\pm0.02}$	$95.46_{\pm 0.06}$	$75.91_{\pm 0.11}$	$94.43_{\pm 0.05}$
HETAL	Acc(%)	$96.27_{\pm 0.02}$	$96.57_{\pm0.04}$	$95.46_{\pm0.05}$	$76.06_{\pm0.18}$	$95_{\pm 0.08}$
	Runtime(h)	276.75	293.3	32.88	101.55	113.7
Ours	Acc(%)	$95.54_{\pm 0.05}$	$96.31_{\pm0.06}$	$95.21_{\pm 0.06}$	$75.86_{\pm0.15}$	$95.14_{\pm 0.08}$
	Runtime(h)	41.89	44.76	5.02	15.5	17.36

The proposed methods effectively improves the performance over the baselines.

Method	Accuracy(%)	Runtime(h)	Speedup	Communication(MB)
Full Data(HETAL)	$96.57_{\pm 0.04}$	293.3	×1	18.1
Prune Baseline	$95.98_{\pm 0.12}$	488.91	imes 0.6	18.1
+Client Aided	$96.16_{\pm0.07}$	196.91	$\times 1.49$	22
+HEFS	$96.31_{\pm 0.06}$	105.57	$\times 2.78$	22
+Ciphertext-wise Pruning	$96.31_{\pm 0.06}$	44.76	imes 6.55	22

### **Different Pruning Ratios and Training from Scratch**

We experiment with different pruning ratio on the CIFAR-10 and MNIST dataset. Training with  $40\% \sim 70\%$  of the dataset has even high accuracy than training with the full dataset.



The encrypted data pruning can also be applied to the training-from-scratch setting.

Meth	nod	1%	5%	10%	20%	40%	50%	60%	70%	80%	90%
Acc.	Acc(%)	93.23	97.12	97.39	98.38	98.52	98.55	98.5	98.48	98.45	98.45
	$\Delta Acc.$	-5.26	-1.37	-1.1	-0.11	+0.03	+0.06	+0.01	-0.01	-0.04	-0.04
Runtime(h)	Time(h)	32.25	110.61	208.56	404.46	796.26	992.16	1188.06	1383.94	1579.88	1775.72
	Time(h) speed up	$60.8 \times$	$17.2 \times$	$9.4 \times$	$4.8 \times$	$2.5 \times$	$1.9 \times$	$1.7 \times$	$1.4 \times$	$1.2 \times$	$1.1 \times$

# Thank you!



Code



Paper



Poster