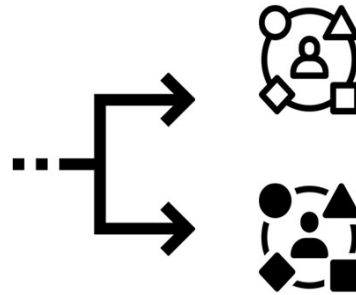
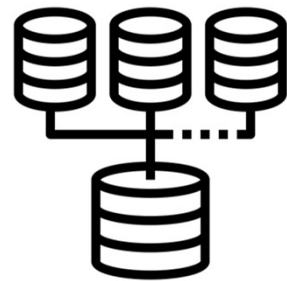


# On the Trade-off of Intra-/Inter-class Diversity for Supervised Pre-training

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Pang Wei Koh, Alexander Ratner

# Diversities in Supervised Pre-training

Two kind of diversity for a supervised pre-training dataset



**Intra-class diversity:**

Number of different samples within each pre-training class.

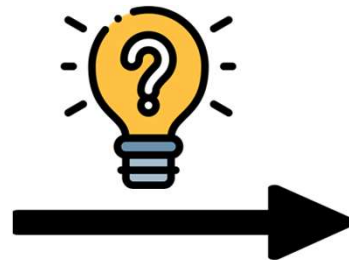
**Inter-class diversity:**

Number of different pre-training classes.

Trade-off Between Diversities



With a fixed Dataset budget(size)



Intra-class diversity VS Inter-class diversity

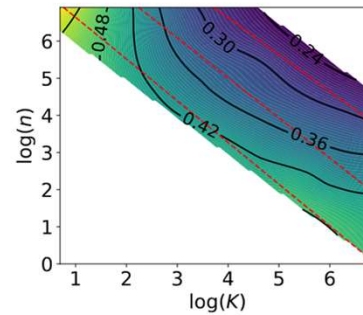
# Empirical Observations on Intra-/Inter-Class Diversity



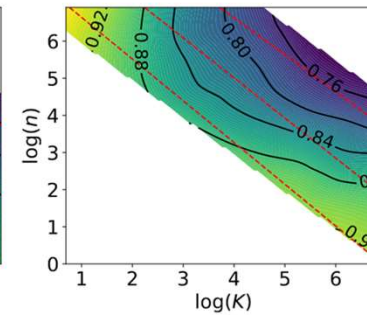
**Both** intra-/inter-class diversity are beneficial for downstream tasks.



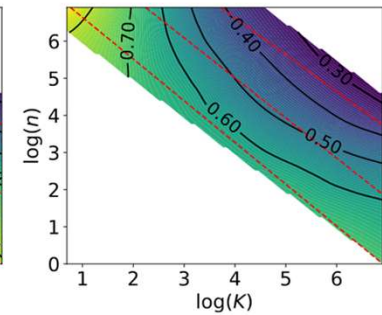
A **trade-off** of intra-/inter-class diversity on downstream task performance.



