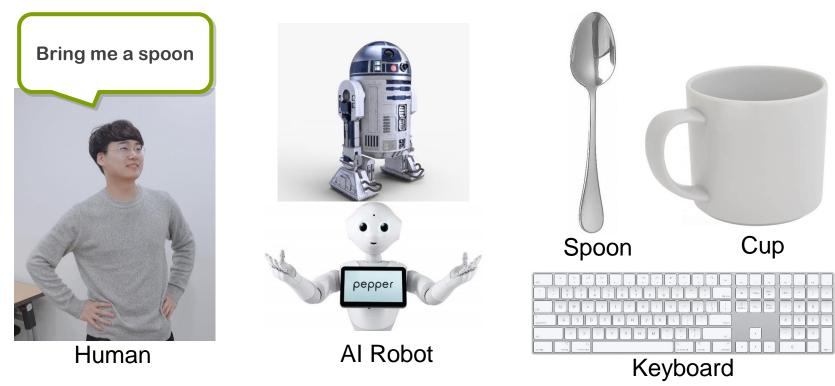


### Goal-Aware Cross-Entropy for Multi-Target Reinforcement Learning

Kibeom Kim, Min Whoo Lee, Yoonsung Kim, Je-Hwan Ryu, Minsu Lee, Byoung-Tak Zhang Seoul National University kbkim@bi.snu.ac.kr

Need to handle multiple objects or destinations

- Bring me a {spoon, cup, "specific object"}
- Go to the {*kitchen*, *livingroom*, "*specific destination*"}



#### Instruction-based multi-target task

- It is still challenging task for RL
- In existing studies, direct semantic understanding of the goal is necessary, but it is lacking.



#### Instruction-based multi-target task

- It is still challenging task for RL
- Targets are possible goal candidates



#### Instruction-based multi-target task

- It is still challenging task for RL
- Targets are possible goal candidates
- The goal z may be selected among the targets, specified with a cue or an instruction
- The instruction I<sup>z</sup> is given randomly every episode,
  "Bring me a spoon"



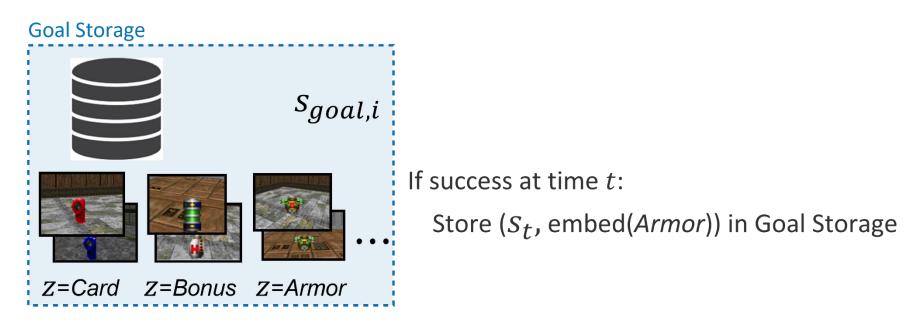
#### Instruction-based multi-target task

- It is still challenging task for RL
- Targets are possible goal candidates
- The goal z may be selected among the targets, specified with a cue or an instruction

We propose a Goal-Aware Cross-Entropy (GACE) loss and Goal-Discriminative Attention Networks (GDAN) for multi-target reinforcement learning.

# **Collecting goal states**

- Auto-labeled goal states for self-supervised learning
  - The agent actively gathers the goal states relying only on the instruction I<sup>z</sup> and reward given by the environment.



## **Proposed methods**

Goal-Aware Cross-Entropy (GACE) loss

- It trains the goal-discriminator that facilitates semantic understanding of goals alongside the policy
- $s_{goal,i}$  is goal state,  $\sigma(\cdot)$  is feature extractor and  $d(\cdot)$  is goal-discriminator

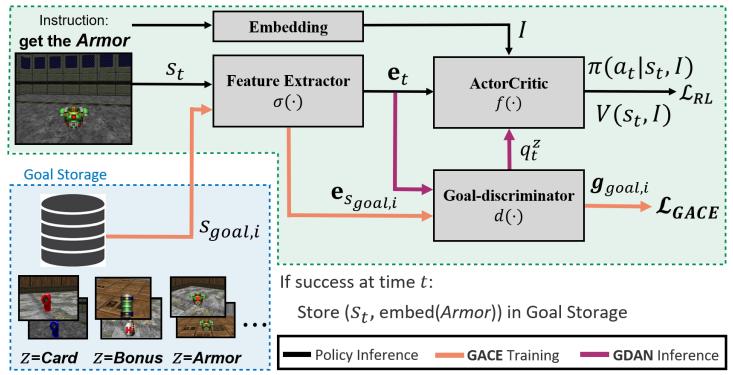
 $\mathbf{e}_{s_{goal,i}} = \sigma(s_{goal,i})$  $\mathbf{g}_{goal,i} = d(\mathbf{e}_{s_{goal,i}})$ 

