

### A One-Size-Fits-All Approach to Improving Randomness in Paper Assignment









Yixuan (Even) Xu **Tsinghua** 

Steven Jecmen Carnegie Mellon

Zimeng Song Independent

Fei Fang **Carnegie Mellon** 

# Paper Assignment: Setting

#### Basic setting

- $n_p$  papers,  $n_r$  reviewers
- Assignment:  $x \in \{0, 1\}^{n_p \times n_r}$
- Feasibility
  - Each **paper** gets **exactly**  $\ell_p$  **reviewers**
  - Each **reviewer** gets at most  $\ell_r$  papers
- Quality: A similarity matrix  $S \in [0, 1]^{n_p \times n_r}$

## Paper Assignment (Deterministic)

• Paper assignment as an **integer linear program** 

MaximizeQuality(x) =  $\sum_{p,r} x_{p,r} \cdot S_{p,r}$ (maximize Quality)Subject to $\sum_r x_{p,r} = \ell_p, \forall p$ (paper requirement) $\sum_p x_{p,r} \leq \ell_r, \forall r$ (reviewer load) $x \in \{0, 1\}^{n_p \times n_r}$ (paper requirement)

• Produces the Maximum-Quality Assignment

## Why Randomness

- Robustness to malicious behavior
- Evaluation of alternative assignments
- Reviewer diversity
- Reviewer anonymity

## Paper Assignment (Randomized)

• Paper assignment as a **continuous linear program** 

MaximizeQuality(x) =  $\sum_{p,r} x_{p,r} \cdot S_{p,r}$  (maximize Quality)Subject to $\sum_r x_{p,r} = \ell_p, \forall p$ (paper requirement) $\sum_p x_{p,r} \leq \ell_r, \forall r$ (reviewer load) $x \in [0, 1]^{n_p \times n_r}$ 

- Now *x*<sub>*p*,*r*</sub> denotes the **marginal probability** of assignment
  - Shown by prior work that a **randomized assignment** can be converted into a **distribution of deterministic assignments**

## PLRA (Jecmen et al. 2020, Deployed)

Probability Limited Randomized Assignment (PLRA)

Maximize

**Quality**( $\boldsymbol{x}$ ) =  $\sum_{p,r} x_{p,r} \cdot S_{p,r}$ 

Subject to

$$\sum_{r} x_{p,r} = \ell_{p}, \forall p$$
  
$$\sum_{p} x_{p,r} \leq \ell_{r}, \forall r$$
  
$$\boldsymbol{x} \in [0, \boldsymbol{Q}]^{n_{p} \times n_{r}}$$

- Hyperparameter **Q**:
  - **Guarantees** each **paper-reviewer** pair is matched w.p.  $\leq Q$
  - Mainly concerned with **robustness to malicious behavior**

### A Problem with PLRA

- The **randomness** of its assignment depends on **Q** 
  - Not easy to set, and sometimes **suboptimal with any Q**





### **Metrics for Randomness**

#### Maximum Probability

- Maxprob(x) = max<sub>p,r</sub>{ $x_{p,r}$ }
- Already used by PLRA as a constraint

#### Our proposed metrics

- Average maximum probability:  $AvgMax(x) = \frac{1}{n_n} \sum_p \max_r \{x_{p,r}\}$
- Support size: Support(x) =  $\sum_{p,r} \mathbf{1}[x_{p,r} > 0]$
- Entropy: Entropy(x) =  $\sum_{p,r} x_{p,r} \cdot \log(1 / x_{p,r})$

• L2 norm: L2Norm(
$$x$$
) =  $\sqrt{\sum_{p,r} x_{p,r}^2}$ 

### **Perturbed Maximization (PM)**

#### Perturbed Maximization (PM)

MaximizePQuality(x) =  $\sum_{p,r} f(x_{p,r}) \cdot S_{p,r}$ Subject to $\sum_r x_{p,r} = \ell_p, \forall p$  $\sum_p x_{p,r} \leq \ell_r, \forall r$  $x \in [0, Q]^{n_p \times n_r}$ 

#### • Perturbation Function $f(\cdot)$ :

- A **non-decreasing**, **concave** function from  $[0,1] \rightarrow [0,1]$
- Intuition: the higher  $x_{p,r}$ , the lower gain in **PQuality**

# **Theoretical Analysis**

• (Informal) With the same probability limit *Q* and a strictly **concave** perturbation function  $f(\cdot)$ , PM outperforms PLRA under any of the proposed randomness measures without **loss** in solution **Quality** if the similarity matrix **S** is:

Blockwise Dominant or Discrete & Random





## Experiments

• On the bidding data of **AAMAS2015**, PM has exactly the same performance on **Maxprob** with PLRA (where PLRA is **optimal**), and outperforms PLRA on **all** other randomness measures



### **Our Contributions**



- We define new metrics to measure randomness of randomized paper assignments in peer review
- We propose Perturbed Maximization (PM)
  - Theoretically, PM outperforms prior work on structured matrices
  - Experimentally, PM outperforms prior work on real-world datasets
  - We also study the faster computation of PM (details in the paper)

**Acknowledgements:** This work was supported by ONR grant N000142212181, NSF grant IIS-2200410 and partly by Sloan Research Fellowship.