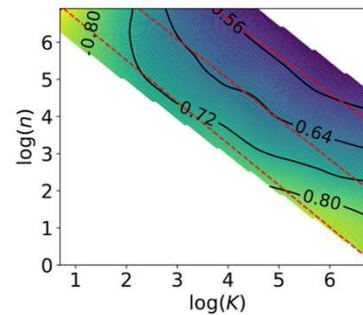
(a) CIFAR10



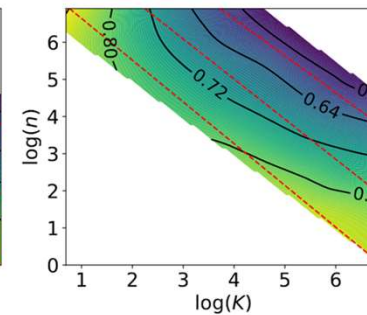
(b) FGVC Aircraft



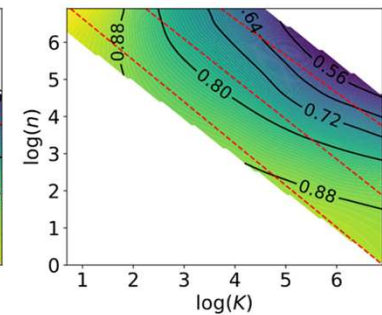
(c) Flowers102



(d) MIT67

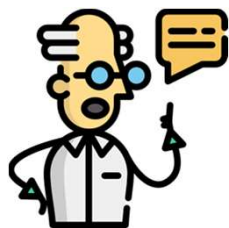


(e) Stanford40



(f) StanfordDogs

# Theoretical Understanding: Impact of Intra-/Inter-Class Diversity Trade-off



**Theorem 3.1.** Let Assumptions 1 and 2 hold. Then, with probability over the sampling of the datasets at least  $1 - \delta$ , we have

$$\begin{aligned} \mathcal{E}_d(f_{S^d} \circ h_{S^p}, \tilde{\mathcal{P}}) &\leq \left( \nu_1^{\tilde{\mathcal{P}}}(\mathcal{D}) + M_1 \sqrt{\frac{\log \frac{4}{\delta}}{2K}} + \frac{C_1}{\sqrt{K}} \right) \left( 5M_\ell \sqrt{\frac{\log \frac{6}{\delta}}{2n}} + \frac{2G\sqrt{2}}{\sqrt{n}} \right) + \nu_0^{\tilde{\mathcal{P}}}(\mathcal{D}) \\ &+ M_0 \sqrt{\frac{\log \frac{6}{\delta}}{2K}} + \frac{C_0}{\sqrt{K}} + 5M_\ell \sqrt{\frac{\log \frac{6}{\delta}}{2\tilde{N}}} + 2\sqrt{2}G \frac{1}{\sqrt{\tilde{N}}}. \end{aligned} \quad (1)$$

$$U = \frac{A}{\sqrt{n}} + \frac{B}{\sqrt{K}} + \frac{C}{\sqrt{N}} + D$$

**A simplified  
version**

Put in:  $N = n \times K$

$$U(K) = \frac{A\sqrt{K}}{\sqrt{N}} + \frac{B}{\sqrt{K}} + \frac{C}{\sqrt{N}} + D$$

## Theoretical Understanding: Optimal Class-to-Sample Ratio

*When  $N$  is fixed, by leveraging the fact that  $N = n \times K$ , we can express  $U$  as*

$$U = \frac{1}{N^{\frac{1}{4}}} \left( Ax^{\frac{1}{4}} + B \frac{1}{x^{\frac{1}{4}}} \right) + c$$



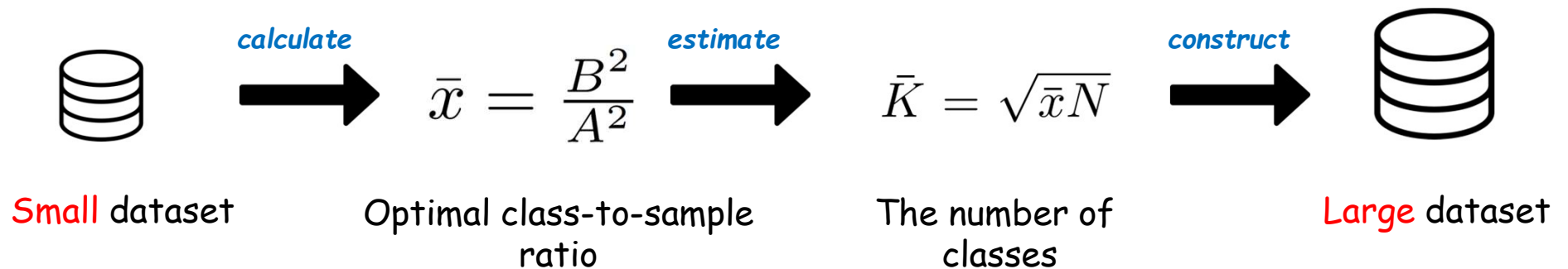
*Optimal class-to-sample ratio:  $\bar{x} = \frac{B^2}{A^2}$*   $\gg$