- $z_i$  is the automatic label corresponding to state  $g_{goal,i}$
- Then, GACE loss is

$$\mathcal{L}_{GACE} = -\sum_{i=0}^{M-1} one\_hot(z_i) \cdot \log(\mathbf{g}_{goal,i})$$

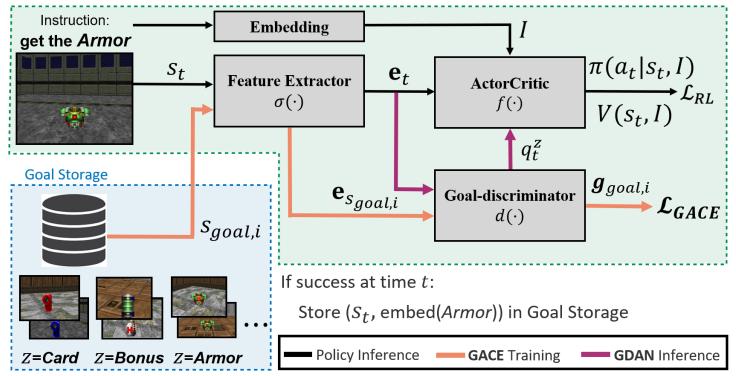
### **Overview of architecture**

#### Goal-Aware Cross-Entropy (GACE) loss



## **Overview of architecture**

#### Goal-Aware Cross-Entropy (GACE) loss



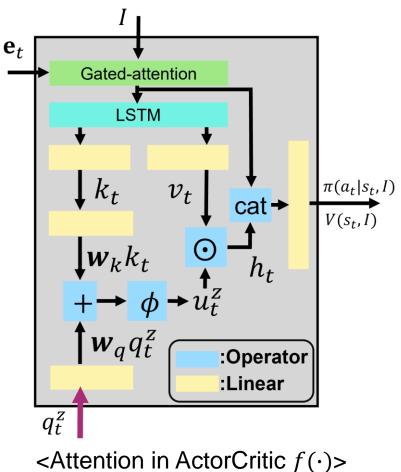
- The GACE loss makes the goal-discriminator become goal-aware without external supervision.
- Such goal-awareness is advantageous for sample-efficiency and generalization in multi-target environments.

# **Proposed methods**

### Goal-Discriminative Attention Networks (GDAN)

- Goal-relevant query q<sup>z</sup><sub>t</sub> from goaldiscriminator
- The key k<sub>t</sub> and value v<sub>t</sub> from encoded state in the ActorCritic f(·)

$$u_t^z = \phi(\mathbf{W}_{\mathbf{q}}q_t^z + \mathbf{W}_{\mathbf{k}}k_t)$$
  
$$h_t = v_t \odot u_t^z$$



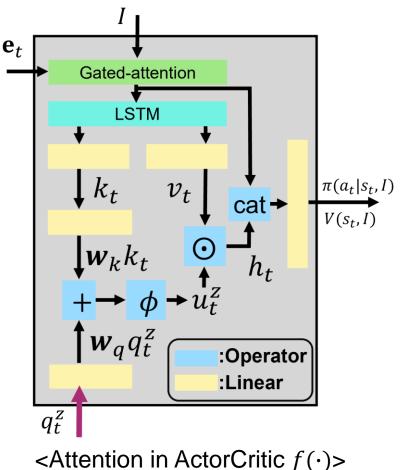
# **Proposed methods**

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- It makes the agent to selectively allocate attention for goal-directed actions
- effectively utilize the discriminator to enhance the performance and efficiency



## Environments

#### Multi-target environments

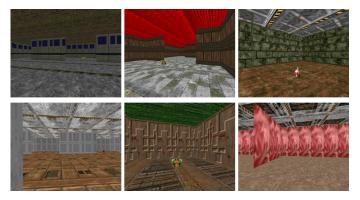
- The object positions are randomly shuffled to learn discriminability.
- Background is also randomly selected to evaluate generalization.

