# Optimize Planning Heuristics to Rank, not to Estimate Cost-to-Goal 

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## Background

The majority of research in heuristic search to estimate the cost-to-goal $L_{2}$ loss to generate optimal heuristics for many best-first heuristic search algorithms including $\mathrm{A}^{*}$ or IDA*.

$$
L_{2}=\frac{1}{N} \sum_{i}^{N}\left(h_{i}-h_{i}^{*}\right)^{2}
$$

Issues with $L_{2}$
(6) A true cost-to-goal $h^{*}$ does not guarantee that the search will find optimal solutions during minimum expansion of states.
( Optimizing cost-to-goal $h^{*}$ does not utilize states off the solution path.
(t) Heuristic value for dead-end states are set to large values which can affect the stability of convergence of gradient-based optimization methods.

## Our contribution



## How do we rank states?

For a forward search merit function
$f(s)=\alpha g(s)+\beta h(s)$,
rank the states by setting these inequalities.

- $f\left(s_{1}\right)<f\left(s_{4}\right)$
- $f\left(s_{2}\right)<f\left(s_{4}\right)$
- $f\left(s_{2}\right)<f\left(s_{6}\right)$
- $f\left(s_{2}\right)<f\left(s_{5}\right)$
- $f\left(s_{2}\right)<f\left(s_{7}\right)$

The real heuristic values are not required!

Optimal states: $s_{0}, s_{1}, s_{2}, s_{3}$
Non optimal states: $s_{4}, s_{5}, s_{6}, s_{7}$


## Perfect ranking heuristic

## Definition 1 (Perfect ranking heuristic)

A heuristic function $h(s)$ is a perfect ranking in forward search with a merit function $f(s)=\alpha g(s)+\beta h(s)$ for a problem instance if and only if there exists an optimal plan $\pi=\left(\left(s_{0}, s_{1}\right),\left(s_{1}, s_{2}\right), \ldots,\left(s_{l-1}, s_{l}\right)\right)$ such that

- $g(s)$ is the cost from $s_{0}$ to $s$ in a search-tree created by expanding only states on the optimal path $\pi$;
- $f\left(s_{j}\right)>f\left(s_{i}\right), \forall s_{i} \in \mathcal{S}^{\pi} \wedge s_{j} \notin \mathcal{S}^{\pi}$
where $\mathcal{S}$ denote all possible states $s \in \mathcal{S}, s_{0} \in \mathcal{S}$ is the initial state.

If the heuristic function satisfies these conditions, it is known as an optimally efficient heuristic function. This ensures that the search expands the minimum number of states on the optimal path.

## Properties of an optimally efficient heuristic function

## Pros and Cons

- 1. Rank optimization uses states off the solution path while cost-to-go doesn't.
- 2. Unlike cost-to-goal, a perfectly ranking heuristic does not provide a false sense of optimality.
- 3. Zero loss means optimal behavior.
- 4. Heuristic values for dead-end states are not needed.
- 5. The perfectly ranking heuristic is not goal-aware.


## Experimental Method: 1. Generate initial training data



For details on SymBA*, see
https://homes.cs.aau.dk/ alto/papers/Planner-SymBA14.pdf


## Experimental Method: 2. Instantiate the losses

Expand the $A^{*}$ and GBFS search trees on samples. Minimize the number of violated conditions/inequalities (see slide below) for a problem instance $\left(\Gamma, s_{0}, \mathcal{S}^{*}\right)$ and its optimal plan $\pi$.

For a heuristic function $h(s, \theta)$ with parameters $\theta \in \Theta$, the number of violated conditions can be counted as

$$
\begin{equation*}
\mathrm{L}_{01}(h, \Gamma, \pi)=\sum_{s_{i} \in \mathcal{S}^{\pi}} \sum_{s_{j} \in \mathcal{O}_{i} \backslash \mathcal{S}^{\pi}: i} \llbracket r\left(s_{i}, s_{j}, \theta\right)>0 \rrbracket, \tag{1}
\end{equation*}
$$

where

$$
\begin{equation*}
r\left(s_{i}, s_{j}, \theta\right)=\alpha\left(g\left(s_{i}\right)-g\left(s_{j}\right)\right)+\beta\left(h\left(s_{i}, \theta\right)-h\left(s_{j}, \theta\right)\right) \tag{2}
\end{equation*}
$$

In practice, the Iverson bracket $\llbracket \cdot \rrbracket$ (also called 0-1 loss) is usually replaced by a convex surrogate such as the hinge-loss.

