Background and Motivation	Theoretical Analysis	Method	Empirical Results	Summary
OO	O	O	O	00

## Label Correction of Crowdsourced Noisy Annotations with an Instance-Dependent Noise Transition Model

### Hui Guo<sup>1</sup> Boyu Wang<sup>1</sup> Grace Y. Yi <sup>1, 2</sup>

<sup>1</sup>Department of Computer Science <sup>2</sup>Department of Statistical and Actuarial Sciences University of Western Ontario

NeurIPS 2023, Virtual Talk

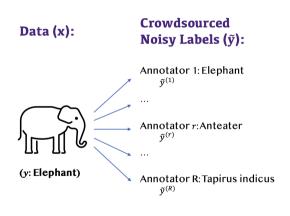


Background and Motivation	Theoretical Analysis	Method	Empirical Results	Summary
●O	O	O	O	00
Problem Setup				

#### **Crowdsourcing:**

Each data item is labeled by multiple annotators with diverse expertise

- Noisy training data  $\mathcal{D} = \{\mathbf{x}_i, \tilde{\mathbf{y}}_i^{(1)}, \dots, \tilde{\mathbf{y}}_i^{(R)}\}_{i=1}^N$ 
  - $\mathcal{X} \subset \mathbb{R}^p$ : feature space
  - $\mathcal{Y} = \{1, \dots, K\}$ : label space
  - R: number of annotators
  - $\mathbf{x}_i \in \mathcal{X}$ : input data
  - $y_i \in \mathcal{Y}$ : unobserved true label
  - $\tilde{\mathbf{y}}_i^{(r)} \in \mathcal{Y}$ : the label given by the *r*th annotator with  $r \in \{1, \dots, R\}$
- Goal: learn a classifier which correctly labels the new input data



ъ

Background and Motivation	Theoretical Analysis	Method	Empirical Results	Summary
O●	O	O	O	OO
Noisy Label Ger	neration Process			

- Assumption: the R annotators independently label the instances
- Noisy label generation model:

$$\mathbb{P}(\tilde{\mathbf{y}}^{(1)},..,\tilde{\mathbf{y}}^{(R)}|\mathbf{x}) = \prod_{r=1}^{R} \mathbb{P}(\tilde{\mathbf{y}}^{(r)}|\mathbf{x}) = \prod_{r=1}^{R} \sum_{k \in \mathcal{Y}} \left\{ \mathbb{P}(\tilde{\mathbf{y}}^{(r)}|\mathbf{y}=k,\mathbf{x}) \mid P(\mathbf{y}=k|\mathbf{x}) \right\}$$

instance-dependent noise transition matrix for the *r*th annotator  $f_0^{k,r}(\mathbf{x})$ : distribution of  $\tilde{\mathbf{y}}^{(r)}|\{\mathbf{y}=k,\mathbf{x}\}$ , modeled by  $f_{\boldsymbol{\theta}}^{k,r}(\mathbf{x})$ 

base model  $h(\cdot; \vartheta)$ (true label predictor)

A D A A B A A B A A B A B A

#### • Issues about instance-dependent transition matrices:

- Most available methods require the *instance independent* assumption:  $\mathbb{P}(\tilde{y}^{(r)}|y = k, \mathbf{x}) = \mathbb{P}(\tilde{y}^{(r)}|y = k);$  however, the instance dependent assumption is <u>more realistic</u>
- Modeling the instance-dependent transition matrix is challenging and remains relatively less explored
- <u>Theoretical characterization</u> of the distance of the noise model and the true transition matrix remains absent in the literature

Background and Motivation	Theoretical Analysis	Method	Empirical Results	Summary
Approximate the	Instance-Depend	ent Noise Tran	sition Matrices	

- Bayesian network:
  - Deploy a set of ( $\delta$ -pseudo) anchor points  $\overline{\mathcal{D}}_0$  learned from noisy training data
    - An instance x is defined to be an ( $\delta$ -pseudo) anchor point of class k if  $\mathbb{P}(y = k | \mathbf{x}) = 1$  ( $\mathbb{P}(y = k | \mathbf{x}) \ge \delta$ )
    - The subsample size n of  $\overline{\mathcal{D}}_0$  is relatively small compared to the main sample size N
  - Employ a hierarchical spike and slab prior on the network parameters
    - Sparse Bayesian network  $f_{\boldsymbol{\theta}}^{k,r}$  with  $\boldsymbol{\theta} \in \Theta$
- Posterior consistency result:
  - The sparse noise transition model is close to the underlying true transition matrix with respect to the Hellinger distance under mild conditions