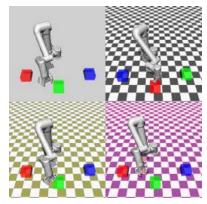
#### Visual navigation tasks

- First-person view
- 4 classes 8 objects
- "Get the Armor / Bonus / Card / …"

#### Robot arm manipulation tasks

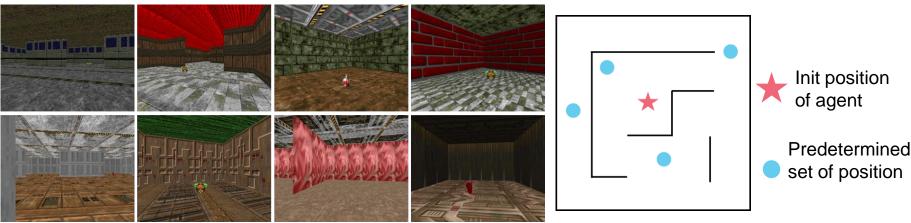
- Fixed third-person view
- 3 or 5 objects for each task
- "Reach the red/blue/green box"





## Environment

- Visual navigation
  - V1
  - V2 seen, unseen
  - **V**3
  - V4 seen, unseen



<Samples of used textures>

<Top-down view of V3,V4> 14

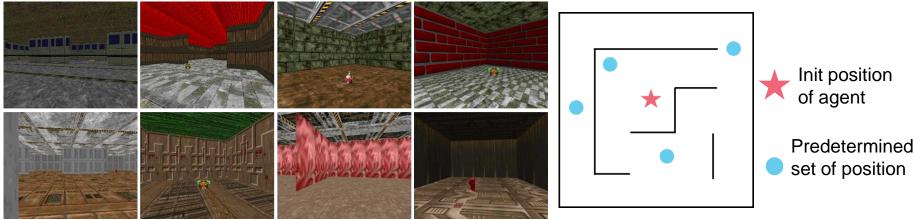
# Environment

#### Visual navigation

• V1: default navigation task

closed rectangular room with no walls

- V2 seen, unseen: to evaluate generalization added textures in V1 setting
- **V3**: more complex than V1, additional walls
- V4 seen, unseen: added textures in V3 setting

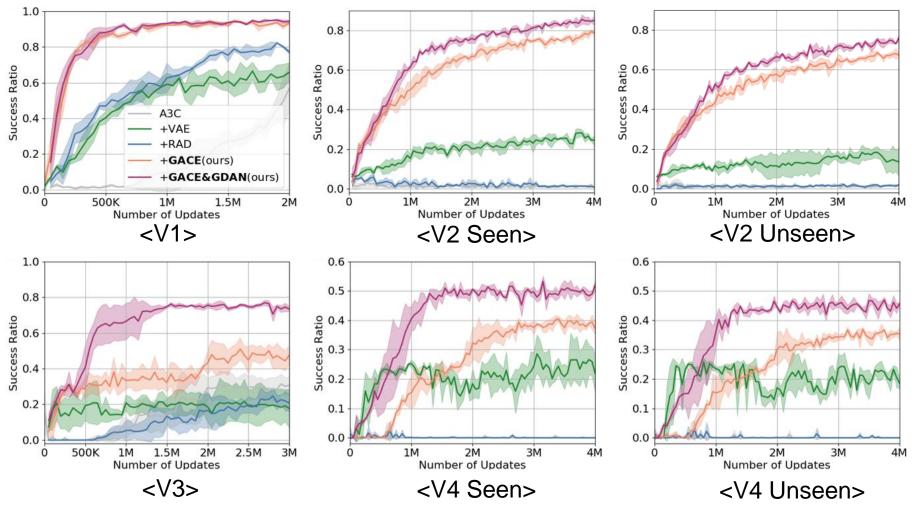


<Samples of used textures>

<Top-down view of V3,V4> 15

## **Experiments**

#### Visual navigation task



## **Experiments**

### Sample-efficiency metric for V1 task

Table 1: Success ratio (SR) and sample efficiency metrics in visual navigation task V1. SRR (lower the better) and SEI (higher the better) are measured with A3C as a reference. "Number of Updates" indicates the number of updates required to reach the reference performance.