## Experimental Method: 3. Train the NNs.

We instantiate the following loss functions that minimize the violated inequalities on states expanded during $A^{*}$ and GBFS search:

- L*in A* search.
- $\mathrm{L}_{\mathrm{gbfs}}$ in GBFS search.
- $\mathrm{L}_{2}$ or cost-to-go in regression.
- $\mathrm{L}_{\mathrm{rt}}$ that compares states only on the solution trajectories.
- Lbe known as Bellman loss. ${ }^{1}$
- $\mathrm{L}_{\mathrm{le}}$, a policy guided search with GBFS modified for efficiency. ${ }^{2}$

[^0]
## Coverage

| problem | complx. | A* |  |  |  |  | GBFS |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | L* | $\mathrm{L}_{\mathrm{gbfs}}$ | $\mathrm{L}_{\mathrm{rt}}$ | $\mathrm{L}_{2}$ | $\mathrm{L}_{\mathrm{be}}$ | L* | $\mathrm{L}_{\mathrm{gbfs}}$ | $\mathrm{L}_{\mathrm{rt}}$ | $\mathrm{L}_{2}$ | L be | $\mathrm{L}_{\mathrm{le}}$ |
| Blocks |  | 100 | 100 | 100 | 99 | 100 | 100 | 100 | 100 | 100 | 100 | 99 |
| Ferry |  | 98 | 98 | 100 | 92 | 100 | 98 | 100 | 100 | 100 | 98 | 98 |
| N-Puzzle |  | 89 | 87 | 88 | 83 | 89 | 92 | 89 | 89 | 89 | 92 | 88 |
| Spanner |  | 100 | 89 | 100 | 84 | 92 | 100 | 100 | 100 | 100 | 100 | 100 |
| Elevators |  | 91 | 85 | 75 | 36 | 66 | 92 | 85 | 79 | 76 | 67 | 58 |
| Sokoban | 3 boxes | 99 | 98 | 96 | 97 | 92 | 98 | 100 | 94 | 95 | 92 | 98 |
|  | 4 boxes | 89 | 89 | 85 | 81 | 82 | 87 | 91 | 84 | 83 | 84 | 84 |
|  | 5 boxes | 80 | 75 | 72 | 72 | 73 | 78 | 77 | 74 | 72 | 72 | 73 |
|  | 6 boxes | 76 | 69 | 59 | 51 | 53 | 73 | 71 | 56 | 51 | 54 | 64 |
|  | 7 boxes | 55 | 49 | 47 | 42 | 45 | 51 | 49 | 48 | 43 | 45 | 49 |
| Maze w. t. | $50 \times 50$ | 92 | 91 | 88 | 87 | 87 | 89 | 90 | 89 | 84 | 85 | 89 |
|  | $55 \times 55$ | 78 | 75 | 73 | 72 | 74 | 74 | 75 | 74 | 72 | 75 | 74 |
|  | $60 \times 60$ | 49 | 37 | 35 | 32 | 31 | 42 | 48 | 36 | 34 | 32 | 42 |
| Sliding puzzle | $5 \times 5$ | 88 | 83 | 84 | 80 | 82 | 86 | 87 | 84 | 84 | 84 | 85 |
|  | $6 \times 6$ | 51 | 48 | 49 | 45 | 46 | 47 | 49 | 45 | 43 | 46 | 48 |
|  | $7 \times 7$ | 39 | 35 | 36 | 32 | 34 | 35 | 36 | 35 | 32 | 34 | 35 |


[^0]:    ${ }^{1}$ Learning general optimal policies with GNNs: Expressive power, transparency, and limits.
    ${ }^{2}$ Policy-guided heuristic search with guarantees.