#### Theorem 1

Let  $d(\cdot, \cdot)$  denote the Hellinger distance. Under regularity conditions, there exists a sequence of constants  $\{\epsilon_n^2\}_{n=1}^{\infty}$  satisfying  $\lim_{n\to\infty} \epsilon_n = 0$  and  $\lim_{n\to\infty} n\epsilon_n^2 = \infty$ , such that for any  $k \in \{1, \ldots, K\}$  and  $r \in \{1, \ldots, R\}$ , with probability tending to 1, the posterior measure satisfies

$$\Pi \left\{ \boldsymbol{\theta} \in \Theta : d(f_{\boldsymbol{\theta}}^{(k,r)}, f_0^{(k,r)}) > M_n \epsilon_n | \overline{\mathcal{D}}_0 \right\} \to 0 \text{ as any } M_n \to \infty.$$

00				00
Background and Motivation	Theoretical Analysis	Method	Empirical Results	Summary

- Reformulate the label correction process:
  - Selecting the label for the instance  $\mathbf{x}_i$  from  $\{g, g'\}$ , is equivalent to choosing from the two competitors  $\mathbb{P}(\tilde{\mathbf{y}}|y = g, \mathbf{x}_i)$  and  $\mathbb{P}(\tilde{\mathbf{y}}|y = g', \mathbf{x}_i)$ , where  $1 \le g < g' \le K$
  - Hypothesis testing:  $H_g : \tilde{\mathbf{y}}_i | \{ \mathbf{y}_i, \mathbf{x}_i \} \sim \mathbb{P}(\tilde{\mathbf{y}} | \mathbf{y} = g, \mathbf{x}_i) \text{ versus } H_{g'} : \tilde{\mathbf{y}}_i | \{ \mathbf{y}_i, \mathbf{x}_i \} \sim \mathbb{P}(\tilde{\mathbf{y}} | \mathbf{y} = g', \mathbf{x}_i)$
- Label correction method:
  - (Neyman-Pearson Lemma) Set the estimated label of  $x_i$  to be  $\overline{y}_i = g$  if

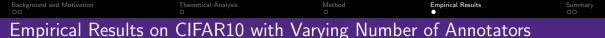
$$\frac{\hbar_{i,g}\prod_{r=1}^{R}\prod_{l=1}^{K}\left\{\tau_{i,gl}^{(r)}\right\}^{1(\tilde{y}_{i}^{(r)}=l)}}{\hbar_{i,g'}\prod_{r=1}^{R}\prod_{l=1}^{K}\left\{\tau_{i,g'l}^{(r)}\right\}^{1(\tilde{y}_{i}^{(r)}=l)}} > \Omega \text{ for any } g' \neq g$$

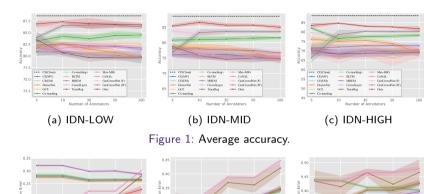
- $\hbar_{ig}$ : class prior for the ground truth label for the *i*th task for  $g \in \{1, \ldots, K\}$  $\implies$  the predictions of base classifiers
- $\tau_{i,kl}^{(r)}$ : the *l*th element of  $f_{\theta}^{(k,r)}(\mathbf{x}_i)$  for  $k, l \in \{1, \ldots, K\}$  and  $r \in \{1, \ldots, R\}$

 $\implies$  the maximum a posteriori (MAP) estimate

- $\Omega$ : pre-specified threshold
- Theorem 2:
  - Information-theoretic bounds on the Bayes error







With varying number of annotators, the proposed method

- achieves the highest average test accuracy:
- leads to smaller estimation error in most of the cases. especially when the noise rate is high.

Western 😪

э

TUNER

ConCrowdNet (1)

---- GeoCrowdNet (F) - GenCrowdNet (W) GenCrowdNet (W) GenCrandNet (W MBEM MREM MREM DUTM DUTM DUTM 0.0 ----- Our (a) IDN-LOW (b) IDN-MID (c) IDN-HIGH Figure 2: Average estimation error of noise transition matrices.

TraceRep

ConCroundNet (E)

TraceRea

Background and Motivation	Theoretical Analysis	Method	Empirical Results	Summary
OO	O	O	O	●O
Summary				

In this work,

- We explore the challenging problem of learning with instance-dependent crowdsourced noisy annotations
- We formulate the annotator-specific noise transition matrix in the Bayesian framework
- We **theoretically characterize the closeness** of the proposed sparse Bayesian model and the underlying annotator confusions with respect to the Hellinger distance
- We develop a novel **label correction algorithm** by aggregating the noisy annotations using the pairwise likelihood ratio test, and identify **information-theoretic bounds** on the Bayes error
- Numerical experiments demonstrate that the proposed method outperforms the competing methods



Background and Motivation	Theoretical Analysis	Method	Empirical Results	Summary
OO	O	O	O	O

# Thank You