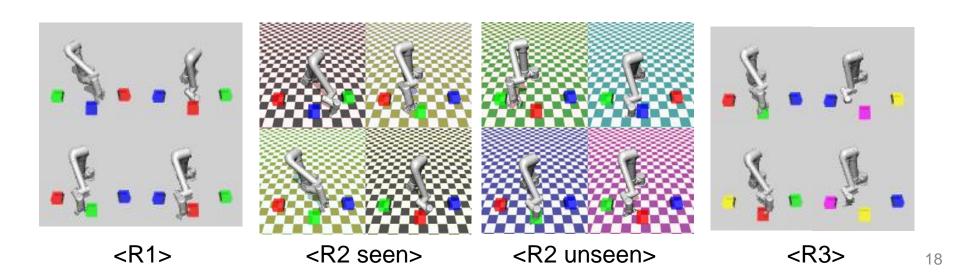
Algorithm	SR of V1 (%)	Number of Updates	SRR (%)	SEI (%)
A3C	$56.55 \pm 13.8$	2M	100	-
+VAE	$67.89 \pm 3.5$	810,086	40.50	146.89
+RAD	$82.14 \pm 2.3$	703,574	35.18	184.26
+GACE (ours)	$94.97 \pm 0.7$	163,602	8.18	1122.48
+GACE & GDAN (ours)	$95.6\pm0.64$	110,930	5.55	1702.94

## Environment

# Robot arm manipulation task

- R1
- R2 seen, unseen

#### **R**3

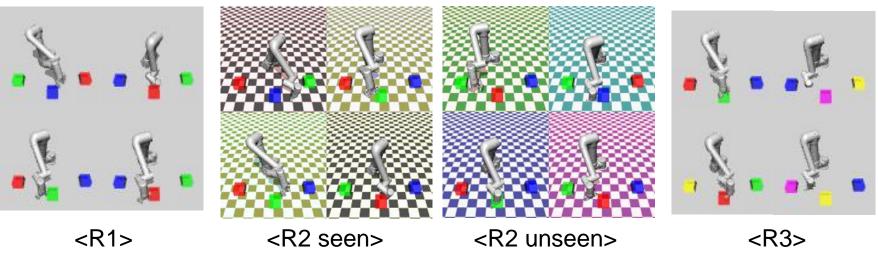


# Environment

### Robot arm manipulation task

- R1: default manipulation task red/green/blue box are randomly shuffled
- R2 seen, unseen: to evaluate generalization added checkered background
- **R3:** to evaluate scalability with more targets

+ yellow/pink box in R1 setting



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## **Experiments**

#### Robot arm manipulation task

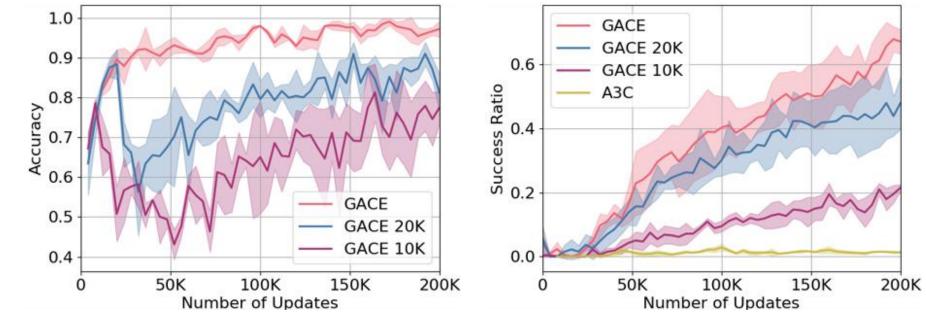
Table 2: Success ratio (SR) in robot arm manipulation tasks.

Algorithm	SR of <b>R1</b> (%)	SR of <b>R2</b> Seen (%)	SR of <b>R2</b> Unseen (%)	SR of <b>R3</b> (%)
SAC	$63.1\pm6.9$	$60.5\pm5.7$	$53.4\pm6.9$	$61.7\pm5.4$
+AE	$67.2 \pm 5.0$	$72.8\pm5.9$	$59.4\pm5.5$	$62.3 \pm 5.1$
+CURL	$67.9\pm7.3$	$74.5 \pm 9.2$	$36.6\pm3.4$	$64.7 \pm 4.0$
+GACE	$84.7\pm10.0$	$75.0 \pm 8.9$	$63.0\pm9.0$	$79.3 \pm 8.9$
+GACE&GDAN	$89.3 \pm 4.2$	$78.2\pm8.7$	$73.3 \pm 5.8$	$79.6 \pm 8.4$

Table 3: Sample efficiency metrics for R1 task. SR is reference performance of R1 task.

Algorithm	SR (%)	Number of Updates	SRR (%)	SEI (%)
SAC		314,797	100	-
+AE +CURL	63.1	230,339 142,480	73.17 45.26	36.67 120.94
+GACE (ours)		53,774	17.08	485.41
+GACE&GDAN (ours)		63,140	20.06	398.57

#### Effectiveness of GACE

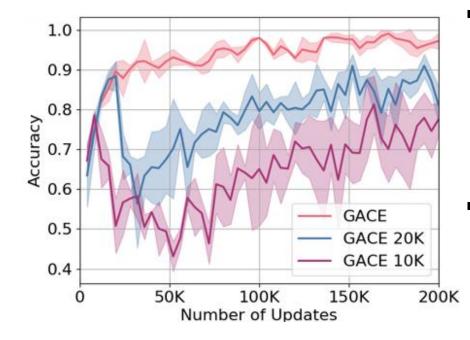


<Goal discriminator accuracy>

<Learning curve in V1 task>

The goal-discriminator weights are unfrozen (red), frozen at 10K (purple) and 20K (blue) updates.