***invariant to  $N$  !!!***

# Predicting the optimal number of pre-training classes



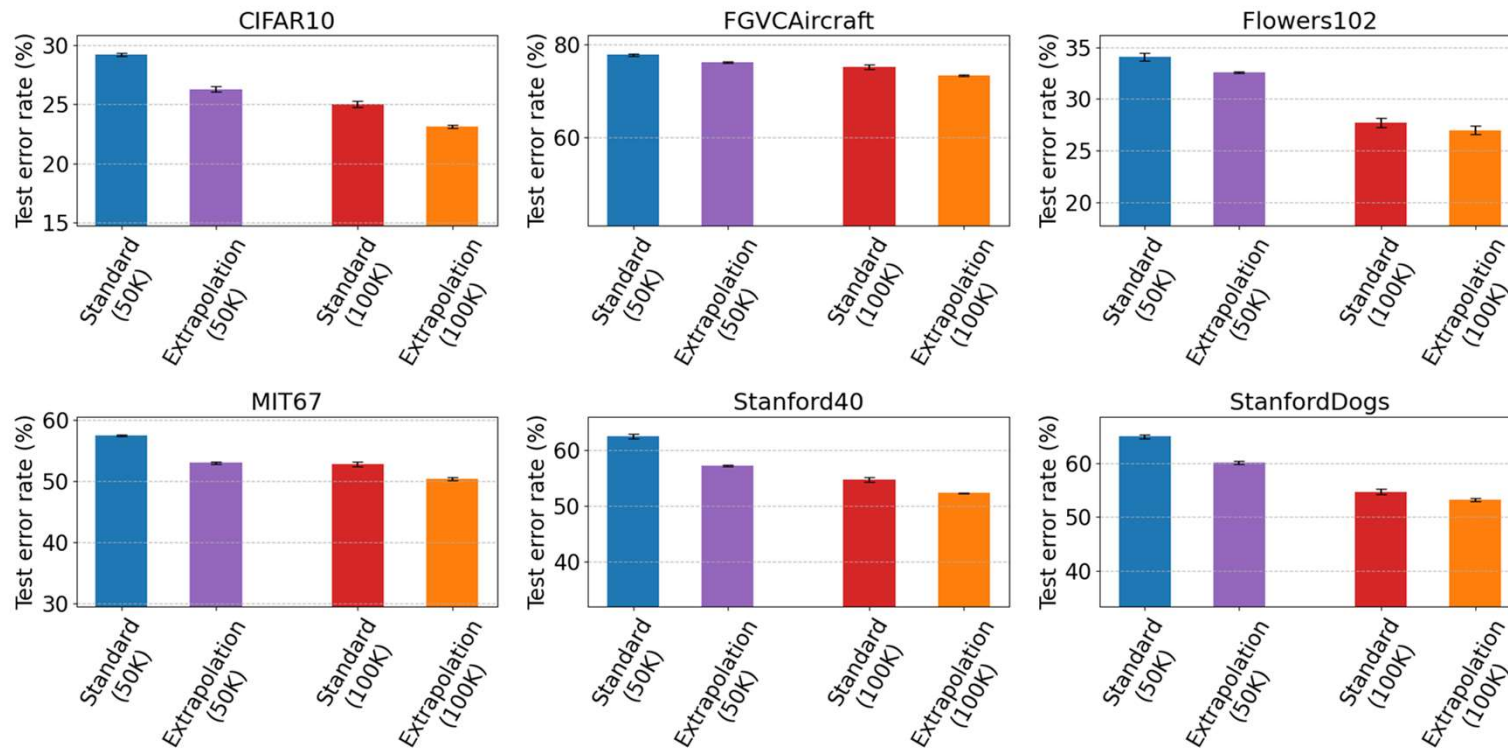
## Extrapolation:



## Standard:

*The number of classes equals 1000 as the standard design choice of ImageNet*

# Predicting the optimal number of pre-training classes



The number of classes  $K$  Extrapolation finds are **all superior** to the Standard.



Thank you for your listening!