#### Effectiveness of GACE



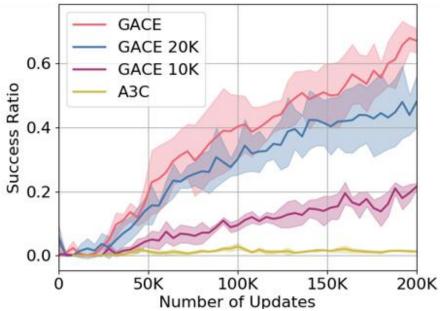
<Goal discriminator accuracy>

- Although the GACE loss (frozen weights) does not further contribute to learning, the discriminator accuracy improves only by updating the policy.
- This indicates that throughout the training, the agent gradually develops a feature extractor σ(·) that can discriminate targets.

The goal-discriminator weights are unfrozen (red), frozen at 10K (purple) and 20K (blue) updates.

### Effectiveness of GACE

- Even when the agent is trained with the GACE only temporarily, the learning curve is steeper than that with vanilla A3C.
- Consequently, learning GACE loss has positive influence on policy performance than learning solely with policy updates.

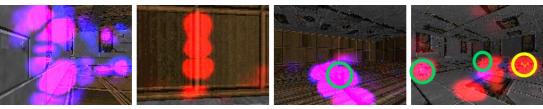


<Learning curve in V1 task>

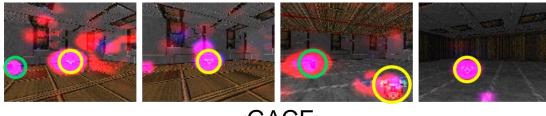
The goal-discriminator weights are unfrozen (red), frozen at 10K (purple) and 20K (blue) updates.

#### Visual interpretation using saliency map

: non-goal : goal



<A3C>



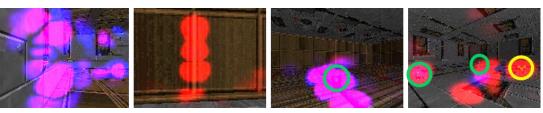
<GACE>



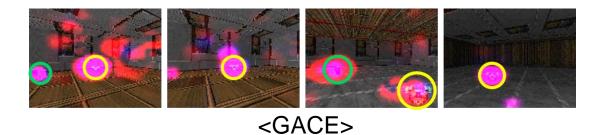
<GACE & GDAN>

#### Visual interpretation using saliency map

 The agent is overly sensitive to edges in the background in A3C.



<A3C>





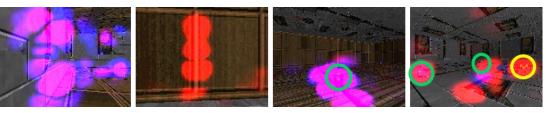
<GACE & GDAN>

: non-goal

: goal

### Visual interpretation using saliency map

 The agent is overly sensitive to edges in the background in A3C.



<A3C>

 All goals and nongoals are detected successfully in GACE.



<GACE>



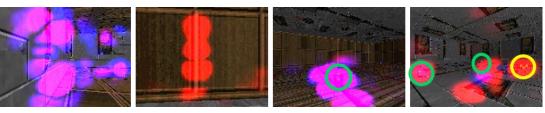
<GACE & GDAN>

: non-goal

: goal

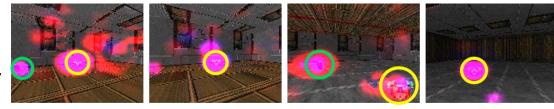
### Visual interpretation using saliency map

 The agent is overly sensitive to edges in the background in A3C.



<A3C>

 All goals and nongoals are detected successfully in GACE.



<GACE>

 The agent shows sensitive reaction only to goal in GACE&GDAN.



<GACE & GDAN>

: non-goal

: goal

# Conclusion

- We propose GACE loss and GDAN for multi-target RL.
  - It learns goal states in a self-supervised manner using a reward and instruction.
  - It promotes a goal-focused behavior.
  - Our methods achieve state-of-the-art sample-efficiency and generalization in multi-target environments.